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Form 990-PF
Department of the Treasury
Internal Revenue Service

Name of foundation
THE DUKE ENDOWMENT

Number and street (or P O box number if mail is not delivered to street address) Room/suite
800 EAST MOREHEAD STREET
(704) 376-0291

City or town, state or province, country, and ZIP or foreign postal code
CHARLOTTE, NC 28202

G Check all that apply
Initial return
Initial return of a former public charity
Amended return
Address change

H Check type of organization
Section 501(c)(3) exempt private foundation
Section 4947(a)(1) nonexempt charitable trust
Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) $ 3,347,222,572

J Accounting method
Cash
Accrual
MODIFIED CASH

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

<table>
<thead>
<tr>
<th>Description</th>
<th>(a) Revenue and expenses per books</th>
<th>(b) Net investment income</th>
<th>(c) Adjusted net income</th>
<th>(d) Disbursements for charitable purposes (cash basis only)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Contributions, gifts, grants, etc., received (attach schedule)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Check ☑ if the foundation is not required to attach Sch B.</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Interest on savings and temporary cash investments</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Dividends and interest from securities</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5a Gross rents</td>
<td>32,485,170</td>
<td>48,070,737</td>
<td>9,857,745</td>
<td></td>
</tr>
<tr>
<td>5b Net rental income or (loss)</td>
<td>143,985,428</td>
<td>175,560,778</td>
<td>175,560,778</td>
<td>5,693,860</td>
</tr>
<tr>
<td>6a Net gain or (loss) from sale of assets not on line 10</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>7 Capital gain net income (from Part IV, line 2)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>8 Short-term capital gain</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>9 Income modifications</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>10a Gross sales less returns and allowances</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>10b Less Cost of goods sold</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>11 Other income (attach schedule)</td>
<td>9,857,745</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>12 Total Line 12 through 11</td>
<td>176,470,598</td>
<td>233,489,260</td>
<td>3,954,864</td>
<td></td>
</tr>
<tr>
<td>13 Compensation to employees related to fund’s business (attach schedule)</td>
<td>4,361,249</td>
<td>302,702</td>
<td>3,954,864</td>
<td></td>
</tr>
<tr>
<td>14 Other employee salaries and wages</td>
<td>3,724,518</td>
<td>275,755</td>
<td>3,410,997</td>
<td></td>
</tr>
<tr>
<td>15 Parent plan investment income</td>
<td>2,472,588</td>
<td>56,477</td>
<td>1,395,461</td>
<td></td>
</tr>
<tr>
<td>16a Legal fees (attach schedule)</td>
<td>9,857,745</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>17a Accounting fees (attach schedule)</td>
<td>273,220</td>
<td>36,046</td>
<td>232,237</td>
<td></td>
</tr>
<tr>
<td>17c Other professional fees (attach schedule)</td>
<td>8,792,550</td>
<td>7,026,012</td>
<td>804,324</td>
<td></td>
</tr>
<tr>
<td>18 Interest (attach schedule)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>19 Taxes (attach schedule) (see instructions)</td>
<td>1,162,457</td>
<td>897,832</td>
<td></td>
<td></td>
</tr>
<tr>
<td>20 Depreciation (attach schedule) and depletion</td>
<td>1,518,920</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>21 Occupancy</td>
<td>1,750,507</td>
<td>5,547</td>
<td>1,744,200</td>
<td></td>
</tr>
<tr>
<td>22 Travel, conferences, and meetings</td>
<td>438,672</td>
<td>11,760</td>
<td>424,986</td>
<td></td>
</tr>
<tr>
<td>23 Printing and publications</td>
<td>353,073</td>
<td></td>
<td>353,073</td>
<td></td>
</tr>
<tr>
<td>24 Other expenses (attach schedule)</td>
<td>3,167,134</td>
<td>47,731,902</td>
<td>1,889,136</td>
<td></td>
</tr>
<tr>
<td>25 Contributions, gifts, grants paid</td>
<td>28,074,677</td>
<td>62,037,893</td>
<td>14,269,067</td>
<td></td>
</tr>
<tr>
<td>26 Total operating and administrative expenses</td>
<td>122,122,981</td>
<td></td>
<td>122,122,981</td>
<td></td>
</tr>
<tr>
<td>27 Subtract line 25 from line 12</td>
<td>150,197,658</td>
<td>62,037,893</td>
<td>0</td>
<td>136,392,048</td>
</tr>
</tbody>
</table>

a Excess of revenue over expenses and disbursements                           |
b Net investment income (if negative, enter (-))                               |
c Adjusted net income (if negative, enter (-))                                |
### Part II - Balance Sheets

<table>
<thead>
<tr>
<th>Description</th>
<th>Beginning of Year</th>
<th>End of Year</th>
</tr>
</thead>
<tbody>
<tr>
<td>(a) Book Value</td>
<td>(b) Book Value</td>
<td>(c) Fair Market Value</td>
</tr>
<tr>
<td>1 Cash - non-interest-bearing</td>
<td>$9,001,244</td>
<td>$13,829,992</td>
</tr>
<tr>
<td>2 Savings and temporary cash investments</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3 Accounts receivable</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4 Pledges receivable</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5 Grants receivable</td>
<td></td>
<td></td>
</tr>
<tr>
<td>6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7 Other notes and loans receivable (attach schedule)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>8 Inventories for sale or use</td>
<td></td>
<td></td>
</tr>
<tr>
<td>9 Prepaid expenses and deferred charges</td>
<td></td>
<td></td>
</tr>
<tr>
<td>10 Investments - U.S. and state government obligations (attach schedule)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>11 Investments - corporate bonds (attach schedule)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>12 Investments - mortgage loans</td>
<td></td>
<td></td>
</tr>
<tr>
<td>13 Investments - other (attach schedule)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>14 Land, buildings, and equipment basis</td>
<td></td>
<td></td>
</tr>
<tr>
<td>15 Other assets (describe)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)</td>
<td>$2,659,102,332</td>
<td>$2,688,997,387</td>
</tr>
<tr>
<td>17 Accounts payable and accrued expenses</td>
<td>$20,922,580</td>
<td>$21,918,096</td>
</tr>
<tr>
<td>18 Grants payable</td>
<td>$7,764,061</td>
<td>$13,848,138</td>
</tr>
<tr>
<td>19 Deferred revenue</td>
<td></td>
<td></td>
</tr>
<tr>
<td>20 Loans from officers, directors, trustees, and other disqualified persons (attach schedule)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>21 Mortgages and other notes payable (attach schedule)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>22 Other liabilities (describe)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>23 Total liabilities (add lines 17 through 22)</td>
<td>$68,128,777</td>
<td>$76,656,970</td>
</tr>
</tbody>
</table>

#### Liabilities

<table>
<thead>
<tr>
<th>Description</th>
<th>Beginning of Year</th>
<th>End of Year</th>
</tr>
</thead>
<tbody>
<tr>
<td>Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>24 Unrestricted</td>
<td>$10,353,443</td>
<td>$3,598,248</td>
</tr>
<tr>
<td>25 Temporarily restricted</td>
<td>$2,320,939,034</td>
<td>$2,349,061,091</td>
</tr>
<tr>
<td>26 Permanently restricted</td>
<td>$259,681,078</td>
<td>$259,681,078</td>
</tr>
<tr>
<td>Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>27 Capital stock, trust principal, or current funds</td>
<td></td>
<td></td>
</tr>
<tr>
<td>28 Paid-in or capital surplus, or land, bldg., and equipment fund</td>
<td></td>
<td></td>
</tr>
<tr>
<td>29 Retained earnings, accumulated income, endowment, or other funds</td>
<td></td>
<td></td>
</tr>
<tr>
<td>30 Total net assets or fund balances (see instructions)</td>
<td>$2,590,973,555</td>
<td>$2,612,340,417</td>
</tr>
<tr>
<td>31 Total liabilities and net assets/fund balances (see instructions)</td>
<td>$2,659,102,332</td>
<td>$2,688,997,387</td>
</tr>
</tbody>
</table>

### Part III - Analysis of Changes in Net Assets or Fund Balances

<table>
<thead>
<tr>
<th>Description</th>
<th>Line No.</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return).</td>
<td>1</td>
<td>$2,590,973,555</td>
</tr>
<tr>
<td>2 Enter amount from Part I, line 27a.</td>
<td>2</td>
<td>$26,272,940</td>
</tr>
<tr>
<td>3 Other increases not included in line 2 (itemize)</td>
<td>3</td>
<td>$(4,906,078)</td>
</tr>
<tr>
<td>4 Add lines 1, 2, and 3</td>
<td>4</td>
<td>$2,612,340,417</td>
</tr>
<tr>
<td>5 Decreases not included in line 2 (itemize)</td>
<td>5</td>
<td></td>
</tr>
<tr>
<td>6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30.</td>
<td>6</td>
<td>$2,612,340,417</td>
</tr>
</tbody>
</table>
**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)

<table>
<thead>
<tr>
<th>(b) How acquired</th>
<th>(c) Date acquired</th>
<th>(d) Date sold</th>
</tr>
</thead>
<tbody>
<tr>
<td>P: Purchase</td>
<td>(mo, day, yr)</td>
<td>(mo, day, yr)</td>
</tr>
<tr>
<td>D: Donation</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

1a SEE PART IV SCHEDULE

b
c
d
e

<table>
<thead>
<tr>
<th>(e) Gross sales price</th>
<th>(f) Depreciation allowed (or allowable)</th>
<th>(g) Cost or other basis plus expense of sale</th>
<th>(h) Gain or (loss) (e) plus (f) minus (g)</th>
</tr>
</thead>
<tbody>
<tr>
<td>a</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>c</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>d</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>e</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

<table>
<thead>
<tr>
<th>(i) Fair Market Value as of 12/31/69</th>
<th>(j) Adjusted basis as of 12/31/69</th>
<th>(k) Excess of col (i) over col (j), if any</th>
</tr>
</thead>
<tbody>
<tr>
<td>a</td>
<td></td>
<td></td>
</tr>
<tr>
<td>b</td>
<td></td>
<td></td>
</tr>
<tr>
<td>c</td>
<td></td>
<td></td>
</tr>
<tr>
<td>d</td>
<td></td>
<td></td>
</tr>
<tr>
<td>e</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

2 Capital gain net income or (net capital loss)

If gain, also enter in Part I, line 7
If (loss), enter -0- in Part I, line 7

2 175,560,778

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c) (see instructions) if (loss), enter -0-

Part I, line 8

3 0

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

<table>
<thead>
<tr>
<th>(a) Base period years</th>
<th>(b) Adjusted qualifying distributions</th>
<th>(c) Net value of noncharitable-use assets (col (b) divided by col (c))</th>
</tr>
</thead>
<tbody>
<tr>
<td>Calendar year (or tax year beginning in)</td>
<td>170,921,969</td>
<td>3,321,357,665</td>
</tr>
<tr>
<td>2014</td>
<td>162,313,381</td>
<td>2,988,837,757</td>
</tr>
<tr>
<td>2013</td>
<td>134,727,624</td>
<td>2,750,166,385</td>
</tr>
<tr>
<td>2012</td>
<td>127,575,130</td>
<td>2,713,548,477</td>
</tr>
<tr>
<td>2011</td>
<td>120,225,081</td>
<td>2,449,599,373</td>
</tr>
<tr>
<td>2010</td>
<td>120,225,081</td>
<td>2,449,599,373</td>
</tr>
</tbody>
</table>

2 Total of line 1, column (d) 2 0 250850

3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years 3 0 050170

4 Enter the net value of noncharitable-use assets for 2015 from Part X, line 5 4 3,350,297,683

5 Multiply line 4 by line 3 5 168,084,435

6 Enter 1% of net investment income (1% of Part I, line 27b) 6 1,714,514

7 Add lines 5 and 6 7 169,798,949

8 Enter qualifying distributions from Part XII, line 4 8 136,796,996

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.
**Part VI** Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here □ (if applicable) and enter "N/A" on line 1.  
   Date of ruling or determination letter (attach copy of letter if necessary - see instructions)  
   1  3,429,027

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here □ and enter 1% of Part I, line 27b.  
   All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b).  
   2  3,429,027

2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter 0).  
   3  3,429,027

3 Add lines 1 and 2.  
   4  0

4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter 0).  
   5  3,429,027

5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter 0.  
   6  3,429,027

6 Credits/Payments  
   a 2015 estimated tax payments and 2014 overpayment credited to 2015.  
      6a  7,285,670  
   b Exempt foreign organizations - tax withheld at source.  
      6b
   c Tax paid with application for extension of time to file (Form 8267).  
      6c
   d Backup withholding erroneously withheld.  
      6d
   7 Total credits and payments. Add lines 6a through 6d.  
      7  7,285,670

8 Enter any penalty for underpayment of estimated tax. Check here □ if Form 2220 is attached.  
   8

9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed.  
   9

10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.  
   10  3,856,643

11 Enter the amount of line 10 to be credited to 2016 estimated tax.  
   11  3,356,643.  Refunded  

**Part VII-A** Statements Regarding Activities

<table>
<thead>
<tr>
<th>Question</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>1a</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>1b</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>1c</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>4a</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>4b</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>6</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>7</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>8a</td>
<td>NC</td>
<td></td>
</tr>
<tr>
<td>8b</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>9</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>10</td>
<td>X</td>
<td></td>
</tr>
</tbody>
</table>
Part VII-A  Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). ................................................................. 11 Yes No
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) ................................................................. 12 X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? 13 X

Website address ▶ WWW.DUKEENDOWMENT.ORG

14 The books are in care of ▶ KAREN H. ROGERS, TREASURER Telephone no. ▶ 704-376-0291
Located at ▶ 800 EAST MOREHEAD ST CHARLOTTE, NC ZIP+4  28202

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ 15
and enter the amount of tax-exempt interest received or accrued during the year. .................................................................

16 At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? ................................................................. 16 Yes No

See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶

Part VII-B  Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly).......................... ▶
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ▶
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ▶
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ▶
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ▶
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ▶
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ▶

b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-(3) or in a current notice regarding disaster assistance (see instructions)? ▶
Organizations relying on a current notice regarding disaster assistance check here ▶

c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? ▶

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))

a At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? ▶
If "Yes," list the years ▶

b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) ▶
If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ▶

b If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.) ▶

4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?

b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?

Form 990-PF (2015)
Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No
(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) Yes No
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

5b If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

7b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

<table>
<thead>
<tr>
<th>(a) Name and address</th>
<th>(b) Title, and average hours per week devoted to position</th>
<th>(c) Compensation</th>
<th>(d) Contributions to employee benefit plans and deferred compensation</th>
<th>(e) Expense account, other allowances</th>
</tr>
</thead>
<tbody>
<tr>
<td>ATTACHMENT 15</td>
<td></td>
<td>4,002,365</td>
<td>302,026</td>
<td>56,858.</td>
</tr>
<tr>
<td></td>
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<td></td>
</tr>
</tbody>
</table>

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

<table>
<thead>
<tr>
<th>(a) Name and address of each employee paid more than $50,000</th>
<th>(b) Title, and average hours per week devoted to position</th>
<th>(c) Compensation</th>
<th>(d) Contributions to employee benefit plans and deferred compensation</th>
<th>(e) Expense account, other allowances</th>
</tr>
</thead>
<tbody>
<tr>
<td>ATTACHMENT 15</td>
<td></td>
<td>898,135</td>
<td>159,154</td>
<td>0</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
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<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Total number of other employees paid over $50,000. 28
**Part VIII**  Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

<table>
<thead>
<tr>
<th>(a) Name and address of each person paid more than $50,000</th>
<th>(b) Type of service</th>
<th>(c) Compensation</th>
</tr>
</thead>
<tbody>
<tr>
<td>ATCH 15</td>
<td></td>
<td>6,960,160</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
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<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Total number of others receiving over $50,000 for professional services ........................................ 40

**Part IX-A**  Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

<table>
<thead>
<tr>
<th>Activity</th>
<th>Expenses</th>
</tr>
</thead>
<tbody>
<tr>
<td>1  CONDUCTING EDUCATIONAL CONFERENCES AND SEMINARSG</td>
<td>178,322</td>
</tr>
<tr>
<td>2  CONDUCTING STUDIES/EVALUATIONS FOR VARIOUS GRANT PROJECTS</td>
<td>480,881</td>
</tr>
<tr>
<td>3  SUPPORT PROGRAM STAFF ON BOARDS AND ADVISORY COMMITTEES OF GRANTEES AND OTHER CHARITABLE ORGANIZATIONS OR TASK FORCES</td>
<td></td>
</tr>
<tr>
<td>4  CONSTRUCTION AND MAINTENANCE OF THE ENDOWMENT'S WEBSITE, PRODUCTION OF COMMUNICATION MATERIALS TO EDUCATE &amp; PROVIDE RESOURCES TO GRANT RECIPIENTS &amp; CHARITABLE ORGANIZATIONS</td>
<td>353,073</td>
</tr>
</tbody>
</table>

**Part IX-B**  Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

<table>
<thead>
<tr>
<th>Category</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td></td>
</tr>
</tbody>
</table>

All other program-related investments  See instructions

<table>
<thead>
<tr>
<th>Category</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>3</td>
<td></td>
</tr>
</tbody>
</table>

Total. Add lines 1 through 3 ...........................................
**Part X  Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1. Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes
   - a. Average monthly fair market value of securities ......................................................... 1a  3,395,963,683
   - b. Average of monthly cash balances .............................................................................. 1b  5,353,762
   - c. Fair market value of all other assets (see instructions) .................................................. 1c
   - d. Total (add lines 1a, b, and c) ...................................................................................... 1d  3,401,317,445
   - e. Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) .................................................. 1e

2. Acquisition indebtedness applicable to line 1 assets .......................................................... 2

3. Subtract line 2 from line 1d .............................................................................................. 3  3,401,317,445

4. Cash deemed held for charitable activities. Enter 1/2% of line 3 (for greater amount, see instructions) ............................................................................................................... 4  51,019,762

5. **Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4**  

6. **Minimum investment return. Enter 5% of line 5** .................................................................. 6  167,514,884

**Part XI  Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ and do not complete this part)

1. Minimum investment return from Part X, line 6 .................................................................. 1  167,514,884

2a. Tax on investment income for 2015 from Part VI, line 5 .................................................. 2a  3,429,027

2b. Income tax for 2015 (This does not include the tax from Part VI) ................................. 2b  515,618

3. Distributable amount before adjustments Subtract line 2c from line 1 .......................... 3  163,570,239

4. Recoveries of amounts treated as qualifying distributions .............................................. 4

5. Add lines 3 and 4 .............................................................................................................. 5  163,570,239

6. Deduction from distributable amount (see instructions) .................................................. 6

7. **Distributable amount as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1** ................................................................................................................................. 7  163,570,239

**Part XII  Qualifying Distributions** (see instructions)

1. Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:
   - a. Expenses, contributions, gifts, etc - total from Part I, column (d), line 26 .................. 1a  136,392,048
   - b. Program-related investments - total from Part IX-B ..................................................... 1b

2. Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes ................................................................................................................................. 2  404,948

3. Amounts set aside for specific charitable projects that satisfy the
   - a. Suitability test (prior IRS approval required) ................................................................. 3a
   - b. Cash distribution test (attach the required schedule) .................................................... 3b

4. Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4  

5. Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions) .................................................. 5  136,796,996

6. **Adjusted qualifying distributions. Subtract line 5 from line 4** .................................. 6  136,796,996

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years
### Undistributed Income

<table>
<thead>
<tr>
<th></th>
<th>(a) Corpus</th>
<th>(b) Years prior to 2014</th>
<th>(c) 2014</th>
<th>(d) 2015</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Distributable amount for 2015 from Part XI, line 7</td>
<td>163,570,239</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Undistributed income, if any, as of the end of 2015</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>a</td>
<td>Enter amount for 2014 only</td>
<td>3,271,881</td>
<td></td>
<td></td>
</tr>
<tr>
<td>b</td>
<td>Total for prior years</td>
<td>20 13 20 12 20 11</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>Excess distributions carryover, if any, to 2015</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>a</td>
<td>From 2010</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b</td>
<td>From 2011</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>c</td>
<td>From 2012</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>d</td>
<td>From 2013</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>e</td>
<td>From 2014</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>f</td>
<td>Total of lines 3a through e</td>
<td>0</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>Qualifying distributions for 2015 from Part XII, line 4</td>
<td></td>
<td></td>
<td>133,525,115</td>
</tr>
<tr>
<td>a</td>
<td>Applied to 2014, but not more than line 2a</td>
<td>3,271,881</td>
<td></td>
<td></td>
</tr>
<tr>
<td>b</td>
<td>Applied to undistributed income of prior years ( Election required - see instructions)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>c</td>
<td>Treated as distributions out of corpus ( Election required - see instructions)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>d</td>
<td>Applied to 2015 distributable amount</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>e</td>
<td>Remaining amount distributed out of corpus</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>6</td>
<td>Enter the net total of each column as indicated below:</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>a</td>
<td>Corpus Add lines 3f, 4c, and 4e. Subtract line 5</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b</td>
<td>Prior years' undistributed income Subtract line 4b from line 2b</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>c</td>
<td>Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>d</td>
<td>Subtract line 6c from line 6b Taxable amount - see instructions</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>e</td>
<td>Undistributed income for 2014 Subtract line 4a from line 2a Taxable amount - see instructions</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>f</td>
<td>Undistributed income for 2015 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2016</td>
<td></td>
<td></td>
<td>30,045,124</td>
</tr>
<tr>
<td>7</td>
<td>Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) ( Election may be required - see instructions)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>8</td>
<td>Excess distributions carryover from 2010 not applied on line 5 or line 7 ( see instructions)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>9</td>
<td>Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a</td>
<td></td>
<td>0</td>
<td></td>
</tr>
<tr>
<td>10</td>
<td>Analysis of line 9</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>a</td>
<td>Excess from 2011</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b</td>
<td>Excess from 2012</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>c</td>
<td>Excess from 2013</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>d</td>
<td>Excess from 2014</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>e</td>
<td>Excess from 2015</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
**Part XIV**  Private Operating Foundations (see instructions and Part VII-A, question 9)  NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling.

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5).

<table>
<thead>
<tr>
<th>Tax year</th>
<th>Prior 3 years</th>
<th>(a) 2015</th>
<th>(b) 2014</th>
<th>(c) 2013</th>
<th>(d) 2012</th>
<th>(e) Total</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.

b 85% of line 2a.

c Qualifying distributions from Part XII, line 4 for each year listed.

d Amounts included in line 2c not used directly for active conduct of exempt activities.

e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.

3 Complete 3a, b, c, or e for the alternative test relied upon.

a "Assets" alternative test - enter

1) Value of all assets...

2) Value of assets qualifying under section 4942(j)(3)(B)(ii).

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed...

c "Support" alternative test - enter

1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 510(a)(5)), or royalties),...

2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(E)(i).

3) Largest amount of support from an exempt organization, ...

4) Gross investment income.

---

**Part XV**  Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000)  (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here □ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds  If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

ATTACH 16

b The form in which applications should be submitted and information and materials they should include

ATTACH 16

c Any submission deadlines

ATTACH 16

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

ATTACH 16
Part XV  Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

<table>
<thead>
<tr>
<th>Recipient</th>
<th>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</th>
<th>Foundation status of recipient</th>
<th>Purpose of grant or contribution</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>a Paid during the year</td>
<td></td>
<td></td>
<td></td>
<td>122,122,981</td>
</tr>
<tr>
<td>ATTACHMENT 18</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b Approved for future payment</td>
<td></td>
<td></td>
<td></td>
<td>13,848,138</td>
</tr>
<tr>
<td>ATTACHMENT 18</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Total ................................. ➤ 3a 122,122,981

b Approved for future payment

ATTACHMENT 18

Total ................................. ➤ 3b 13,848,138
### Part XVI-A - Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

<table>
<thead>
<tr>
<th></th>
<th>Unrelated business income</th>
<th>Excluded by section 512, 513, or 514</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>(a) Business code</td>
<td>(b) Amount</td>
</tr>
<tr>
<td>1</td>
<td>Program service revenue</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Membership dues and assessments</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>Interest on savings and temporary cash investments</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>Dividends and interest from securities</td>
<td>523000</td>
</tr>
<tr>
<td>5</td>
<td>Net rental income or (loss) from real estate</td>
<td>523000</td>
</tr>
<tr>
<td>6</td>
<td>Net rental income or (loss) from personal property</td>
<td>523000</td>
</tr>
<tr>
<td>7</td>
<td>Other investment income</td>
<td>523000</td>
</tr>
<tr>
<td>8</td>
<td>Gain or (loss) from sales of assets other than inventory</td>
<td>523000</td>
</tr>
<tr>
<td>9</td>
<td>Net income or (loss) from special events</td>
<td></td>
</tr>
<tr>
<td>10</td>
<td>Gross profit or (loss) from sales of inventory</td>
<td></td>
</tr>
<tr>
<td>11</td>
<td>Other revenue</td>
<td>a</td>
</tr>
<tr>
<td>12</td>
<td>Subtotal</td>
<td></td>
</tr>
<tr>
<td>13</td>
<td>Total</td>
<td>Add line 12, columns (b), (d), and (e)</td>
</tr>
</tbody>
</table>

(See instructions in line 13 instructions to verify calculations)

### Part XVI-B - Relationship of Activities to the Accomplishment of Exempt Purposes

Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
### Part XVII  Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1. Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

   a. Transfers from the reporting foundation to a noncharitable exempt organization of
      
      (1) Cash ......................................................... 1a(1) Yes No X
      (2) Other assets ........................................... 1a(2) X

   b. Other transactions
      
      (1) Sales of assets to a noncharitable exempt organization  1b(1) X
      (2) Purchases of assets from a noncharitable exempt organization  1b(2) X
      (3) Rental of facilities, equipment, or other assets  1b(3) X
      (4) Reimbursement arrangements .................................. 1b(4) X
      (5) Loans or loan guarantees ................................ 1b(5) X
      (6) Performance of services or membership or fundraising solicitations  1b(6) X
      (7) Sharing of facilities, equipment, mailing lists, other assets, or paid employees  1c(6) X

   c. If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

<table>
<thead>
<tr>
<th>(a) Line no.</th>
<th>(b) Amount involved</th>
<th>(c) Name of noncharitable exempt organization</th>
<th>(d) Description of transfers, transactions, and sharing arrangements</th>
</tr>
</thead>
<tbody>
<tr>
<td>ATCH</td>
<td>17</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

2a. Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? □ Yes X No

If "Yes," complete the following schedule:

<table>
<thead>
<tr>
<th>(a) Name of organization</th>
<th>(b) Type of organization</th>
<th>(c) Description of relationship</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

---

Under penalty of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss the return with the preparer shown below (see instructions)? □ Yes X No

**Paid Preparer**
- Print/Type preparer's name: ROBERT BYRD II
- Preparer's signature: [Signature]
- Date: 11/12/16
- Check if self-employed: P00106932
- Firm's EIN: 36-6055558
- Firm's address: 201 S COLLEGE ST, STE 2500
  CHARLOTTE, NC 28244
- Phone no: 704-632-3500

**Sign Here**
- Signature of officer or trustee: [Signature]
- Date: 11/14/16
- Title: Transvat: CFO

---

JSA
5E1493 1 000
## FORM 990-PF - PART IV

### CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

<table>
<thead>
<tr>
<th>Kind of Property</th>
<th>Description</th>
<th>Adjusted basis as of 12/31/09</th>
<th>Excess of FMV over adj. basis</th>
<th>Date sold</th>
<th>Date acquired</th>
</tr>
</thead>
<tbody>
<tr>
<td>Gross sale price less expenses of sale</td>
<td>Depreciation allowed/ allowable</td>
<td>Cost or other basis</td>
<td>FMV as of 12/31/09</td>
<td>Gain or (loss)</td>
<td></td>
</tr>
<tr>
<td>TOTAL FROM PASS THROUGH INVESTMENTS</td>
<td>PROPERTY TYPE OTHER</td>
<td>137,195,776</td>
<td>VAR</td>
<td>VAR</td>
<td>137,195,776</td>
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<tr>
<td>SALE OF ALTERNATE INVESTMENTS</td>
<td>PROPERTY TYPE OTHER</td>
<td>5,455,766</td>
<td>VAR</td>
<td>VAR</td>
<td></td>
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<tr>
<td>PUBLICLY TRADED SECURITIES</td>
<td>PROPERTY TYPE SECURITIES</td>
<td>214,227,45</td>
<td>VAR</td>
<td>VAR</td>
<td>214,227,45</td>
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<tr>
<td>GAINS/(LOSSES) DISTRIBUTIONS PER 1099S</td>
<td>PROPERTY TYPE OTHER</td>
<td>3,932,573</td>
<td>VAR</td>
<td>VAR</td>
<td>3,932,573</td>
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<tr>
<td>DISTRIBUTIONS IN EXCESS OF BASIS</td>
<td>PROPERTY TYPE OTHER</td>
<td>383,533,86</td>
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<td>383,533,86</td>
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<tr>
<td>PUT OPTIONS</td>
<td>PROPERTY TYPE SECURITIES</td>
<td>-273,7510</td>
<td>VAR</td>
<td>VAR</td>
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</tr>
<tr>
<td>FUTURES CONTRACTS</td>
<td>PROPERTY TYPE SECURITIES</td>
<td>-447,86500</td>
<td>VAR</td>
<td>VAR</td>
<td>-447,86500</td>
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<tr>
<td>FOREIGN EXCHANGE CONTRACTS</td>
<td>PROPERTY TYPE SECURITIES</td>
<td>185,47802</td>
<td>VAR</td>
<td>VAR</td>
<td>185,47802</td>
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<tr>
<td>SWAPS</td>
<td>PROPERTY TYPE SECURITIES</td>
<td>-182,3260</td>
<td>VAR</td>
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<tr>
<td>TOTAL GAIN(LOSS)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>175,560,778</td>
</tr>
</tbody>
</table>
### FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<table>
<thead>
<tr>
<th>Description</th>
<th>Revenue and Expenses Per Books</th>
<th>Net Investment Income</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dividends and Interest</td>
<td>32,485,170</td>
<td>32,485,170</td>
</tr>
<tr>
<td>Book income reclassified: K-1s &amp; 1099s</td>
<td></td>
<td>-20,128,390</td>
</tr>
<tr>
<td>Taxable income (net of UBTI) per K-1s</td>
<td></td>
<td>35,713,957</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>32,485,170</strong></td>
<td><strong>48,070,737</strong></td>
</tr>
<tr>
<td>Description</td>
<td>Other Income (Net of UBTI) per K-1s</td>
<td>Totals</td>
</tr>
<tr>
<td>-------------</td>
<td>-----------------------------------</td>
<td>--------</td>
</tr>
<tr>
<td>Net Investment Income</td>
<td>$9,857,745</td>
<td></td>
</tr>
<tr>
<td>Revenue and Expenses per Book</td>
<td></td>
<td></td>
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</table>

**FORM 990PF, PART I - OTHER INCOME**

**ATTACHMENT 2**
<table>
<thead>
<tr>
<th>Description</th>
<th>Legal Fees</th>
</tr>
</thead>
<tbody>
<tr>
<td>TOTALS</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>REVENUE AND EXPENSES PER BOOKS</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>59,789.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>NET INVESTMENT INCOME</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>59,789.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>ADJUSTED NET INCOME</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>CHARITABLE PURPOSES</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>59,789.</td>
</tr>
<tr>
<td>DESCRIPTION</td>
<td>REVENUE AND EXPENSES PER BOOKS</td>
</tr>
<tr>
<td>------------------------------</td>
<td>---------------------------------</td>
</tr>
<tr>
<td>ACCOUNTING FEES - AUDIT &amp; TAX</td>
<td>273,220.</td>
</tr>
<tr>
<td>TOTALS</td>
<td>273,220.</td>
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<tr>
<td>DESCRIPTION</td>
<td>NET INVESTMENT INCOME</td>
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<tr>
<td>------------------------------</td>
<td>-----------------------</td>
</tr>
<tr>
<td>INVESTMENT COUNSELING FEES CONSULTANTS</td>
<td>804,324.</td>
</tr>
<tr>
<td>CHARITABLE PURPOSES</td>
<td>804,324.</td>
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</table>
# FORM 990PF, PART I - INTEREST EXPENSE

<table>
<thead>
<tr>
<th>DESCRIPTION</th>
<th>REVENUE AND EXPENSES PER BOOKS</th>
<th>NET INVESTMENT INCOME</th>
</tr>
</thead>
<tbody>
<tr>
<td>INVESTMENT INT EXP PER K-1S</td>
<td>5,693,860.</td>
<td>5,693,860.</td>
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</tbody>
</table>

**TOTALS**

5,693,860.
### FORM 990PF, PART I - TAXES

<table>
<thead>
<tr>
<th>DESCRIPTION</th>
<th>REVENUE AND EXPENSES PER BOOKS</th>
<th>NET INVESTMENT INCOME</th>
</tr>
</thead>
<tbody>
<tr>
<td>EXCISE TAXES (NET)</td>
<td>1,162,457.</td>
<td>897,832.</td>
</tr>
<tr>
<td>FOREIGN TAXES PAID</td>
<td></td>
<td></td>
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</tbody>
</table>

**TOTALS**

|                    | 1,162,457. | 897,832. |
### FORM 990PF, PART I - OTHER EXPENSES

<table>
<thead>
<tr>
<th>DESCRIPTION</th>
<th>REVENUE AND EXPENSES PER BOOKS</th>
<th>NET INCOME</th>
<th>CHARITABLE PURPOSES</th>
</tr>
</thead>
<tbody>
<tr>
<td>Info. Systems General Services</td>
<td>227,870</td>
<td>764</td>
<td>227,001</td>
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<tr>
<td>Investment Data Services</td>
<td>25,080</td>
<td>22,059</td>
<td>37,465</td>
</tr>
<tr>
<td>Information Systems Software</td>
<td>37,999</td>
<td>470</td>
<td>61,543</td>
</tr>
<tr>
<td>Information Systems Hardware</td>
<td>62,223</td>
<td>598</td>
<td></td>
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<tr>
<td>Telephone Services</td>
<td>123,915</td>
<td>3,724</td>
<td>119,681</td>
</tr>
<tr>
<td>Other Equipment Lease</td>
<td>3,357</td>
<td>109</td>
<td>3,233</td>
</tr>
<tr>
<td>Copier Expenses</td>
<td>18,505</td>
<td>589</td>
<td>17,835</td>
</tr>
<tr>
<td>Record Retention</td>
<td>1,078</td>
<td></td>
<td>1,078</td>
</tr>
<tr>
<td>Stationary and Supplies</td>
<td>16,118</td>
<td>358</td>
<td>15,711</td>
</tr>
<tr>
<td>Postage</td>
<td>7,633</td>
<td>235</td>
<td>7,366</td>
</tr>
<tr>
<td>Furniture and Equipment</td>
<td>5,649</td>
<td>68</td>
<td>5,572</td>
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<tr>
<td>Miscellaneous</td>
<td>52,257</td>
<td>797</td>
<td>51,351</td>
</tr>
<tr>
<td>Publications-Subscriptions</td>
<td>23,028</td>
<td>464</td>
<td>22,501</td>
</tr>
<tr>
<td>Hosting/Convening Meetings</td>
<td>178,323</td>
<td>439</td>
<td>177,824</td>
</tr>
<tr>
<td>Internal Meetings and Meals</td>
<td>120,909</td>
<td>1,676</td>
<td>119,004</td>
</tr>
<tr>
<td>Insurance (Not Emp Benefit)</td>
<td>64,680</td>
<td>2,315</td>
<td>62,048</td>
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<tr>
<td>Memberships</td>
<td>283,010</td>
<td>2,001</td>
<td>280,735</td>
</tr>
<tr>
<td>Reimbursements- Other Entities</td>
<td>-105,433</td>
<td>-19,653</td>
<td>-83,088</td>
</tr>
<tr>
<td>Custody Fees</td>
<td>449,120</td>
<td>296,279</td>
<td>112,266</td>
</tr>
<tr>
<td>Decrease in Pension and Post</td>
<td>921,655</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Retirement Obligation</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Miscellaneous</td>
<td>650,158</td>
<td>130</td>
<td>650,010</td>
</tr>
<tr>
<td>Other Deductions Per K-1S</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**TOTALS** 3,167,134  47,731,902  1,889,136
<table>
<thead>
<tr>
<th>DESCRIPTION</th>
<th>CORPORATE STOCK</th>
<th>TOTALS</th>
</tr>
</thead>
<tbody>
<tr>
<td>ENDING FMV</td>
<td>88,656,846</td>
<td></td>
</tr>
<tr>
<td>ENDING BOOK VALUE</td>
<td>54,436,044</td>
<td></td>
</tr>
</tbody>
</table>

FORM 990PF, PART II - CORPORATE STOCK
<table>
<thead>
<tr>
<th>DESCRIPTION</th>
<th>ENDING BOOK VALUE</th>
<th>ENDING FMV</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hedged Strategies</td>
<td>2,553,610,625</td>
<td>3,177,642,280</td>
</tr>
<tr>
<td>International Equities</td>
<td>441,969,301</td>
<td>575,213,823</td>
</tr>
<tr>
<td>International Fixed Income</td>
<td>74,442,640</td>
<td>84,941,025,914</td>
</tr>
<tr>
<td>Private Investments</td>
<td>45,731,616</td>
<td>54,674,060,802</td>
</tr>
<tr>
<td>Real Estate Assets</td>
<td>441,557,621</td>
<td>460,474,950</td>
</tr>
<tr>
<td>Short Term Investments</td>
<td>460,474,950</td>
<td>460,474,950</td>
</tr>
<tr>
<td>Other</td>
<td>65,348,737</td>
<td>65,348,737</td>
</tr>
<tr>
<td><strong>TOTALS</strong></td>
<td><strong>2,553,610,625</strong></td>
<td><strong>3,177,642,280</strong></td>
</tr>
<tr>
<td>DESCRIPTION</td>
<td>ENDING BOOK VALUE</td>
<td>ENDING FMV</td>
</tr>
<tr>
<td>-------------------------------------</td>
<td>-------------------</td>
<td>------------</td>
</tr>
<tr>
<td>OTHER ASSETS</td>
<td>9,237,116.</td>
<td>9,237,116</td>
</tr>
<tr>
<td>SECURITIES TRANSACTIONS REC.</td>
<td>13,773,824.</td>
<td>13,773,824.</td>
</tr>
<tr>
<td><strong>TOTALS</strong></td>
<td><strong>23,010,940.</strong></td>
<td><strong>23,010,940.</strong></td>
</tr>
</tbody>
</table>
**FORM 990PF, PART II - MORTGAGES AND OTHER NOTES PAYABLE**

**LENDER:** MASSACHUSETTS MUTUAL  
**ORIGINAL AMOUNT:** 40,000,000  
**INTEREST RATE:** 3.85%  
**DATE OF NOTE:** 10/31/2012  
**MATURITY DATE:** 10/31/2037  
**REPAYMENT TERMS:** SEMI ANNUAL REPAYMENT  
**PURPOSE OF LOAN:** BUILD NEW FACILITY FOR THE ENDOWMENT HEADQUARTERS

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>BEGINNING BALANCE DUE</td>
<td>38,011,733</td>
</tr>
<tr>
<td>ENDING BALANCE DUE</td>
<td>36,959,267</td>
</tr>
<tr>
<td>TOTAL BEGINNING MORTGAGES AND OTHER NOTES PAYABLE</td>
<td>38,011,733</td>
</tr>
<tr>
<td>TOTAL ENDING MORTGAGES AND OTHER NOTES PAYABLE</td>
<td>36,959,267</td>
</tr>
<tr>
<td>DESCRIPTION</td>
<td>ENDING BOOK VALUE</td>
</tr>
<tr>
<td>-------------------------------------------</td>
<td>-------------------</td>
</tr>
<tr>
<td>SECURITIES TRANSACTIONS PAYABLE</td>
<td>3,931,469</td>
</tr>
</tbody>
</table>

TOTALS                                                                 3,931,469
<table>
<thead>
<tr>
<th>DESCRIPTION</th>
<th>AMOUNT</th>
</tr>
</thead>
<tbody>
<tr>
<td>CHARITABLE CONTRIBUTIONS FROM K-1S</td>
<td>19,709.</td>
</tr>
<tr>
<td>DECREASE IN GRANTS PAYABLE</td>
<td>-6,084,077.</td>
</tr>
<tr>
<td>CHANGE IN DEFERRED TAX LIABILITY</td>
<td>1,158,283.</td>
</tr>
<tr>
<td>Rounding</td>
<td>7.</td>
</tr>
<tr>
<td><strong>TOTAL</strong></td>
<td><strong>-4,906,078</strong></td>
</tr>
<tr>
<td>Name and Address</td>
<td>(b) Title and Avg Hrs per Week</td>
</tr>
<tr>
<td>------------------</td>
<td>---------------------------------</td>
</tr>
<tr>
<td>William Barnett, III 800 E Morehead Street Charlotte, NC 28202-2706</td>
<td>Trustee *5 5 hours per week</td>
</tr>
<tr>
<td>Dennis M. Campbell 800 E Morehead Street Charlotte, NC 28202-2706</td>
<td>Trustee Vice-Chairman *5 5 hours per week</td>
</tr>
<tr>
<td>John F A V. Cecil 800 E Morehead Street Charlotte, NC 28202-2706</td>
<td>Trustee *5 5 hours per week</td>
</tr>
<tr>
<td>Ravenel B Curry III 800 E Morehead Street Charlotte, NC 28202-2706</td>
<td>Trustee *5 5 hours per week</td>
</tr>
<tr>
<td>Harris E Deloach Jr 800 E Morehead Street Charlotte, NC 28202-2706</td>
<td>Trustee *5 5 hours per week</td>
</tr>
<tr>
<td>Constance F. Gray 800 E Morehead Street Charlotte, NC 28202-2706</td>
<td>Trustee *5 5 hours per week</td>
</tr>
<tr>
<td>Mary D T Jones 800 E Morehead Street Charlotte, NC 28202-2706</td>
<td>Trustee Vice-Chairman *5 5 hours per week</td>
</tr>
<tr>
<td>Thomas S Kenan, III 800 E Morehead Street Charlotte, NC 28202-2706</td>
<td>Trustee *5 5 hours per week</td>
</tr>
<tr>
<td>Charles C Lucas, III 800 E Morehead Street Charlotte, NC 28202-2706</td>
<td>Trustee *5 5 hours per week</td>
</tr>
<tr>
<td>Whelimena M Reuben-Cooke 800 E Morehead Street Charlotte, NC 28202-2706</td>
<td>Trustee *5 5 hours per week</td>
</tr>
<tr>
<td>Russell M Robinson II 800 E Morehead Street Charlotte, NC 28202-2706</td>
<td>Trustee *5 5 hours per week</td>
</tr>
<tr>
<td>Minor M Shaw 800 E Morehead Street Charlotte, NC 28202-2706</td>
<td>Trustee Chairman *14 5 hours per week</td>
</tr>
<tr>
<td>Jean G Spaulding 800 E Morehead Street Charlotte, NC 28202-2706</td>
<td>Trustee *5 5 hours per week</td>
</tr>
<tr>
<td>Kenneth D Weeks, Jr 800 E Morehead Street Charlotte, NC 28202-2706</td>
<td>Trustee *5 5 hours per week</td>
</tr>
<tr>
<td>Judy Woodruff 800 E Morehead Street Charlotte, NC 28202-2706</td>
<td>Trustee *5 5 hours per week</td>
</tr>
</tbody>
</table>

*Compensation Explanation*
Trustee compensation was set forth in the Second division of Mr. Duke's Indenture of Trust dated December 11, 1924, as amended. Trustees do not receive compensation for travel-related expenses. The minimum hours for trustees are based upon 10 board meetings, related committee meetings, travel and preparation time. Trustees are also frequently consulted between meetings and asked to review and comment on e-mails, reports and papers prepared by staff and assist on other Endowment related matters. Broken down on a weekly basis, the minimum time is approximately 4 to 7 hours per week, per trustee.
### List of Officers, Directors, Trustees, Foundation Managers and Their Compensation

<table>
<thead>
<tr>
<th>Name and Address</th>
<th>(b) Title and Avg Hrs per Week</th>
<th>(c) Compensation</th>
<th>(d) Contributions to Employee Benefit Plans and Deferred Compensation</th>
<th>(e) Expense Account and Other Allowances</th>
</tr>
</thead>
<tbody>
<tr>
<td>Eugene W Cochrane, Jr</td>
<td>President</td>
<td></td>
<td>$561,833</td>
<td>$38,590</td>
</tr>
<tr>
<td>800 E Morehead Street</td>
<td>Over 40 hours per week</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Charlotte, NC 28202-2706</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Tawn W Honeycutt</td>
<td>Secretary</td>
<td></td>
<td>$107,544</td>
<td>$29,850</td>
</tr>
<tr>
<td>800 E Morehead Street</td>
<td>Over 40 hours per week</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Charlotte, NC 28202-2706</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Rhett N Mabry</td>
<td>Vice President and Dir Child Care Division</td>
<td>Over 40 hours per week</td>
<td>$305,938</td>
<td>**</td>
</tr>
<tr>
<td>800 E Morehead Street</td>
<td>Over 40 hours per week</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Charlotte, NC 28202-2706</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Arthur E Morehead IV</td>
<td>V P and General Counsel Assistant Secretary</td>
<td>Over 40 hours per week</td>
<td>$248,444</td>
<td>$47,363</td>
</tr>
<tr>
<td>800 E Morehead Street</td>
<td>Over 40 hours per week</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Charlotte, NC 28202-2706</td>
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</tr>
<tr>
<td>Mary L Piepenbring</td>
<td>Vice President and Dir Health Care Division</td>
<td>Over 40 hours per week</td>
<td>$320,703</td>
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</tr>
<tr>
<td>800 E Morehead Street</td>
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<td>Charlotte, NC 28202-2706</td>
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<tr>
<td>Karen H Rogers</td>
<td>Treasurer</td>
<td></td>
<td>$230,393</td>
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</tr>
<tr>
<td>800 E Morehead Street</td>
<td>Over 40 hours per week</td>
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<td></td>
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</tr>
<tr>
<td>Charlotte, NC 28202-2706</td>
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</table>

**Total Part VIII, Line 1**

| $4,002,365 | $302,026 | $56,858 |

**The Duke Endowment provides a supplemental executive retirement plan to a select group of management or highly compensated employees under a nonqualified deferred compensation arrangement, subject to substantial risk of forfeiture.**
The Duke Endowment 56-0529965

2015
Statement 15
Form 990-PF, Part VIII, Line 2
Compensation of Five Highest Paid Employees (Other Than Those Included on Line 1)

<table>
<thead>
<tr>
<th>(a) Name and Address</th>
<th>(b) Title and Avg Hrs per Week</th>
<th>(c) Compensation</th>
<th>(d) Contributions to Employee Benefit Plans and Deferred Compensation</th>
<th>(e) Expense Account and Other Allowances</th>
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</thead>
<tbody>
<tr>
<td>William Bacon</td>
<td>Director of Evaluation</td>
<td>Over 40 hours</td>
<td>$169,942</td>
<td>$45,023</td>
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<tr>
<td>800 E Morehead St</td>
<td>per week</td>
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<tr>
<td>Charlotte, NC 28202-2706</td>
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</tr>
<tr>
<td>Susan L McConnell</td>
<td>Dir of Human Resources</td>
<td>Over 40 hours</td>
<td>$227,965</td>
<td>**</td>
</tr>
<tr>
<td>800 E Morehead St</td>
<td>per week</td>
<td></td>
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<tr>
<td>Charlotte, NC 28202-2706</td>
<td></td>
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<td></td>
<td></td>
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<tr>
<td>Chanty Perkins</td>
<td>Director Communications</td>
<td>Over 40 hours</td>
<td>$187,399</td>
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</tr>
<tr>
<td>800 E Morehead St</td>
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<tr>
<td>Charlotte, NC 28202-2706</td>
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<tr>
<td>K Todd Walker</td>
<td>Director of Investments</td>
<td>Over 40 hours</td>
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<tr>
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<tr>
<td>Charlotte, NC 28202-2706</td>
<td></td>
<td></td>
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</tr>
<tr>
<td>Robert Webb</td>
<td>Director</td>
<td>Rural Church</td>
<td>$203,315</td>
<td>$24,731</td>
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<tr>
<td>800 E Morehead St</td>
<td>Division</td>
<td>Over 40 hours</td>
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</tr>
<tr>
<td>Charlotte, NC 28202-2706</td>
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</table>

TOTAL Part VIII, Line 2
$898,135
$159,154
$0

**The Duke Endowment provides a supplemental executive retirement plan to a select group of management or highly compensated employees under a nonqualified deferred compensation arrangement, subject to substantial risk of forfeiture.**
990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS

<table>
<thead>
<tr>
<th>NAME AND ADDRESS</th>
<th>TYPE OF SERVICE</th>
<th>COMPENSATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>DUMAC, LLC</td>
<td>INVESTMENT ADVISOR</td>
<td>4,281,886.</td>
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<tr>
<td>406 BLACKWELL STREET, SUITE 300</td>
<td></td>
<td></td>
</tr>
<tr>
<td>DURHAM, NC 27701</td>
<td></td>
<td></td>
</tr>
<tr>
<td>NORTHWESTERN MUTUAL</td>
<td>INSURANCE</td>
<td>714,263.</td>
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<tr>
<td>720 E WISCONSIN AVE.</td>
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<td></td>
</tr>
<tr>
<td>MILWAUKEE, WI 53202</td>
<td></td>
<td></td>
</tr>
<tr>
<td>RODGERS BUILDERS</td>
<td>GENERAL CONTRACTOR</td>
<td>621,314.</td>
</tr>
<tr>
<td>PO BOX 18446</td>
<td></td>
<td></td>
</tr>
<tr>
<td>CHARLOTTE, NC 28218</td>
<td></td>
<td></td>
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<tr>
<td>CIGNA HEALTHCARE</td>
<td>INSURANCE COMPANY</td>
<td>890,684.</td>
</tr>
<tr>
<td>900 COTTAGE GROVE RD</td>
<td></td>
<td></td>
</tr>
<tr>
<td>BLOOMFIELD, CT 06002</td>
<td></td>
<td></td>
</tr>
<tr>
<td>BNY MELLON BANK</td>
<td>CUSTODY BANK</td>
<td>452,013.</td>
</tr>
<tr>
<td>BNY MELLON CENTER</td>
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<tr>
<td>PITTSBURGH, PA 15258</td>
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</table>

TOTAL COMPENSATION                      | 6,960,160                   |
2015
Statement 16
Form 990-PF, Part XV, Question 2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs: The following responses are for questions 2a, b, c, and d of the 990-PF. More detailed information may be found at the Duke Endowment's Web Site at http://www.dukeendowment.org.

a) If you believe you have a project that would qualify for a grant under the terms of the indenture, or that would meet the guidelines for a program approved by the Endowment's trustees, please send a letter or e-mail (gcochrane@tde.org) describing the proposed project to:

Mr. Rhett N. Mabry, President
The Duke Endowment
800 East Morehead Street
Charlotte, North Carolina 28202-4012
704/376-0291

b) and c) No specific forms are required for letters of inquiry. Forms for formal applications are available on the Endowment’s website. There are no submission deadlines. The following information may be helpful to potential grantees:

Eligible requests will be referred to an appropriate program officer in our education, health care, child care, or rural church program areas. The program officer may request a full proposal, including project description, budget, funding sources, board members, and other pertinent information. If a meeting is desirable, the program officer will arrange this, as well as site visits or other conferences.

If the proposal is eligible and complete, the program officer will take it to a committee of the trustees. The trustees of The Duke Endowment maintain committees for each of the four program areas.

If the proposal is endorsed by the appropriate committee, it is then taken to the board of trustees for consideration. The trustees meet at least ten times a year, and committees meet at scheduled times between board meetings. Depending on the meeting times and the completeness of the proposal, it may take between two and six months for a final decision.

Original letters of inquiry are normally answered within 30 days. Those who have been asked to submit full proposals are notified of the trustees' decision by letter immediately after the trustees' meeting.
d) The Duke Endowment is a charitable trust, established in 1924 by North Carolina industrialist James Buchanan Duke. While the Endowment is legally a trust, it also meets the definition of a private or independent foundation.

The charter instrument that created The Duke Endowment is the Indenture of Trust. In it, Mr. Duke specified those who would be eligible for grants, stated his reasons for these choices, and gave clear instructions about the future operation of the trust. Under guidelines explained in the Indenture, the Endowment awards grants to the following:

- Four educational institutions: Duke University, Davidson College, Furman University, and Johnson C. Smith University. Grants are made for general and special purposes.

- Not-for-profit hospitals and health-care organizations in North Carolina and South Carolina. Grants are made for programs and for improving and expanding facilities.

- Not-for-profit child-care institutions and selected other agencies supporting children’s welfare in North Carolina and South Carolina. Grants are made for programs, facilities, and services.

- Rural United Methodist churches and related organizations in North Carolina. Grants are made for buildings and programs.

- Retired ministers who have served at least five years in an annual conference of the United Methodist Church in North Carolina, and their surviving dependents. Grants are made for support and maintenance.

- The trustees also have discretion to make grants to selected institutions doing charitable work similar to that of the beneficiaries named in the Indenture.
<table>
<thead>
<tr>
<th>LINE NO.</th>
<th>AMOUNT</th>
<th>NAME OF NONCHARITABLE ORGANIZATION</th>
<th>DESCRIPTION OF TRANSFERS AND SHARING ARRANGEMENT</th>
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<td>1A(1)</td>
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<td>EXEC. WOMEN INTL</td>
<td>MEMBERSHIP AND DUES</td>
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<td>1A(1)</td>
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<td>MEMBERSHIP AND DUES</td>
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<td>1A(1)</td>
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<td>1A(1)</td>
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<td>MECKLENBURG BAR ASSO</td>
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<td>CORP. COUNSEL</td>
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<td>INSTITUTE</td>
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### FORM 990PF, PART XVII, LINE 1D - INFORMATION REGARDING TRANSFERS

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<th>LINE NO.</th>
<th>AMOUNT INVOLVED</th>
<th>NAME OF NONCHARITABLE</th>
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### Education

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<td><strong>DUKE UNIVERSITY</strong></td>
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<tr>
<td>General Operating Support; funds spent as follows.</td>
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<tr>
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<td>6,249,600.00</td>
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<td>Perkins Library</td>
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</tr>
<tr>
<td>Special Appropriations from Reserve Fund</td>
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<tr>
<td>Mr. Duke's Duke</td>
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<td>14,050,000.00</td>
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<td>To support B N Duke Scholar's network and SC recruitment</td>
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<td>61,300.00</td>
<td>61,300.00</td>
<td>-</td>
</tr>
<tr>
<td>To support the Graduate Fellowship Endowment and Challenge Fund</td>
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<td>2,000,000.00</td>
<td>2,000,000.00</td>
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<tr>
<td>To help the Office of Civic Engagement expand its College Advising Corps with two members in Durham</td>
<td>-</td>
<td>96,761.00</td>
<td>96,761.00</td>
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<td>To help Sanford School of Public Policy determine the feasibility of the World Food Policy Center</td>
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<td>225,000.00</td>
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<td>To support an endowment challenge fund for need-based undergraduate financial aid</td>
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<td>2,000,000.00</td>
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<td><strong>DAVIDSON COLLEGE</strong></td>
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<tr>
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<td>699,600.00</td>
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<tr>
<td>Library</td>
<td>62,964.00</td>
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</tr>
<tr>
<td>Information Technology Services</td>
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<td>Special Appropriations from Reserve Fund</td>
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<tr>
<td>Capital Campaign - Integrated Academic Neighborhood</td>
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<td>8,000,000.00</td>
<td>8,000,000.00</td>
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<tr>
<td>To provide three years of expendable scholarship support to assist Davidson in sustaining and enhancing its commitment to access and affordability through the Davidson Trust</td>
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<td>2,000,000.00</td>
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<tr>
<td>Special Appropriations from Reserve Fund - Subtotal</td>
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<td>10,000,000.00</td>
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<td><strong>DAVIDSON COLLEGE - TOTAL</strong></td>
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<td>10,699,600.00</td>
<td>-</td>
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<td><strong>FURMAN UNIVERSITY</strong></td>
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<td>General Operating Support, funds spent as follows.</td>
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<td>Need-Based Scholarships</td>
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<td>Academic Programming</td>
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<tr>
<td>To provide additional endowment funds to the James B. Duke Scholarship</td>
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<td>To provide expendable support to create a community of scholars for the James B. Duke Scholarship</td>
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<td>General Operating Support; funds spent as follows.</td>
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<td>Faculty Salaries</td>
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<td>Faculties and Technical Services</td>
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<td>Capital Campaign</td>
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<td>To support the expansion of the Metropolitan College through online degree programs</td>
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<td>MULTI-SCHOOL COLLABORATION FROM RESERVE FUND</td>
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<td>To support the Resilience Project</td>
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<td>750,043 15</td>
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<td>Duke University, Durham, NC</td>
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<td>94,214 55</td>
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<td>Furman University, Greenville, SC</td>
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<td>415,263 62</td>
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<td>Johnson C Smith University, Charlotte, NC</td>
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<td>(3,951 71)</td>
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<td>Case Manager Support, Johnson C Smith, Charlotte, NC</td>
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<td>Environmental Sustainability - Food &amp; Farming, Davidson College, Davidson, NC</td>
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<td>Environmental Sustainability - Food &amp; Farming, Duke University, Durham, NC</td>
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<td>BUILDING AND EQUIPPING GRANTS</td>
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<td>HopeWay Foundation, Charlotte, NC</td>
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<td>Edgefield County Hospital, Edgefield, SC</td>
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<td>South Carolina Hospitals</td>
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<td>To expand a community network of care for the low-income, uninsured</td>
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<td>Blue Ridge Health Care System dba Grace Hospital, Morganton, NC</td>
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<td>To expand a community network of care for the low-income uninsured in Mitchell and Yancey counties</td>
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<td>To expand a care transitions program in western NC</td>
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<td>Care Share Health Alliance, Raleigh, NC</td>
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<td>To expand a state resource center supporting community networks of care for the low-income, uninsured in NC</td>
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<td>CarolinaHealthCare Foundation, Charlotte, NC</td>
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<td>To establish a community-based wellness and prevention Outreach program in Anson County</td>
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<tr>
<td>To implement services for pediatric behavioral health patients</td>
<td>350,000 00</td>
<td>350,000 00</td>
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<tr>
<td>To establish a comprehensive cancer survivorship program for senior adults</td>
<td>150,000 00</td>
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<td>To expand a community network of care for the low-income, uninsured in Mecklenburg county</td>
<td>171,350 00</td>
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<td>Carolina Medical Center - Uncon, Monroe, NC</td>
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<td>To expand a community network of care for the low-income, uninsured in Union and Anson counties</td>
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<td>Caro-Mont Health dba Gaston Memorial Hospital, Gastonia, NC</td>
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<td>To expand a collaborative network of care for the low-income, uninsured in Gaston County</td>
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<td>Catawba Valley Medical Center, Hickory, NC</td>
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<td>To implement a medical home model for disease management and prevention</td>
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<tr>
<td>To expand access to integrated care services for underserved patients</td>
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<tr>
<td>To expand a community coalition to increase capacity and improve population health</td>
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<tr>
<td>Charlotte Neuroscience Foundation, Charlotte, NC</td>
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<td>To establish a memory care program</td>
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<td>Charlotte Hospital, Siler City, NC</td>
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<tr>
<td>To expand a community coalition to increase capacity and improve population health</td>
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<td>Coastal Connect, Wilmington, NC</td>
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<td>To expand a regional health information exchange</td>
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<td>Cone Health, Greensboro, NC</td>
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<td>To expand a community network of care for the low-income, uninsured</td>
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<td>To expand a community network of care for the low-income, uninsured in Guilford County</td>
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<td>Cumberland County Hospital System, Fayetteville, NC</td>
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<td>To expand a community network of care for the low-income, uninsured in Cumberland and Hoke counties</td>
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<td>To assist with neuroscience faculty recruitment</td>
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<td>To establish a formal collaboration between Duke Medicine and the community to provide improved mental healthcare to children and families</td>
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<td>To assist with Department of Surgery faculty recruitment</td>
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<td>To develop, implement and evaluate a curriculum in high value health care</td>
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<td>To develop an antimicrobial stewardship network for community hospitals</td>
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<td>To establish a translational rehabilitation laboratory</td>
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<td>To develop a new model for community-based eye care delivery</td>
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<td>To establish Ophthalmology as a national center</td>
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<td>To expand a community network of care for the low-income, uninsured in Durham County</td>
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<td>Eastern Area Health Education Center, Greenville, NC</td>
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<td>To establish a satellite of the Center on the campus of Elizabeth City State University</td>
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<td>First Health of the Carolinas, Pinehurst, NC</td>
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<td>To expand a community coalition to increase capacity and improve population health</td>
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<td>Fletcher Hospital, Hendersonville, NC</td>
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<td>Forsyth Medical Center Foundation, Winston-Salem, NC</td>
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<td>To expand a community network of care for the low-income, uninsured</td>
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<td>Foundation for Health Leadership and Innovation, Cary, NC</td>
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<td>To establish a statewide pediatric dental education program</td>
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<td>Foundation for Nursing Excellence, Raleigh, NC</td>
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<td>To increase the number of Bachelor of Science in Nursing graduates in NC</td>
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<td>FutureCare of NC, Raleigh, NC</td>
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<td>To evaluate the use of computer-based technology in NC nursing homes</td>
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<td>Granville Health System, Oxford, NC</td>
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<td>To expand a community coalition to increase capacity and improve population health</td>
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<td>Johnston Memorial Hospital Foundation, Smithfield, NC</td>
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<td>To implement a care transitions program</td>
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<td>To expand a community network of care for the low-income, uninsured</td>
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<td>Leiner Memorial Hospital, Inc., Kinston, NC</td>
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<tr>
<td>To establish a stroke center</td>
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<td>McDowell Hospital, Marion, NC</td>
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<td>79,763 00</td>
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<td>To implement a medication reconciliation and adherence program in McDowell county</td>
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<tr>
<td>MedAssist of Mecklenburg County, Charlotte, NC</td>
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<td>To expand a medication assistance program in North Carolina</td>
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<td>Mission Hospitals, Asheville, NC</td>
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<td>To expand a school-based telehealth program</td>
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<td>To provide primary care for high-risk patients</td>
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<td>250,000 00</td>
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<td>To develop a family-centered transition program for infants exposed prenatally to prescription and/or illicit drugs</td>
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<td>500,000 00</td>
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<td>To expand a community network of care for the low-income, uninsured</td>
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<td>250,000 00</td>
<td>-</td>
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<td>Mountain Area Health Education Center, Asheville, NC</td>
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<td>250,000 00</td>
<td>250,000 00</td>
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<tr>
<td>To develop a regional perinatal program in western North Carolina</td>
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<tr>
<td>New Hanover Regional Medical Center, Wilmington, NC</td>
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<td>400,000 00</td>
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<td>To establish a hospital-wide transitions program</td>
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<td>To expand Wilmington Health Access for teens</td>
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<td>To expand a community network of care for the low-income, uninsured</td>
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<td>North Carolina Dental Health Fund, Cary, NC</td>
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<td>To develop a school-based sealant program for children in Halifax and Edgecombe counties</td>
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<td>NC Dept. of Health and Human Services Office of Rural Health and Community Care, Raleigh, NC</td>
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<td>To expand a statewide telepsychiatry program</td>
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<td>North Carolina Hospital Foundation, Cary, NC</td>
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<td>To implement a rural hospital improvement program in NC</td>
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<td>To support the NC Alliance for Effective Care Transitions (NC ACT)</td>
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<td>To support a web-based data collection and analysis program for NC hospitals</td>
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<td>North Carolina Institute of Medicine, Morenci, NC</td>
<td>PC</td>
<td>-</td>
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<td>To publish the North Carolina Medical Journal</td>
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<td>To create a state plan for Alzheimer's disease and dementia</td>
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<td>North Carolina Public Health Foundation, Raleigh, NC</td>
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<td>To expand the Center for Healthy NC</td>
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<td>To expand the Center for Public Health Quality</td>
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<td>Novant Health Foundation Presbyterian Medical Center, Charlotte, NC</td>
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<td>To support the expansion of a free clinic</td>
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<td>Onslow County Hospital Authority, Jacksonville, NC</td>
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<td>To implement a care transitions program for seniors</td>
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<td>Rowan Regional Medical Center Foundation, Salisbury, NC</td>
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<td>To implement a wellness program a Livingstone College</td>
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<td>To establish a diabetes education and management program</td>
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<td>To establish a community health education program in Scotland county</td>
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<td>University of North Carolina at Chapel Hill School of Medicine, Chapel Hill, NC</td>
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<td>To increase the number of family medicine residents trained in community health centers</td>
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<td>To establish a program to implement preterm birth risk guidelines</td>
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<td>To establish a telemedicine program for burn care</td>
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<td>To establish a program to improve cancer survivor care</td>
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<td>To expand a rural health residency program in NC</td>
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<td>University of North Carolina Hospitals, Chapel Hill, NC</td>
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<td>To establish a 10-bed hospice facility in Chatham County</td>
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<td>Vizant Duplin Hospital, Kenansville, NC</td>
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<td>To establish a substance abuse identification and treatment program</td>
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<td>Vizant Medical Center, Greenville, NC</td>
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<tr>
<td>To establish a telestroke program in eastern North Carolina</td>
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<td>Vizant Roanoke-Chowan Hospital, Ahoskie, NC</td>
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<td>To expand a community network of care for the low-income, uninsured in Hertford county</td>
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<td>Wake Forest University Health Sciences, Winston-Salem, NC</td>
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<td>To establish a Physician Assistant Program at Appalachian State</td>
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<td>To evaluate a faith-based and community partnership program</td>
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<td>555,623 00</td>
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<td>To establish an experimential learning curriculum for health professionals to enhance inter-professional training and practice</td>
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<td>105,034 00</td>
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<td>WakeMed, Raleigh, NC</td>
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<td>To implement a hospital Elder Life Program</td>
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<td>To expand a community network of care for the low-income, uninsured in Wake, Franklin and Johnston counties</td>
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<td>99,522 00</td>
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<td>Wayne Memorial Hospital, Goldsboro, NC</td>
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<td>To expand a community based health and wellness program</td>
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<td><strong>WNC Health Network, Asheville, NC</strong></td>
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<td>To expand WNC Healthy Impact, a community health improvement program in western North Carolina</td>
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<td><strong>Wilkes Regional Medical Center, N Wilkesboro, NC</strong></td>
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<td>To expand a community network of care for the low-income, uninsured</td>
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<td>To expand a community network of care for the low-income, uninsured</td>
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<td><strong>ArtMed Health Foundation, Anderson, SC</strong></td>
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<td>To expand a medical education program in Anderson county</td>
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<td><strong>Beaufort Memorial Hospital, Beaufort, S C</strong></td>
<td>PC</td>
<td>250,000 00</td>
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<tr>
<td>To develop a community network of care for the low-income uninsured in Beaufort and Jasper counties</td>
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<td><strong>Catwalk Medical Clinic of Kershaw County, Camden, SC</strong></td>
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<tr>
<td>To expand a community network of care for the low-income, uninsured in Kershaw County</td>
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<tr>
<td><strong>Clarendon Memorial Hospital, Manning SC</strong></td>
<td>PC</td>
<td>200,000 00</td>
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<td>To support a health outreach program for Latino families</td>
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<tr>
<td><strong>Conway Hospital, Conway, SC</strong></td>
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<tr>
<td>To expand a community network of care for the low-income, uninsured in Horry County</td>
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<tr>
<td><strong>Edgefield County Hospital, Edgefield, SC</strong></td>
<td>PC</td>
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<tr>
<td>To expand a community network of care for the low-income, uninsured in Edgefield and Greenwood counties</td>
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<td><strong>Georgetown Memorial Hospital, Georgetown, SC</strong></td>
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<td>To establish a community network of care for the low-income uninsured in Georgetown County</td>
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<td><strong>Greenville Hospital System, Greenville, SC</strong></td>
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<td>To support PASOs, a health outreach program for Latino families</td>
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<td>318,698 00</td>
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<td>To implement a Memory Health Program</td>
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<tr>
<td>To develop a community network of care for the low-income uninsured in Greenville County</td>
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<td>250,000 00</td>
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<tr>
<td>To develop accountable communities and medical neighborhoods</td>
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<td><strong>Health Sciences South Carolina, Columbia, SC</strong></td>
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<td>To expand a learning system</td>
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<td><strong>McLeod Regional Medical Center of the Pee Dee, Florence, SC</strong></td>
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<td>To establish a care transitions program in Dillon</td>
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<tr>
<td>To establish a community network of care for the low-income, uninsured in Darlington, Dillon, Florence and Manon counties</td>
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<td><strong>MUSC Foundation, Charleston, SC</strong></td>
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<td>To establish the MUSC Health Telemedicine Care and Training Center</td>
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<td>To establish a tele-ICU program for regional hospitals</td>
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<td>To establish a school-based telehealth program</td>
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<td>To expand a regional health information exchange network</td>
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<td>To expand a school-based obesity prevention program</td>
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<td>To establish a statewide pediatric telehealth network</td>
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<td>To develop a statewide coordinated system of care delivery for individuals diagnosed with sickle cell disease</td>
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<td>To establish a regional telemedicine program to reduce prescription opioid use during pregnancy</td>
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<td><strong>Oconee Medical Center, Seneca, SC</strong></td>
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<tr>
<td>To expand a community network of care for the low-income, uninsured in Oconee County and the greater Clemson area</td>
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<td><strong>Palmetto Health Alliance, Columbia, SC</strong></td>
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<td>To expand a community network of care for the low-income, uninsured in Oconee County and the greater Clemson area</td>
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<td>To expand a community network of care for the low-income, uninsured in Richland County</td>
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<td>250,000 00</td>
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<tr>
<td>To expand a regional health information exchange</td>
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<td>To develop a mobile simulation program</td>
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<td>To establish a diabetes prevention program</td>
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<td>Renew Our Community, Rock Hill, SC</td>
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<td>To support dental care services for uninsured adults in York, Chester and Lancaster counties</td>
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<td>Roger St Francis Healthcare, Charleston, SC</td>
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<td>To expand a community network of care for the low-income, uninsured in Charleston county</td>
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<td>Self Regional Healthcare, Greenwood, SC</td>
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<td>To expand a treatment program for genetic disabilities in SC</td>
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<td>South Carolina Department of Health and Environmental Control, Columbia, SC</td>
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<td>To improve EMS services for cardiac patients in SC</td>
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<td>South Carolina Department of Mental Health, Columbia, SC</td>
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<td>To expand a statewide telepsychiatry consultation program in SC</td>
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<td>South Carolina Hospital Research &amp; Education Foundation, West Columbia, SC</td>
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<td>To help develop a statewide web-based credentialing application to promote telenmedicine in SC</td>
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<td>To implement a rural hospital improvement program in SC</td>
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<td>To expand Working Well, a comprehensive workforce program in SC</td>
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<td>To support the Small and Rural Hospital Conference</td>
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<td>To support the South Carolina Program for Quality and Patient Safety</td>
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<td>To expand AccessHealth SC, a state resource for supporting community networks of care for the low-income, uninsured</td>
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<td>To expand Welsvita, a medication assistance program for the low-income, uninsured patients</td>
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<td>South Carolina Research Foundation, Columbia, SC</td>
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<td>To implement a training program for child care professionals in SC to prevent childhood obesity</td>
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<tr>
<td>Spartanburg Regional Health System Foundation, Spartanburg, SC</td>
<td>PC</td>
<td>150,000 00</td>
<td>150,000 00</td>
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<tr>
<td>To expand Healthy Smiles, a dental outreach program providing comprehensive services to uninsured children</td>
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<tr>
<td>To expand a community network of care for the low-income, uninsured in Spartanburg County</td>
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<tr>
<td>University of South Carolina Educational Foundation, Columbia, SC</td>
<td>PC</td>
<td>300,000 00</td>
<td>300,000 00</td>
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<tr>
<td>To expand the SC Institute of Medicine and Public Health</td>
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<td>To expand a community outreach and wellness program for colorectal cancer</td>
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<tr>
<td>SPECIAL PURPOSE GRANTS - Subtotal</td>
<td>1,150,274 00</td>
<td>40,088,685 00</td>
<td>38,035,121 00</td>
<td>3,203,836 00</td>
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<tr>
<td>HEALTH CARE - TOTAL</td>
<td>1,150,274 00</td>
<td>40,013,685 00</td>
<td>38,035,121 00</td>
<td>3,428,836 00</td>
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CHILD CARE

SPECIAL PURPOSE GRANTS

<table>
<thead>
<tr>
<th>Designation</th>
<th>Unpaid Grants Balance 12/31/14</th>
<th>Grants Approved (Reductions) 2015</th>
<th>Grants Paid (Refunds) 2015</th>
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<tbody>
<tr>
<td>Big Brothers Big Sisters of America, Philadelphia, NC</td>
<td>PC</td>
<td>384,965 00</td>
<td>(4,819 50)</td>
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<tr>
<td>To enable two Big Brother Big Sister agencies located in the Carolinas to join four others across the country in piloting an approach for improving educational outcomes</td>
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<td>Children and Family Futures, Lake Forest, CA</td>
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<tr>
<td>To incorporate evidence-based parent training programs with family drug courts</td>
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<td>Child Trends, Washington, D.C</td>
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<tr>
<td>To evaluate the expansion of the Child Well-Being project</td>
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<tr>
<td>To evaluate a new foster parent training model in six NC counties</td>
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<td>115,470 00</td>
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<tr>
<td>Eckerd Youth Alternatives, Clearwater, FL</td>
<td>PC</td>
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<tr>
<td>Institute for Child Outcomes d b a. Kids Insight, Brockton, MA</td>
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<tr>
<td>Kids Insight, Brockton, MA</td>
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<td>National Children’s Alliance, Washington, D C</td>
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<td>Neighbor to Family, Daytona Beach, FL</td>
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<td>Reach Out and Read, Inc. Boston, MA</td>
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<td>Adolescent Pregnancy Prevention Campaign of N C, Durham, NC</td>
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<td>Banum Springs Home for Children, Inc</td>
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<td>Benchmarks, Raleigh, NC</td>
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<td>Black Mountain Home for Children, Youth &amp; Families, Black Mountain, NC</td>
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<td>Boys and Girls Homes of North Carolina, Lake Waccamaw, NC</td>
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<td>To establish a culinary arts program</td>
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<td>Catawba County Department of Social Services, Newton, NC</td>
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<td>Center for Child and Family Policy (Duke University), Durham, NC</td>
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<td>- 700,000.00</td>
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<td>To affect a measurable reduction in child abuse and neglect rates of young children and families residing in Durham, NC</td>
<td>- 180,000.00</td>
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<td>Center for Supportive Schools, Wake Forest, NC</td>
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<td>Children’s Hope Alliance, Banum Springs, NC</td>
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<td>Designation</td>
<td>Balance 12/31/14</td>
<td>Grants Approved (Reductions) 2015</td>
<td>Grants Paid (Refunds) 2015</td>
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<tr>
<td>Communities in Schools of Durham, Durham, NC</td>
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<tr>
<td>To implement the Incredible Years program, an evidence-based model</td>
<td>PC</td>
<td>122,000 00</td>
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<tr>
<td>Council for Children's Rights, Inc., Charlotte, NC</td>
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<td>To support the custody advocate program</td>
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<td>Dave Thomas Foundation for Adoption, Durham, NC</td>
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<tr>
<td>To expand the child-focused adoption recruitment model in NC and SC</td>
<td>PC</td>
<td>225,000 00</td>
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<td>To expand the child-focused adoption recruitment model in NC and SC</td>
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<td>Duke University Health System, Durham, NC</td>
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<td>To support the training and coaching for Together Facing the Challenge, a foster care treatment model</td>
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<td>To support the training and coaching for Together Facing the Challenge, a foster care treatment model</td>
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<td>Durham County Cooperative Extension, Durham, NC</td>
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<td>To support the Incredible Years program, an evidence-based model</td>
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<td>Eliza Homes, Asheville, NC</td>
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<td>To support the Eliza School of Trade Arts</td>
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<td>Exchange SCAN, Winston-Salem, NC</td>
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<tr>
<td>To support parent aids services</td>
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<td>Exchange Clubs Child Abuse Prevention Center, Durham, NC</td>
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<td>To replicate Multidimensional Family Therapy</td>
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<td>Frank Porter Graham Child Development Institute, Chapel Hill, NC</td>
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<td>To evaluate the implementation of Triple P in two NC counties</td>
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<td>To create a system to support the sustainable scale-up of Triple P, an evidence-based model</td>
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<td>259,870 00</td>
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<td>Mecklenburg County Department of Social Services, Charlotte, NC</td>
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<td>To employ predictive analytics for improving child safety</td>
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<td>Methodist Home for Children, Inc., Raleigh, NC</td>
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<td>To support a framework that creates standard outcomes, measurement tools and collection processes</td>
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<td>Prevent Child Abuse North Carolina, Raleigh, NC</td>
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<td>To support the Incredible Years and Strengthening Families program</td>
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<td>Rapid Resource for Families, Charlotte, NC</td>
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<td>To recruit, train and supervise more foster families</td>
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<td>Reclaiming Futures, North Carolina</td>
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<td>CenterPoint Human Services</td>
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<td>To support North Carolina Reclaiming Futures, a promising substance abuse and juvenile justice recovery model</td>
<td>PC</td>
<td>65,000 00</td>
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<tr>
<td>To support North Carolina Reclaiming Futures, a promising substance abuse and juvenile justice recovery model</td>
<td>PC</td>
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<tr>
<td>Robeson Health Care Corporation, Pembroke, NC</td>
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<td>To support the Robeson County Family Treatment Court</td>
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<tr>
<td>University of North Carolina at Chapel Hill School of Social Work, Chapel Hill, NC</td>
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<td>To implement the public/private performance based toolkit</td>
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<td>To build sustained data analytics capacity for informing child welfare and mental health services</td>
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<tr>
<td>Wake Forest University Health Sciences, Winston-Salem, NC</td>
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<tr>
<td>To evaluate Reclaiming Futures, an evidence-informed substance abuse model</td>
<td>PC</td>
<td>95,000 00</td>
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<td><strong>Winston-Salem Foundation, Winston-Salem, NC</strong></td>
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<td>To support the Forsyth County Youth in Transition initiative</td>
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<tr>
<td>To support the Forsyth County Youth in Transition initiative</td>
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<td>100,000 00</td>
<td>150,000 00</td>
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<tr>
<td><strong>A Child's Haven, Greenville, SC</strong></td>
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<tr>
<td>To expand services by renovating and equipping a new facility</td>
<td>PC</td>
<td>150,000 00</td>
<td>-</td>
</tr>
<tr>
<td>To expand services by renovating and equipping a new facility</td>
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<td><strong>Anderson Interfaith Ministries, Anderson, SC</strong></td>
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<td>To hire a human resources director and support two existing positions</td>
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<tr>
<td><strong>Carolina Youth Development Center, North Charleston, SC</strong></td>
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<tr>
<td>To expand the Leadership for Life program for disadvantaged youth who are transitioning to adulthood in Charleston County</td>
<td>PC</td>
<td>199,000 00</td>
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<tr>
<td>To support the Leadership for Life program for disadvantaged youth who are transitioning to adulthood in Charleston County</td>
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<td>199,000 00</td>
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<tr>
<td><strong>Children's Trust of South Carolina, Columbia, SC</strong></td>
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<tr>
<td>Continuing support to help the agency replicate evidence-based programs in SC</td>
<td>PC</td>
<td>21,073 00</td>
<td>(21,073 00)</td>
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<tr>
<td>Continuing support to help the agency replicate evidence-based programs in SC</td>
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<td>130,000 00</td>
<td>(21,073 00)</td>
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<td>To support the Chef Program Officer, Coordinator of evidence-based programs and the Evaluation Officer</td>
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<td>129,000 00</td>
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<td><strong>Dee Norton Lowcountry Children’s Center, The, Charleston, SC</strong></td>
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<td>To expand Project BEST: Bringing Empirically Supported Treatments to South Carolina Children and Families</td>
<td>PC</td>
<td>157,583 00</td>
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<td>To replicate Alternatives for Families Cognitive Behavioral Therapy, an evidence-based model for physically abused children and their families</td>
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<td>To support the infrastructure to sustain and expand Trauma-Focused Cognitive Behavioral Therapy in SC</td>
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<td><strong>Epworth Children’s Home, Columbia, SC</strong></td>
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<td>To implement a performance and quality improvement system</td>
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<td><strong>Helping and Lending Outreach Support (HALOS), North Charleston, SC</strong></td>
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<td>To improve outcomes for children in kinship care</td>
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<tr>
<td><strong>Institute for Child Success, Greenville, SC</strong></td>
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<td>To hire a full-time development officer and policy counsel and provide general operating support</td>
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<td>To catalogue NC literacy policies and best practices for improving third grade reading</td>
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<td><strong>New Foundations Home for Children, Anderson, SC</strong></td>
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<td>To support the family assessment center</td>
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<td><strong>Peniel Place for Children &amp; Families, Greenville, SC</strong></td>
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<td>To create a family and child assessment center</td>
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<td>To continue support for the child and family assessment center</td>
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<td><strong>Reading Partners Charleston, North Charleston, SC</strong></td>
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<td>Continued assistance to implement Reading Partners, a promising tutorial program for disadvantaged youth</td>
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<td><strong>South Carolina Campaign to Prevent Teen Pregnancy, Columbia, SC</strong></td>
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<td>To support a collaborative teen pregnancy prevention planning period in Darlington</td>
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<td>61,917 00</td>
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<tr>
<td>To build organizational capacity and help establish teen-friendly clinics in select counties</td>
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<td>To reduce teen pregnancy rates in Darlington</td>
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<td>264,000 00</td>
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<tr>
<td><strong>South Carolina Department of Social Services, Columbia, SC</strong></td>
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<tr>
<td>Note: Unless otherwise indicated, all organizations listed on this statement are public charities for which expenditure responsibility is not required.</td>
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<tr>
<td>To implement the Strengthening Families Program across SC</td>
<td>320,300.00</td>
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<tr>
<td>To implement the Strengthening Families Program across SC</td>
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<td>To staff a public/private council designed to develop solutions for better serving South Carolina's foster youth</td>
<td>28,925.00</td>
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<td>To expand the Strengthening Families Program in South Carolina</td>
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<tr>
<td>To expand Strengthening Families Program across South Carolina</td>
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<td>650,000.00</td>
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<tr>
<td>South Carolina Network of Children’s Advocacy Centers, Columbia, SC</td>
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<td>86,000.00</td>
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<td>South Carolina Youth Advocate Program, Columbia, SC</td>
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<td>Thomasson Home and School for Children, Clinton, SC</td>
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<td>To expand the Building Families program</td>
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<td>USC Upstate, Spartanburg, SC</td>
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<td>Windwood Farm Home for Children, Anderson, SC</td>
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<tr>
<td>Youth Advocate Program, North Charleston, SC</td>
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<td>35,000.00</td>
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<tr>
<td>To support wrap around and behavioral health services</td>
<td>CHILD CARE: TOTAL</td>
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<td>13,040,880.00</td>
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<td>SUPERANNUATED PREACHERS</td>
<td>Distribution in 2015 for pension and similar payments</td>
<td>North Carolina Conference of the United Methodist Church</td>
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<td>Western North Carolina Conference of the United Methodist Church</td>
<td>PC</td>
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<td>1,141,134.00</td>
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<td>BUILDING PROJECTS (All N C )</td>
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<tr>
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<td>Solid Rock United Methodist Church, Cameron, NC</td>
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<td>To establish a mission training center</td>
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### Duke Divinity School, Durham, NC
- **Designation:** PC
- **To support rural church data analyst**
- **Unpaid Grants Balance 12/31/14:** -
- **Grants Approved (Reductions) 2015:** 82,000 00
- **Grants Paid (Refunds) 2015:** 82,000 00
- **Unpaid Grants Balance 12/31/15:** -

### First United Methodist Church, Mount Olive, NC
- **Designation:** PC
- **To expand a community youth program**
- **Unpaid Grants Balance 12/31/14:** -
- **Grants Approved (Reductions) 2015:** 15,000 00
- **Grants Paid (Refunds) 2015:** 15,000 00
- **Unpaid Grants Balance 12/31/15:** -

### First United Methodist Church, Taylorsville, NC
- **Designation:** PC
- **To repurpose a car dealership into a sanctuary and fellowship hall**
- **Unpaid Grants Balance 12/31/14:** -
- **Grants Approved (Reductions) 2015:** 150,000 00
- **Grants Paid (Refunds) 2015:** 150,000 00
- **Unpaid Grants Balance 12/31/15:** -

### Harbor District, Wilmington, NC
- **Designation:** PC
- **To support a district-wide home repair ministry**
- **Unpaid Grants Balance 12/31/14:** -
- **Grants Approved (Reductions) 2015:** 50,000 00
- **Grants Paid (Refunds) 2015:** 50,000 00
- **Unpaid Grants Balance 12/31/15:** -

### Mt. Herman United Methodist Church, Farmville, NC
- **Designation:** PC
- **To expand a food pantry**
- **Unpaid Grants Balance 12/31/14:** -
- **Grants Approved (Reductions) 2015:** 70,000 00
- **Grants Paid (Refunds) 2015:** 70,000 00
- **Unpaid Grants Balance 12/31/15:** -

### North Carolina Conference United Methodist Church, Durham, NC
- **Designation:** PC
- **To support a program that strengthens farmworker communities by training rural churches on best practices for engagement**
- **Unpaid Grants Balance 12/31/14:** -
- **Grants Approved (Reductions) 2015:** 54,000 00
- **Grants Paid (Refunds) 2015:** 54,000 00
- **Unpaid Grants Balance 12/31/15:** -
- **To develop lay leaders to teach the Disciple Bible curriculum in prisons**
- **Unpaid Grants Balance 12/31/14:** -
- **Grants Approved (Reductions) 2015:** 40,000 00
- **Grants Paid (Refunds) 2015:** 40,000 00
- **Unpaid Grants Balance 12/31/15:** -

### North Carolina Council of Churches, Raleigh, NC
- **Designation:** PC
- **To support planning for a congregational health eating initiative**
- **Unpaid Grants Balance 12/31/14:** -
- **Grants Approved (Reductions) 2015:** 29,000 00
- **Grants Paid (Refunds) 2015:** 29,000 00
- **Unpaid Grants Balance 12/31/15:** -

### Partners for Sacred Places, Philadelphia, PA
- **Designation:** PC
- **To evaluate grant supported food ministry programs**
- **Unpaid Grants Balance 12/31/14:** -
- **Grants Approved (Reductions) 2015:** 20,000 00
- **Grants Paid (Refunds) 2015:** 20,000 00
- **Unpaid Grants Balance 12/31/15:** -

### Rockingham District Native American Cooperative Ministry, Pembroke, NC
- **Designation:** PC
- **To develop and implement a Christian Education plan for Lumbee United Methodist churches**
- **Unpaid Grants Balance 12/31/14:** -
- **Grants Approved (Reductions) 2015:** 30,000 00
- **Grants Paid (Refunds) 2015:** 30,000 00
- **Unpaid Grants Balance 12/31/15:** -

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### OPERATING RURAL CHURCHES

###maintaining and operating rural churches

### Asbury United Methodist Church, Washington, NC
- **Designation:** PC
- **To expand a community garden**
- **Unpaid Grants Balance 12/31/14:** -
- **Grants Approved (Reductions) 2015:** 10,000 00
- **Grants Paid (Refunds) 2015:** 10,000 00
- **Unpaid Grants Balance 12/31/15:** -

### Bethany United Methodist Church, Lexington, NC
- **Designation:** PC
- **To support a homeless ministry program**
- **Unpaid Grants Balance 12/31/14:** -
- **Grants Approved (Reductions) 2015:** 40,000 00
- **Grants Paid (Refunds) 2015:** 40,000 00
- **Unpaid Grants Balance 12/31/15:** -

### Bethlehem United Methodist Church, Advance, NC
- **Designation:** PC
- **To support affordable housing**
- **Unpaid Grants Balance 12/31/14:** -
- **Grants Approved (Reductions) 2015:** 100,000 00
- **Grants Paid (Refunds) 2015:** 100,000 00
- **Unpaid Grants Balance 12/31/15:** -

### Blackbum's Chapel, Boone, NC
- **Designation:** PC
- **To expand a program that develops congregational and church leaders**
- **Unpaid Grants Balance 12/31/14:** -
- **Grants Approved (Reductions) 2015:** 130,000 00
- **Grants Paid (Refunds) 2015:** 130,000 00
- **Unpaid Grants Balance 12/31/15:** -

### Cedar Grove United Methodist Church, Cedar Grove, NC
- **Designation:** PC
- **To support HarvestShare, a teen-run community supported agriculture program**
- **Unpaid Grants Balance 12/31/14:** -
- **Grants Approved (Reductions) 2015:** 15,000 00
- **Grants Paid (Refunds) 2015:** 15,000 00
- **Unpaid Grants Balance 12/31/15:** -

### Cedar Grove United Methodist Church, Pittsboro, NC
- **Designation:** PC
- **To begin a summer literacy camp**
- **Unpaid Grants Balance 12/31/14:** -
- **Grants Approved (Reductions) 2015:** 19,000 00
- **Grants Paid (Refunds) 2015:** 19,000 00
- **Unpaid Grants Balance 12/31/15:** -

### Community United Methodist Church, Olive, NC
- **Designation:** PC
- **To support a summer enrichment program**
- **Unpaid Grants Balance 12/31/14:** -
- **Grants Approved (Reductions) 2015:** 20,000 00
- **Grants Paid (Refunds) 2015:** (20,000 00)
- **Unpaid Grants Balance 12/31/15:** -

### Crescent United Methodist Church, Crumpler, NC
- **Designation:** PC
- **To support an after school program**
- **Unpaid Grants Balance 12/31/14:** -
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- **Grants Paid (Refunds) 2015:** 25,000 00
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### Seaside United Methodist Church, Sunset Beach, NC
- To expand a summer literacy program

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### Sharon United Methodist Church, Kinston, NC
- To support affordable housing

### Shiloh United Methodist Church, Lexington, NC
- To support disaster relief

### Smyrna United Methodist Church, Warrensville, NC
- To support a food ministry program

### Sold Rock United Methodist Church, Cameron, NC
- To expand a summer literacy program

### Sold Rock United Methodist Church, Olive, NC
- To support the relocation of a food pantry

### Spruce Pine United Methodist Church, Spruce Pine, NC
- To establish a youth ministry
- To implement a literacy program

### Valle Crucis United Methodist Church, Boone, NC
- To establish a food ministry program

### The Village Church of Pfeiffer University, Meisenheimer, NC
- To support a leadership development program

### Trinity United Methodist Church, Red Springs, NC
- To support a food ministry

### Union Chapel United Methodist Church, Advance, NC
- To support a backpack ministry program

### Union Grove United Methodist Church, Indian Trail, NC
- To support a summer literacy tutoring program

### Unity United Methodist Church, Kannapolis, NC
- To support a food ministry

### Whitley United Methodist Church, Whitley, NC
- To support a food pantry

### MAINTAINING AND OPERATING RURAL CHURCHES - Subtotal

| | | | | |
|---|---|---|---|
| | 60,000.00 | 1,072,141.34 | 1,132,141.34 | 10,000.00 |

### SPECIAL PURPOSE GRANTS

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Note: Unless otherwise indicated, all organizations listed on this statement are public charities for which expenditure responsibility is not required.

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<tbody>
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<td><strong>North Carolina Conference United Methodist Church, Garner, NC</strong></td>
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<td>To support Residency in Ordained Ministry, a United Methodist Church credentialing requirement</td>
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<td>To develop sensor and workforce housing at Warren Training School</td>
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<td>To engage Rural Fellows and alumni in community transformation through training with the Institute for Emerging Issues</td>
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<td>To convene food and hunger grantees for learning and development of best practices</td>
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<td><strong>Society of St. Andrew, Durham, NC</strong></td>
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<td>To support a training program for pastors and lay</td>
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<td><strong>Western North Carolina Conference United Methodist Church, Charlotte, NC</strong></td>
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<td>(18,510 0)</td>
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<td>To develop a pastoral exchange program between rural church in the WNC Conference and the Methodist Church of Southern Africa</td>
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<td>To strengthen rural United Methodist churches through collaboration with the Rural Faith Development Community Development Corporation</td>
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<td>50,000.00</td>
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Note: Unless otherwise indicated, all organizations listed on this statement are public charities for which expenditure responsibility is not required.

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<td>Council on Foundations, Washington, DC</td>
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<td>To support Philanthropy Week in Washington D.C. and the annual conference</td>
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<td>Institute for Philanthropic Leadership, Charlotte, NC</td>
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<td>To assist the leadership Gift School to expand programming</td>
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<td>MDRC, New York, NY</td>
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<td>To support a convening at Johnson C. Smith University to discuss promising ideas to improve college graduate rates of young men of color</td>
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<td>S.C. Association of Nonprofit Organizations, Columbia, SC</td>
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| Prevent Child Abuse NC  
Harvard College  
Nurse Family Partnership - North Carolina  
Nurse Family Partnership National Service Office  
Reading Partners Charleston  
SPECIAL GRANT OPPORTUNITIES - TOTAL  
Charitable Contributions made by Partnerships per K-1s  
TOTAL DUKE ENDOWMENT GRANTS | PC 113,200 00  
PC 227,010 38  
PC 133,333 00  
PC 133,333 00  
PC - 390,000 00  
PC 1,581,016 00  
PC 7,446,020 00  
PC 6,683,065 38  
PC 2,345,970 62  
PC 19,709 00  
PC 7,764,060 71  
PC 128,187,349 15  
PC 122,122,581 24  
PC 13,848,137 62 |