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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013, and ending 12-31-2013

Name of foundation THE MAI FAMILY FOUNDATION		A Employer identification number 13-3915987
Number and street (or P O box number if mail is not delivered to street address) C/O CRANEMERE 135 E 57TH ST NO 31 FLR	Room/suite	B Telephone number (see instructions) (646) 502-8980
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10022		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 27,663,766	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc, received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	1,386	1,386		
	4 Dividends and interest from securities				
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	476,504			
	b Gross sales price for all assets on line 6a 669,020				
	7 Capital gain net income (from Part IV, line 2)		476,504		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	3,201,071	3,681,840			
12 Total. Add lines 1 through 11	3,678,961	4,159,730			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	19,100	9,550		9,550
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	142,100	0		0
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	61,309	10,290		50,269
	24 Total operating and administrative expenses. Add lines 13 through 23	222,509	19,840		59,819
	25 Contributions, gifts, grants paid	2,298,900			2,298,900
26 Total expenses and disbursements. Add lines 24 and 25	2,521,409	19,840		2,358,719	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	1,157,552				
b Net investment income (if negative, enter -0-)		4,139,890			
c Adjusted net income (if negative, enter -0-)					

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

Part II Balance Sheets		Beginning of year			End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing						
	2 Savings and temporary cash investments	2,672,753	5,692,917	5,692,917			
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____						
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____						
	5 Grants receivable						
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)						
	7 Other notes and loans receivable (attach schedule) ▶ _____ 1,000,000 Less allowance for doubtful accounts ▶ _____ 0	1,000,000	1,000,000	1,000,000			
	8 Inventories for sale or use						
	9 Prepaid expenses and deferred charges						
	10a Investments—U S and state government obligations (attach schedule)						
	b Investments—corporate stock (attach schedule)	2,238,156	2,238,156	6,605,560			
	c Investments—corporate bonds (attach schedule)						
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____						
	12 Investments—mortgage loans						
	13 Investments—other (attach schedule)	16,061,878	14,126,926	14,329,007			
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____						
15 Other assets (describe ▶ _____)	14,150	36,282	36,282				
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	21,986,937	23,094,281	27,663,766				
Liabilities	17 Accounts payable and accrued expenses						
	18 Grants payable						
	19 Deferred revenue						
	20 Loans from officers, directors, trustees, and other disqualified persons						
	21 Mortgages and other notes payable (attach schedule)						
	22 Other liabilities (describe ▶ _____)						
23 Total liabilities (add lines 17 through 22)	0	0					
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/>						
	and complete lines 24 through 26 and lines 30 and 31.						
	24 Unrestricted						
	25 Temporarily restricted						
	26 Permanently restricted						
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/>						
	and complete lines 27 through 31.						
	27 Capital stock, trust principal, or current funds	0	0				
28 Paid-in or capital surplus, or land, bldg, and equipment fund	0	0					
29 Retained earnings, accumulated income, endowment, or other funds	21,986,937	23,094,281					
30 Total net assets or fund balances (see page 17 of the instructions)	21,986,937	23,094,281					
31 Total liabilities and net assets/fund balances (see page 17 of the instructions)	21,986,937	23,094,281					

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	21,986,937
2	Enter amount from Part I, line 27a	2	1,157,552
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	23,144,489
5	Decreases not included in line 2 (itemize) ▶ _____	5	50,208
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	23,094,281

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 a DISP OF INV IN VFF I AIV II CORP	P	2009-11-24	2013-11-25
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 669,020		192,516	476,504
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			476,504
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	476,504
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	{ If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	3,900,069	24,748,945	0.157585
2011	2,990,269	26,109,080	0.114530
2010	2,978,970	24,637,098	0.120914
2009	3,152,492	25,893,951	0.121746
2008	2,319,000	29,040,496	0.079854

2 Total of line 1, column (d).	2	0.594629
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.118926
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	26,430,227
5 Multiply line 4 by line 3.	5	3,143,241
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	41,399
7 Add lines 5 and 6.	7	3,184,640
8 Enter qualifying distributions from Part XII, line 4.	8	2,358,719

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	82,798
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2.	3	82,798
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	82,798
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	19,601
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	75,000
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d.	7	94,601
8	Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	11,803
11	Enter the amount of line 10 to be Credited to 2014 estimated tax <input type="checkbox"/> 11,803 Refunded <input type="checkbox"/>	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b	No
c Did the foundation file Form 1120-POL for this year?.	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a	Yes
b If "Yes," has it filed a tax return on Form 990-T for this year?.	4b	Yes
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> NY _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation .</i>	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	Yes	
14	The books are in care of CO CRANEMERE Telephone no (212) 845-4322 Located at 135 E 57TH ST FL 31 NEW YORK NY ZIP +4 10022			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year 15			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country N/A	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/>	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years 20___, 20___, 20___, 20___			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20___, 20___, 20___, 20___			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i>)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

<p>5a During the year did the foundation pay or incur any amount to</p> <p>(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(3) Provide a grant to an individual for travel, study, or other similar purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/></p> <p>c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," attach the statement required by Regulations section 53.4945–5(d).</p> <p>6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes" to 6b, file Form 8870.</p> <p>7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	5b		
	6b		No
	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3	
	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	3,365,981
b	Average of monthly cash balances.	1b	6,070,904
c	Fair market value of all other assets (see instructions).	1c	17,395,833
d	Total (add lines 1a, b, and c).	1d	26,832,718
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	26,832,718
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	402,491
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	26,430,227
6	Minimum investment return. Enter 5% of line 5.	6	1,321,511

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,321,511
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	82,798
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	95,063
c	Add lines 2a and 2b.	2c	177,861
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	1,143,650
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	1,143,650
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	1,143,650

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	2,358,719
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	2,358,719
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	2,358,719

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				1,143,650
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			0	
b Total for prior years 20__ , 20__ , 20__		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008.	2,249,560			
b From 2009.	3,152,492			
c From 2010.	2,978,970			
d From 2011.	1,238,009			
e From 2012.	3,913,821			
f Total of lines 3a through e.	13,532,852			
4 Qualifying distributions for 2013 from Part XII, line 4 \blacktriangleright \$ <u>2,358,719</u>				
a Applied to 2012, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2013 distributable amount.				1,143,650
e Remaining amount distributed out of corpus	1,215,069			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	14,747,921			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).	0			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions).	2,249,560			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	12,498,361			
10 Analysis of line 9				
a Excess from 2009.	3,152,492			
b Excess from 2010.	2,978,970			
c Excess from 2011.	1,238,009			
d Excess from 2012.	3,913,821			
e Excess from 2013.	1,215,069			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

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b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> See Additional Data Table				
Total				▶ 3a 2,298,900
b <i>Approved for future payment</i>				
Total				▶ 3b 0

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

	Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of		
(1) Cash.	1a(1)	No
(2) Other assets.	1a(2)	No
b Other transactions		
(1) Sales of assets to a noncharitable exempt organization.	1b(1)	No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)	No
(3) Rental of facilities, equipment, or other assets.	1b(3)	No
(4) Reimbursement arrangements.	1b(4)	No
(5) Loans or loan guarantees.	1b(5)	No
(6) Performance of services or membership or fundraising solicitations.	1b(6)	No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c	No

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? Yes No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

*****	2014-11-10	*****	May the IRS discuss this return with the preparer shown below (see instr.)? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
Signature of officer or trustee	Date	Title	

Paid Preparer Use Only	Print/Type preparer's name LINDA MCCARTY	Preparer's Signature	Date	Check if self-employed <input checked="" type="checkbox"/>	PTIN P00832934
	Firm's name <input checked="" type="checkbox"/>	CBIZ MHM LLC	Firm's EIN <input checked="" type="checkbox"/>	34-1883473	
	Firm's address <input checked="" type="checkbox"/>	1065 AVENUE OF THE AMERICAS NEW YORK, NY 10018		Phone no. (212) 790-5700	

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
VINCENT A MAI C/O CORNWALL CAP 135 E57 ST 31ST FL NEW YORK, NY 10022	VP/DIR 2 00	0	0	0
ANNE MAI C/O CORNWALL CAP 135 E57 ST 31ST FL NEW YORK, NY 10022	PRES/DIR 0 50	0	0	0
JAMES MAI C/O CORNWALL CAP 135 E57 ST 31ST FL NEW YORK, NY 10022	VICE-SECY/DIR 0 25	0	0	0
REBECCA MAI C/O CORNWALL CAP 135 E57 ST 31ST FL NEW YORK, NY 10022	TREAS/DIR 0 25	0	0	0
CHIARA MAI C/O CORNWALL CAP 135 E57 ST 31ST FL NEW YORK, NY 10022	SECY/DIR 0 25	0	0	0
DAVID MITNICK C/O CORNWALL CAP 135 E57 ST 31ST FL NEW YORK, NY 10022	VICE-TREAS/DIR 0 25	0	0	0
TIMOTHY MAI C/O CORNWALL CAP 135 E57 ST 31ST FL NEW YORK, NY 10022	VICE-SECY/DIR 0 25	0	0	0

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AFRICA-AMERICA INSTITUTE 420 LEXINGTON AVENUE SUITE 1706 NEW YORK, NY 10170		QUALIFIED CHARITY	CHARITABLE	5,000
ARTISTS STRIVING TO END POVERTY 165 WEST 46TH STREET SUITE 1303 NEW YORK, NY 10036		QUALIFIED CHARITY	CHARITABLE	30,000
ASIAN CULTURAL COUNCIL C/O SONIA KWIATKOWSKI 6 WEST 48TH ST 12TH FL NEW YORK, NY 10036		QUALIFIED CHARITY	CHARITABLE	25,000
BANK STREET SCHOOL C/O CARLA SCHEELE 610 WEST 112TH STREET NEW YORK, NY 10025		QUALIFIED CHARITY	CHARITABLE	25,000
BRENNAN CENTER FOR JUSTICE 161 AVENUE OF THE AMERICAS 12TH FLOOR NEW YORK, NY 10013		QUALIFIED CHARITY	CHARITABLE	150,000
BRING CHANGE 2 MIND 1265 BATTERY STREET FIFTH FLOOR SAN FRANCISCO, CA 94111		QUALIFIED CHARITY	CHARITABLE	50,000
BROOKLYN LAW SCHOOL 250 JORALEMON STREET NEW YORK, NY 11201		QUALIFIED CHARITY	CHARITABLE	15,000
CASITA MARIA 928 SIMPSON STREET BRONX, NY 10459		QUALIFIED CHARITY	CHARITABLE	20,000
CHILDREN'S AID SOCIETY 105 EAST 22ND ST SUITE 504 NEW YORK, NY 10010		QUALIFIED CHARITY	CHARITABLE	10,000
COLORADO NONPROFIT DEVELOPMENT CENTER FBO EDUCATION NEWS NETWORK 789 SHERMAN STREET SUITE 250 DENVER, CO 80203		QUALIFIED CHARITY	CHARITABLE	30,000
ECHOING GREEN 494 EIGHTH AVE 2ND FLOOR NEW YORK, NY 10001		QUALIFIED CHARITY	CHARITABLE	150,000
ENDEAVOR GLOBAL 900 BROADWAY SUITE 301 NEW YORK, NY 10003		QUALIFIED CHARITY	CHARITABLE	25,000
FOUNTAIN HOUSE 425 W 47TH STREET NEW YORK, NY 10036		QUALIFIED CHARITY	CHARITABLE	173,900
FOUNTAIN HOUSE 425 W 47TH STREET NEW YORK, NY 10036		QUALIFIED CHARITY	CHARITABLE	50,000
ICE HOCKEY IN HARLEM 141 E 111TH ST 420 NEW YORK, NY 10029		QUALIFIED CHARITY	CHARITABLE	5,000
Total			3a	2,298,900

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
INTERNATIONAL CENTER FOR TRANSITIONAL JUSTICE 5 HANOVER SQUARE FLOOR 24 NEW YORK, NY 10004		QUALIFIED CHARITY	CHARITABLE	50,000
INTERNATIONAL FUND FOR ANIMAL WELFARE 290 SUMMER STREET YARMOUTH PORT, MA 02675		QUALIFIED CHARITY	CHARITABLE	50,000
LITTLE SISTERS FUND C/O BECKER CHAMBERS ACCOUNTING HAILEY, MD 83333		QUALIFIED CHARITY	CHARITABLE	15,000
MEDIA MATTERS 455 MASSACHUSETTS AVE NW SUITE 600 WASHINGTON, DC 20004		QUALIFIED CHARITY	CHARITABLE	100,000
MINDFUL SCHOOLS 1944 EMBARCADERO EAST OAKLAND, CA 94606		QUALIFIED CHARITY	CHARITABLE	10,000
MOUNTAIN FILM IN TELLURIDE C/O PETER KENWORTHY 109 E COLORADO AVE 1 TELLURIDE, CO 81435		QUALIFIED CHARITY	CHARITABLE	20,000
NATIONAL PUBLIC RADIO 635 MASSACHUSETTS AVENUE NW WASHINGTON, DC 20001		QUALIFIED CHARITY	CHARITABLE	100,000
NELSON MANDELA'S CHILDREN'S FUND 89 SOUTH STREET SUITE 701 BOSTON, MA 02111		QUALIFIED CHARITY	CHARITABLE	10,000
NETWORK 2020 850 SEVENTH AVE SUITE 1101 NEW YORK, NY 10019		QUALIFIED CHARITY	CHARITABLE	20,000
NETWORK 2020 850 SEVENTH AVE SUITE 1101 NEW YORK, NY 10019		QUALIFIED CHARITY	CHARITABLE	25,000
NEW VISIONS FOR PUBLIC SCHOOLS 320 W 13TH ST 6 NEW YORK, NY 10014		QUALIFIED CHARITY	CHARITABLE	100,000
NY STEM CELL FOUNDATION 1995 BROADWAY SUITE 600 NEW YORK, NY 10023		QUALIFIED CHARITY	CHARITABLE	50,000
NY STEM CELL FOUNDATION 1995 BROADWAY SUITE 600 NEW YORK, NY 10023		QUALIFIED CHARITY	CHARITABLE	20,000
PEOPLE FOR THE AMERICAN WAY 1101 15TH STREET NW SUITE 600 WASHINGTON, DC 20005		QUALIFIED CHARITY	CHARITABLE	15,000
RESERVE ELDER SERVICE 1440 BROADWAY SUITE 1601 NEW YORK, NY 10018		QUALIFIED CHARITY	CHARITABLE	10,000
Total			3a	2,298,900

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
RFK CENTER 1367 CONNECTICUT AVE NW WASHINGTON,DC 20036		QUALIFIED CHARITY	CHARITABLE	50,000
SESAME WORKSHOP 1900 BROADWAY NEW YORK,NY 10023		QUALIFIED CHARITY	CHARITABLE	300,000
SHARED INTEREST 121 WEST 27TH ST SUITE 805 NEW YORK,NY 10001		QUALIFIED CHARITY	CHARITABLE	30,000
STORYCORPS 80 HANSON PLACE 2ND FLOOR BROOKLYN,NY 11217		QUALIFIED CHARITY	CHARITABLE	5,000
SYNERGOS 3 EAST 54TH STREET 14TH FLOOR NEW YORK,NY 10022		QUALIFIED CHARITY	CHARITABLE	25,000
THE BURKE REHABILITATION HOSPITAL & MEDICAL RESEARCH INSTITUTE 785 MAMARONECK AVENUE BILLINGS BUILDINGS 2ND FL WHITE PLAINS,NY 10605		QUALIFIED CHARITY	CHARITABLE	10,000
THE CENTER FOR NATIONAL POLICY 1250 I STREET NW SUITE 500 WASHINGTON,DC 20005		QUALIFIED CHARITY	CHARITABLE	10,000
THE JUILLIARD SCHOOL 60 LINCOLN CENTER PLAZA NEW YORK,NY 10023		QUALIFIED CHARITY	CHARITABLE	25,000
THE NATION INSTITUTE 116 EAST 16TH STREET 8TH FLOOR NEW YORK,NY 10003		QUALIFIED CHARITY	CHARITABLE	40,000
THE NEW YORK STEM CELL FOUNDATION 1995 BROADWAY SUITE 600 NEW YORK,NY 10023		QUALIFIED CHARITY	CHARITABLE	15,000
THE TOBIN PROJECT ONE MIFFLIN PLACE SUITE 240 CAMBRIDGE,MA 02138		QUALIFIED CHARITY	CHARITABLE	250,000
THE TRUSTEES OF PRINCETON UNIVERSITY PO BOX 5357 PRINCETON,NJ 08543		QUALIFIED CHARITY	CHARITABLE	15,000
THE WILD FOUNDATION 717 POPLAR AVENUE BOULDER,CO 80304		QUALIFIED CHARITY	CHARITABLE	40,000
UBUNTU 32 BROADWAY 414 NEW YORK,NY 10004		QUALIFIED CHARITY	CHARITABLE	60,000
UCT FUND ATTN JOHANNA FAUSTO US DIRECTOR OF ALUMNI DEVELOPMENT THE UNIVERSITY O NEW YORK,NY 10017		QUALIFIED CHARITY	CHARITABLE	10,000
Total			3a	2,298,900

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
UNIVERSITY OF WISCONSIN FOUNDATION US BANK LOCKBOX PO BOX 78807 MILWAUKEE, WI 53278		QUALIFIED CHARITY	CHARITABLE	10,000
WBAI RADIO GENERAL POST OFFICE PO BOX 30540 NEW YORK, NY 10017		QUALIFIED CHARITY	CHARITABLE	10,000
WNYC PO BOX 1550 NEW YORK, NY 10016		QUALIFIED CHARITY	CHARITABLE	10,000
FRIENDS OF IKAMVA LABANTU 215 OVERLOOK ROAD NEW ROCHELLE, NY 10804		QUALIFIED CHARITY	CHARITABLE	25,000
Total				2,298,900

3a

TY 2013 Accounting Fees Schedule

Name: THE MAI FAMILY FOUNDATION

EIN: 13-3915987

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	19,100	9,550		9,550

TY 2013 Applied to Prior Year Election

Name: THE MAI FAMILY FOUNDATION

EIN: 13-3915987

Election: PURSUANT TO REG. 53.4942(A)-3(D)(2), THE ABOVE REFERENCED FOUNDATION HEREBY ELECTS TO TREAT CURRENT YEAR QUALIFYING DISTRIBUTIONS AS DISTRIBUTIONS OUT OF CORPUS.

TY 2013 Distribution from Corpus Election

Name: THE MAI FAMILY FOUNDATION

EIN: 13-3915987

Election: THE MAI FAMILY FOUNDATION EIN: 13-3915987 ELECTION TO
DISTRIBUTE CORPUS YEAR ENDED DECEMBER 31, 2012 PURSUANT
TO CODE SECTION 4942(H)(2) AND REGULATIONS SECTION
53.4942(A)-3(D)(2), THE FOUNDATION HEREBY ELECTS TO TREAT
QUALIFYING DISTRIBUTIONS IN THE AMOUNT OF \$3,913,821 FOR
THE YEAR ENDED DECEMBER 31, 2012 AS MADE OUT OF CORPUS.

FOUNDATION
MANAGER

**TY 2013 Investments Corporate
Stock Schedule****Name:** THE MAI FAMILY FOUNDATION**EIN:** 13-3915987

Name of Stock	End of Year Book Value	End of Year Fair Market Value
METTLER-TOLEDO INTERNATIONAL	1,668,953	6,064,750
WATERS CORP	86,503	300,000
XM SATELLITE	482,700	240,810

TY 2013 Investments - Other Schedule**Name:** THE MAI FAMILY FOUNDATION**EIN:** 13-3915987

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
AEA INVESTORS (CAYMAN) FEEDER LP	FMV	618,095	618,095
AEA MEZZANINE PTRS LP	FMV	412,389	412,389
AEA MEZZANINE PTRS II LP	FMV	2,789,675	2,789,675
HOUSATONIC EQUITY PTRS	FMV	315,592	315,592
AEA MIDDLE MARKET DEBT II LP	FMV	1,973,357	1,973,357
AEA MIDDLE MARKET DEBT GP LP	FMV	966,502	966,502
AEA MIDDLE MARKET DEBT II (PARALLEL) LP	FMV	666,223	666,223
FOUNDER COLLECTIVE LP	FMV	928,526	928,526
LPC WESTFIELD LP	FMV	456,080	456,080
VISTA FOUNDATION FUND I LP	FMV	815,795	815,795
VISTA FOUNDATION FUND I AIV I CORP	FMV	0	37,412
VISTA FOUNDATION FUND I AIV II CORP	FMV	0	0
VISTA FOUNDATION FUND I AIV III CORP	FMV	560,799	668,736
CORNWALL OFFSHORE LTD	FMV	3,000,000	3,056,732
VFF I AIV II-A LP	FMV	183,756	183,756
VFF I AIV IV-A LP	FMV	440,137	440,137

TY 2013 Other Assets Schedule

Name: THE MAI FAMILY FOUNDATION

EIN: 13-3915987

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
OTHER RECEIVABLE - LPC WESTFIELD	14,150	36,282	36,282

TY 2013 Other Decreases Schedule

Name: THE MAI FAMILY FOUNDATION

EIN: 13-3915987

Description	Amount
PRIOR PERIOD ADJUSTMENT (2012)	50,000
OTHER ADJUSTMENTS	208

TY 2013 Other Expenses Schedule**Name:** THE MAI FAMILY FOUNDATION**EIN:** 13-3915987

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT EXPENSES	10,290	10,290		0
NYS DEPT OF LAW	135	0		135
NYS ANNUAL FILING FEE	750	0		0
CONSULTING FEES	30,000	0		30,000
INSURANCE (D&O)	2,250	0		2,250
OTHER EXPENSES	10,659	0		10,659
DUES & SUBSCRIPTIONS	725	0		725
GRANT MANAGEMENT EXPENSES	6,500	0		6,500

TY 2013 Other Income Schedule

Name: THE MAI FAMILY FOUNDATION

EIN: 13-3915987

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
THRU AEA INVS (CAYMAN) FEEDER LP	576,940	1,597,027	576,940
THRU AEA MEZZANINE PARTNERS LP	79,529	50,953	79,529
THRU AEA MEZZANINE PARTNERS II LP	804,496	897,045	804,496
THRU AEA MIDDLE MARKET DEBT GP LP	129,669	133,135	129,669
THRU HOUSATONIC EQUITY PTRS A LP	38,188	7,194	38,188
THRU FOUNDER COLLECTIVE LP	462,432	5,686	462,432
THRU LPC WESTFIELD LP	115,799	85,171	115,799
THRU VISTA FDN FUND I LP	718,953	642,210	718,953
THRU VFF I AIV II-A LP	34,525	351,853	34,525
THRU VFF I AIV IV-A LP	-596	-317	-596
THRU AEA MIDDLE MARKET DEBT FUND II LP	200,077	255,133	200,077
THRU AEA MIDDLE MARKET DEBT FUND II (PARALLEL) LP	-22,135	-32,737	-22,135
THRU VFF I AIV I CORP	41,340	41,340	41,340
OTHER INCOME	21,854	0	21,854
OTHER INCOME	0	-351,853	0

TY 2013 Taxes Schedule

Name: THE MAI FAMILY FOUNDATION

EIN: 13-3915987

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAXES	117,100	0		0
NYS CORPORATION TAXES (FORM CT-13)	25,000	0		0