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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning **NOV 1, 2013**, and ending **DEC 31, 2013**

Name of foundation: **ROBERT STERLING CLARK FOUNDATION, INC.**

Number and street (or P O box number if mail is not delivered to street address): **135 EAST 64TH STREET**

Room/suite: _____

City or town, state or province, country, and ZIP or foreign postal code: **NEW YORK, NY 10065**

G Check all that apply: Initial return Initial return of a former public charity
 Final return Amended return
 Address change Name change

H Check type of organization: Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16): **\$ 97,224,341.** (Part I, column (d) must be on cash basis.)

J Accounting method: Cash Accrual
 Other (specify) _____

A Employer identification number: **13-1957792**

B Telephone number: **(212) 288-8900**

C If exemption application is pending, check here

D 1. Foreign organizations, check here
 2. Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

| Part I Analysis of Revenue and Expenses | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|--|------------------------------------|---------------------------|-------------------------|---|
| 1 Contributions, gifts, grants, etc., received | | | | N/A | |
| 2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B | | | | | |
| 3 Interest on savings and temporary cash investments | | | | | |
| 4 Dividends and interest from securities | | 633,678. | 633,678. | | |
| 5a Gross rents | | 7,700. | 7,700. | | STATEMENT 1 |
| b Net rental income or (loss) | | 7,700. | | | |
| 6a Net gain or (loss) from sale of assets not on line 10 | | <225,299.> | | | |
| b Gross sales price for all assets on line 6a | | 2,999,660. | | | |
| 7 Capital gain net income (from Part IV, line 2) | | | 0. | | |
| 8 Net short-term capital gain | | | | | |
| 9 Income modifications | | | | | |
| 10a Gross sales less returns and allowances | | | | | |
| b Less Cost of goods sold | | | | | |
| c Gross profit or (loss) | | | | | |
| 11 Other income | | 7,074. | 7,074. | | STATEMENT 2 |
| 12 Total. Add lines 1 through 11 | | 423,153. | 648,452. | | |
| 13 Compensation of officers, directors, trustees, etc | | 25,333. | 4,467. | | 20,866. |
| 14 Other employee salaries and wages | | 33,891. | 0. | | 33,891. |
| 15 Pension plans, employee benefits | | 31,398. | 1,008. | | 30,390. |
| 16a Legal fees | | | | | |
| b Accounting fees STMT 3 | | 27,684. | 8,305. | | 19,379. |
| c Other professional fees STMT 4 | | 75,053. | 29,628. | | 45,425. |
| 17 Interest | | | | | |
| 18 Taxes STMT 5 | | 3,013. | 301. | | 2,712. |
| 19 Depreciation and depletion | | 4,817. | 0. | | |
| 20 Occupancy | | 6,976. | 698. | | 6,279. |
| 21 Travel, conferences, and meetings | | 4,104. | 0. | | 4,104. |
| 22 Printing and publications | | | | | |
| 23 Other expenses STMT 6 | | 256,227. | 250,427. | | 5,800. |
| 24 Total operating and administrative expenses Add lines 13 through 23 | | 468,496. | 294,834. | | 168,846. |
| 25 Contributions, gifts, grants paid | | 329,052. | | | 329,052. |
| 26 Total expenses and disbursements. Add lines 24 and 25 | | 797,548. | 294,834. | | 497,898. |
| 27 Subtract line 26 from line 12: | | | | | |
| a Excess of revenue over expenses and disbursements | | <374,395.> | | | |
| b Net investment income (if negative, enter -0-) | | | 353,618. | | |
| c Adjusted net income (if negative, enter -0-) | | | | N/A | |

SCANNED DEC 01 2014

Operating and Administrative Expenses

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| Part II Balance Sheets <small>Attached schedules and amounts in the description column should be for end-of-year amounts only</small> | | Beginning of year | End of year | |
|--|--|-------------------|----------------|-----------------------|
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 Cash - non-interest-bearing | | | |
| | 2 Savings and temporary cash investments | 7,730,254. | 1,652,810. | 1,652,810. |
| | 3 Accounts receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 4 Pledges receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons | | | |
| | 7 Other notes and loans receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | | | |
| | 10a Investments - U.S. and state government obligations | | | |
| | b Investments - corporate stock | | | |
| | c Investments - corporate bonds | | | |
| | 11 Investments - land, buildings, and equipment basis ▶ | | | |
| Less: accumulated depreciation ▶ | | | | |
| 12 Investments - mortgage loans | | | | |
| 13 Investments - other | STMT 7 79,580,635. | 85,319,395. | 95,014,812. | |
| 14 Land, buildings, and equipment: basis ▶ | 1,155,447. | | | |
| Less: accumulated depreciation | STMT 8 ▶ 598,728. | 561,536. | 556,719. | |
| 15 Other assets (describe ▶ STATEMENT 9) | 35,400. | 0. | 0. | |
| 16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1) | 87,907,825. | 87,528,924. | 97,224,341. | |
| Liabilities | 17 Accounts payable and accrued expenses | | | |
| | 18 Grants payable | | | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable | | | |
| | 22 Other liabilities (describe ▶ STATEMENT 10) | 0. | 2,530. | |
| 23 Total liabilities (add lines 17 through 22) | 0. | 2,530. | | |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31. | | | |
| | 24 Unrestricted | 87,907,825. | 87,526,394. | |
| | 25 Temporarily restricted | | | |
| | 26 Permanently restricted | | | |
| | Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31. | | | |
| | 27 Capital stock, trust principal, or current funds | | | |
| | 28 Paid-in or capital surplus, or land, bldg., and equipment fund | | | |
| | 29 Retained earnings, accumulated income, endowment, or other funds | | | |
| 30 Total net assets or fund balances | 87,907,825. | 87,526,394. | | |
| 31 Total liabilities and net assets/fund balances | 87,907,825. | 87,528,924. | | |

Part III Analysis of Changes in Net Assets or Fund Balances

| | | |
|--|---|-------------|
| 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 87,907,825. |
| 2 Enter amount from Part I, line 27a | 2 | <374,395.> |
| 3 Other increases not included in line 2 (itemize) ▶ | 3 | 0. |
| 4 Add lines 1, 2, and 3 | 4 | 87,533,430. |
| 5 Decreases not included in line 2 (itemize) ▶ PFIC INCOME RECORDED ON TAX RETURN | 5 | 7,036. |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 87,526,394. |

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|--|--|--------------------------------------|----------------------------------|
| 1a | THE COLCHESTER GLOBAL BOND FUND | P | | |
| b | THE WELLINGOTN MANAGEMENT FUND | P | | |
| c | SALES OF INVESTMENTS - SCHEDULE A | P | VARIOUS | VARIOUS |
| d | | | | |
| e | | | | |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a | | 111,129. | <111,129.> |
| b | | 181,201. | <181,201.> |
| c | 2,999,660. | 2,932,629. | 67,031. |
| d | | | |
| e | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) |
|---------------------------|--------------------------------------|---|---|
| a | | | <111,129.> |
| b | | | <181,201.> |
| c | | | 67,031. |
| d | | | |
| e | | | |

| | | | |
|--|---|----------|-------------------------|
| 2 Capital gain net income or (net capital loss) | { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 | 2 | <225,299.> |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 | | 3 | N/A |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
|--|--|--|---|
| 2012 | 5,656,484. | 84,609,388. | .066854 |
| 2011 | 5,235,462. | 82,523,165. | .063442 |
| 2010 | 5,848,624. | 90,230,111. | .064819 |
| 2009 | 5,177,771. | 85,764,193. | .060372 |
| 2008 | 5,573,439. | 78,009,053. | .071446 |

| | | |
|---|----------|--------------------|
| 2 Total of line 1, column (d) | 2 | .326933 |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | .065387 |
| 4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5 | 4 | 94,593,249. |
| 5 Multiply line 4 by line 3 | 5 | 6,185,169. |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 3,536. |
| 7 Add lines 5 and 6 | 7 | 6,188,705. |
| 8 Enter qualifying distributions from Part XII, line 4 | 8 | 497,898. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

| | | | |
|--|--|----|---------|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions) | | 1 | 7,072. |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b | | | |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b). | | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | 2 | 0. |
| 3 | Add lines 1 and 2 | 3 | 7,072. |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | 4 | 0. |
| 5 | Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- | 5 | 7,072. |
| 6 Credits/Payments: | | | |
| a | 2013 estimated tax payments and 2012 overpayment credited to 2013 | 6a | 51,415. |
| b | Exempt foreign organizations - tax withheld at source | 6b | |
| c | Tax paid with application for extension of time to file (Form 8868) | 6c | |
| d | Backup withholding erroneously withheld | 6d | |
| 7 | Total credits and payments. Add lines 6a through 6d | 7 | 51,415. |
| 8 | Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached | 8 | |
| 9 | Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed | 9 | |
| 10 | Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid | 10 | 44,343. |
| 11 | Enter the amount of line 10 to be: Credited to 2014 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/> | 11 | 0. |

Part VII-A Statements Regarding Activities

| | Yes | No |
|--|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | | X |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i> | | X |
| c Did the foundation file Form 1120-POL for this year? | | X |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0. | | |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0. | | |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i> | | X |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> | | X |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? | | X |
| b If "Yes," has it filed a tax return on Form 990-T for this year? | | |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i> | | X |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | X | |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i> | X | |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> <u>NY</u> | | |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> | X | |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i> | | X |
| 10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i> | | X |

N/A

Part VII-A Statements Regarding Activities (continued)

| | | | | |
|----|---|---|----|-------------|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | | | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.RSCLARK.ORG</u> | X | | |
| 14 | The books are in care of ► <u>CHRISTOPHER WEIR</u> Telephone no. ► <u>212-503-8800</u> Located at ► <u>685 THIRD AVENUE, NEW YORK, NY</u> ZIP+4 ► <u>10017</u> | | | |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year | | 15 | N/A |
| 16 | At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ► | | 16 | Yes No X |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

| | | Yes | No |
|-----|--|-----|----|
| 1a | During the year did the foundation (either directly or indirectly): | | |
| (1) | Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (2) | Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (3) | Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (4) | Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | | |
| (5) | Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (6) | Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b | If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/> | 1b | X |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? | 1c | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | |
| a | At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► _____, _____, _____, _____ | | |
| b | Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A | 2b | |
| c | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____, _____ | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b | If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) N/A | 3b | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? | 4b | X |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? Yes No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No
 Organizations relying on a current notice regarding disaster assistance check here N/A

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
 If "Yes," attach the statement required by Regulations section 53.4945-5(d). N/A

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
 If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No
 b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

| | | |
|----|--|---|
| 5b | | |
| 6b | | X |
| 7b | | |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (if not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|---|---|---|---------------------------------------|
| SEE STATEMENT 11 | | 25,333. | 10,077. | 0. |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Total number of other employees paid over \$50,000 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|---|---------------------|------------------|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |

Total number of others receiving over \$50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|--|----------|
| 1 NONE | |
| | 0. |
| 2 | |
| | |
| 3 | |
| | |
| 4 | |
| | |

Part IX-B Summary of Program-Related Investments

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|---|--------|
| 1 N/A | |
| | |
| 2 | |
| | |
| All other program-related investments. See instructions. | |
| 3 | |
| | |

Total. Add lines 1 through 3 ▶ 0.

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|----------|--|-----------|--------------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities | 1a | 91,265,462. |
| b | Average of monthly cash balances | 1b | 1,780,187. |
| c | Fair market value of all other assets | 1c | 2,988,106. |
| d | Total (add lines 1a, b, and c) | 1d | 96,033,755. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e | 0. |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0. |
| 3 | Subtract line 2 from line 1d | 3 | 96,033,755. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | 4 | 1,440,506. |
| 5 | Net value of noncharitable-use assets . Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 94,593,249. |
| 6 | Minimum investment return . Enter 5% of line 5 ADJUSTED FOR SHORT TAX PERIOD | 6 | 790,421. |

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

| | | | |
|-----------|---|-----------|-----------------|
| 1 | Minimum investment return from Part X, line 6 | 1 | 790,421. |
| 2a | Tax on investment income for 2013 from Part VI, line 5 | 2a | 7,072. |
| b | Income tax for 2013. (This does not include the tax from Part VI.) | 2b | |
| c | Add lines 2a and 2b | 2c | 7,072. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | 3 | 783,349. |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | 0. |
| 5 | Add lines 3 and 4 | 5 | 783,349. |
| 6 | Deduction from distributable amount (see instructions) | 6 | 0. |
| 7 | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7 | 783,349. |

Part XII

Qualifying Distributions (see instructions)

| | | | |
|----------|---|-----------|-----------------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 497,898. |
| b | Program-related investments - total from Part IX-B | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | Qualifying distributions . Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 497,898. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5 | 0. |
| 6 | Adjusted qualifying distributions . Subtract line 5 from line 4 | 6 | 497,898. |

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2012 | (c) 2012 | (d) 2013 |
|---|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2013 from Part XI, line 7 | | | | 783,349. |
| 2 Undistributed income, if any, as of the end of 2013 | | | | |
| a Enter amount for 2012 only | | | 0. | |
| b Total for prior years: | | 0. | | |
| 3 Excess distributions carryover, if any, to 2013: | | | | |
| a From 2008 | 1,684,258. | | | |
| b From 2009 | 998,861. | | | |
| c From 2010 | 2,066,266. | | | |
| d From 2011 | 1,177,900. | | | |
| e From 2012 | 1,465,841. | | | |
| f Total of lines 3a through e | 7,393,126. | | | |
| 4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$ 497,898. | | | | |
| a Applied to 2012, but not more than line 2a | | | 0. | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | 0. | | |
| c Treated as distributions out of corpus (Election required - see instructions) | 0. | | | |
| d Applied to 2013 distributable amount | | | | 497,898. |
| e Remaining amount distributed out of corpus | 0. | | | |
| 5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a)) | 285,451. | | | 285,451. |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 7,107,675. | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0. | | |
| d Subtract line 6c from line 6b. Taxable amount - see instructions | | 0. | | |
| e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr. | | | 0. | |
| f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014 | | | | 0. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) | 0. | | | |
| 8 Excess distributions carryover from 2008 not applied on line 5 or line 7 | 1,398,807. | | | |
| 9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a | 5,708,868. | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2009 | 998,861. | | | |
| b Excess from 2010 | 2,066,266. | | | |
| c Excess from 2011 | 1,177,900. | | | |
| d Excess from 2012 | 1,465,841. | | | |
| e Excess from 2013 | | | | |

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

| | Tax year | | Prior 3 years | | (e) Total |
|--|----------|----------|---------------|----------|-----------|
| | (a) 2013 | (b) 2012 | (c) 2011 | (d) 2010 | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon: | | | | | |
| a "Assets" alternative test - enter: | | | | | |
| (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test - enter: | | | | | |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE STATEMENT 12

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

| FORM 990-PF | RENTAL INCOME | STATEMENT | 1 |
|---------------------------------------|---------------|-----------------|---------------------|
| KIND AND LOCATION OF PROPERTY | | ACTIVITY NUMBER | GROSS RENTAL INCOME |
| 135 E 64TH STREET NY, NY | | 1 | 7,700. |
| TOTAL TO FORM 990-PF, PART I, LINE 5A | | | 7,700. |

| FORM 990-PF | OTHER INCOME | STATEMENT | 2 |
|---|-----------------------------|-----------------------------------|-------------------------------|
| DESCRIPTION | (A) REVENUE PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME |
| OTHER INCOME | 38. | 38. | |
| FORM 8621, LINE 1C - CIPEF GALAXY S.A.R.L. | 7,036. | 7,036. | |
| TOTAL TO FORM 990-PF, PART I, LINE 11 | 7,074. | 7,074. | |

| FORM 990-PF | ACCOUNTING FEES | STATEMENT | 3 |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|
| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME |
| MARKS PANETH LLP | 27,684. | 8,305. | 19,379. |
| TO FORM 990-PF, PG 1, LN 16B | 27,684. | 8,305. | 19,379. |

| FORM 990-PF | OTHER PROFESSIONAL FEES | STATEMENT | 4 |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|
| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME |
| DEUTSCHE BANK | 9,205. | 9,205. | 0. |
| CONSULTING | 45,425. | 0. | 45,425. |
| COLCHESTER | 4,486. | 4,486. | 0. |
| WELLINGTON | 15,937. | 15,937. | 0. |
| TO FORM 990-PF, PG 1, LN 16C | 75,053. | 29,628. | 45,425. |

| FORM 990-PF | TAXES | | | STATEMENT | 5 |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|---|
| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES | |
| PAYROLL TAXES | 3,013. | 301. | | 2,712. | |
| TO FORM 990-PF, PG 1, LN 18 | 3,013. | 301. | | 2,712. | |

| FORM 990-PF | OTHER EXPENSES | | | STATEMENT | 6 |
|---|------------------------------|-----------------------------------|-------------------------------|-------------------------------|---|
| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES | |
| INSURANCE-OTHER OFFICE | 1,589. | 0. | | 1,589. | |
| TELEPHONE | 4,888. | 1,249. | | 3,639. | |
| PARTNERSHIP EXPENSES - CIPEF V, L.P. | 762. | 190. | | 572. | |
| | 248,988. | 248,988. | | 0. | |
| TO FORM 990-PF, PG 1, LN 23 | 256,227. | 250,427. | | 5,800. | |

| FORM 990-PF | OTHER INVESTMENTS | | STATEMENT | 7 |
|--|---------------------|-------------|----------------------|---|
| DESCRIPTION | VALUATION METHOD | BOOK VALUE | FAIR MARKET VALUE | |
| CIPEF V, LP | COST | 2,991,881. | 2,988,106. | |
| PRIME BUCHHOLZ-SCHD C | FMV | 82,327,514. | 92,026,706. | |
| TOTAL TO FORM 990-PF, PART II, LINE 13 | | 85,319,395. | 95,014,812. | |

FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 8

| DESCRIPTION | COST OR OTHER BASIS | ACCUMULATED DEPRECIATION | BOOK VALUE |
|---|------------------------|-----------------------------|-----------------|
| BUILDINGS | 979,775. | 443,822. | 535,953. |
| FURNITURE & FIXTURE | 56,869. | 56,869. | 0. |
| FURNITURE & FIXTURE | 5,322. | 5,322. | 0. |
| COMPUTER | 4,854. | 4,854. | 0. |
| PHONE EQUIPMENT | 3,210. | 3,210. | 0. |
| CAPITAL IMPROVEMENTS | 8,000. | 2,767. | 5,233. |
| CAPITAL IMPROVEMENTS | 15,127. | 5,238. | 9,889. |
| CAPITAL IMPROVEMENTS | 2,400. | 837. | 1,563. |
| CAPITAL IMPROVEMENTS | 2,464. | 851. | 1,613. |
| FURNITURE & FIXTURE | 862. | 862. | 0. |
| FURNITURE & FIXTURE | 260. | 260. | 0. |
| FURNITURE & FIXTURE | 152. | 152. | 0. |
| FURNITURE & FIXTURE | 1,307. | 1,307. | 0. |
| SOFA | 2,600. | 2,600. | 0. |
| FURNITURE & FIXTURE | 2,206. | 2,206. | 0. |
| COMPUTER EQUIPMENT | 6,680. | 6,680. | 0. |
| CARPET | 4,750. | 4,750. | 0. |
| VACUUM | 483. | 483. | 0. |
| MULTICHANNEL PHONE | 106. | 106. | 0. |
| CARPET | 2,475. | 2,393. | 82. |
| TELEVISION | 960. | 960. | 0. |
| WEBSITE | 39,292. | 39,292. | 0. |
| COMPUTER | 9,588. | 8,791. | 797. |
| FURNITURE & FIXTURE | 4,891. | 3,994. | 897. |
| VACUUM CLEANER | 814. | 122. | 692. |
| TOTAL TO FM 990-PF, PART II, LN 14 | 1,155,447. | 598,728. | 556,719. |

FORM 990-PF OTHER ASSETS STATEMENT 9

| DESCRIPTION | BEGINNING OF YR BOOK VALUE | END OF YEAR BOOK VALUE | FAIR MARKET VALUE |
|---|-------------------------------|---------------------------|----------------------|
| OTHER CURRENT ASSETS | 35,400. | 0. | 0. |
| TO FORM 990-PF, PART II, LINE 15 | 35,400. | 0. | 0. |

| FORM 990-PF | OTHER LIABILITIES | STATEMENT | 10 |
|--|-------------------|------------|------------|
| DESCRIPTION | | BOY AMOUNT | EOY AMOUNT |
| OTHER CURRENT LIABILITIES | | 0. | 2,530. |
| TOTAL TO FORM 990-PF, PART II, LINE 22 | | 0. | 2,530. |

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS STATEMENT 11

| NAME AND ADDRESS | TITLE AND AVRG HRS/WK | COMPEN- SATION | EMPLOYEE BEN PLAN CONTRIB | EXPENSE ACCOUNT |
|---|------------------------------------|-------------------|------------------------------|--------------------|
| JAMES ALLEN SMITH 135 EAST 64TH STREET NEW YORK, NY 10065 | CHAIRMAN 0.50 | 0. | 0. | 0. |
| JULIE C. MURACO 135 EAST 64TH STREET NEW YORK, NY 10065 | SECRETARY 0.50 | 0. | 0. | 0. |
| CLARA MILLER 135 EAST 64TH STREET NEW YORK, NY 10065 | TREASURER 0.50 | 0. | 0. | 0. |
| PAUL R. DOLAN 135 EAST 64TH STREET NEW YORK, NY 10065 | TRUSTEE 0.50 | 0. | 0. | 0. |
| PHILIP LI 135 EAST 64TH STREET NEW YORK, NY 10065 | TRUSTEE 0.50 | 0. | 0. | 0. |
| JOHN HOYT STOOKEY 135 EAST 64TH STREET NEW YORK, NY 10065 | TRUSTEE 0.50 | 0. | 0. | 0. |
| LAURA WOLFF 135 EAST 64TH STREET NEW YORK, NY 10065 | ACTING EXECUTIVE DIRECTOR 40.00 | 25,333. | 10,077. | 0. |
| TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII | | 25,333. | 10,077. | 0. |

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 12

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

Laura Wolff, Acting Executive Director Robert Sterling Clark Foundation
135 East 64th Street
New York, NY 10065

TELEPHONE NUMBER

212-288-8900

FORM AND CONTENT OF APPLICATIONS

REQUESTS SHOULD INCLUDE BUDGETS (PAST, PRESENT, PROJECTED). THE INDIVIDUAL PROJECT PROPOSAL SHOULD INCLUDE, IN ADDITION TO A DESCRIPTION OF THE PLANNED WORK, A BUDGET, PRECISE EXPECTATIONS, PLANS FOR EVALUATION, BACKGROUND OF THOSE INVOLVED AND A STATEMENT OF PLANS FOR FUTURE SUPPORT. THE MAIN BODY OF THE APPLICATION SHOULD NOT EXCEED 15 PAGES ALSO, A ONE PAGE SUMMARY IS REQUIRED.

ANY SUBMISSION DEADLINES

THE FOUNDATION RECEIVES AND REVIEWS PROPOSALS YEAR ROUND.

RESTRICTIONS AND LIMITATIONS ON AWARDS

LIMITED TO THE THREE AREAS WITHIN ITS PRESENT CONCENTRATION

- 1) PROMOTING INTERNATIONAL ARTS ENGAGEMENT.
- 2) IMPROVING THE PERFORMANCE OF PUBLIC INSTITUTIONS IN NEW YORK.
- 3) SAFEGUARDING REPRODUCTIVE RIGHTS AND HEALTH.

N. B. THE FOUNDATION WILL CONTINUE TO SUPPORT NATIONAL ORGANIZATIONS WORKING IN THESE AREAS TO ENSURE THAT WOMEN HAVE ACCESS TO COMPREHENSIVE REPRODUCTIVE HEALTH INFORMATION AND SERVICES. FUNDS WILL ALSO BE MADE AVAILABLE TO ORGANIZATIONS WORKING IN INDIVIDUAL STATES TO SUPPORT THE DEVELOPMENT OF PRO-CHOICE COALITIONS TO COUNTERACT LOCAL ANTI-CHOICE INITIATIVES.

2013 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1

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| Asset No | Description | Date Acquired | Method | Life | C n v | Line No | Unadjusted Cost Or Basis | Bus % Excl | Section 179 Expense | * Reduction In Basis | Basis For Depreciation | Beginning Accumulated Depreciation | Current Sec 179 Expense | Current Year Deduction | Ending Accumulated Depreciation |
|----------|----------------------|---------------|--------|-------|-------|---------|--------------------------|------------|---------------------|----------------------|------------------------|------------------------------------|-------------------------|------------------------|---------------------------------|
| 1 | BUILDINGS | 04/15/96 | SL | 39.00 | MM | 16 | 979,775. | | | | 979,775. | 439,635. | | 4,187. | 443,822. |
| 2 | FURNITURE & FIXTURE | 04/15/96 | SL | 5.00 | | 16 | 56,869. | | | | 56,869. | 56,869. | | 0. | 56,869. |
| 3 | FURNITURE & FIXTURE | 04/15/97 | SL | 5.00 | | 16 | 5,322. | | | | 5,322. | 5,322. | | 0. | 5,322. |
| 4 | COMPUTER | 04/15/99 | SL | 5.00 | | 16 | 4,854. | | | | 4,854. | 4,854. | | 0. | 4,854. |
| 5 | PHONE EQUIPMENT | 09/15/99 | SL | 5.00 | | 16 | 3,210. | | | | 3,210. | 3,210. | | 0. | 3,210. |
| 6 | CAPITAL IMPROVEMENTS | 07/15/00 | SL | 39.00 | MM | 16 | 8,000. | | | | 8,000. | 2,733. | | 34. | 2,767. |
| 7 | CAPITAL IMPROVEMENTS | 07/15/00 | SL | 39.00 | MM | 16 | 15,127. | | | | 15,127. | 5,173. | | 65. | 5,238. |
| 8 | CAPITAL IMPROVEMENTS | 07/15/00 | SL | 39.00 | MM | 16 | 2,400. | | | | 2,400. | 827. | | 10. | 837. |
| 9 | CAPITAL IMPROVEMENTS | 07/15/00 | SL | 39.00 | MM | 16 | 2,464. | | | | 2,464. | 840. | | 11. | 851. |
| 10 | FURNITURE & FIXTURE | 01/15/00 | SL | 5.00 | | 16 | 862. | | | | 862. | 862. | | 0. | 862. |
| 11 | FURNITURE & FIXTURE | 02/15/00 | SL | 5.00 | | 16 | 260. | | | | 260. | 260. | | 0. | 260. |
| 12 | FURNITURE & FIXTURE | 08/15/00 | SL | 5.00 | | 16 | 152. | | | | 152. | 152. | | 0. | 152. |
| 13 | FURNITURE & FIXTURE | 10/15/00 | SL | 5.00 | | 16 | 1,307. | | | | 1,307. | 1,307. | | 0. | 1,307. |
| 14 | SOFA | 11/15/00 | SL | 5.00 | | 16 | 2,600. | | | | 2,600. | 2,600. | | 0. | 2,600. |
| 15 | FURNITURE & FIXTURE | 11/15/00 | SL | 5.00 | | 16 | 2,206. | | | | 2,206. | 2,206. | | 0. | 2,206. |
| 16 | COMPUTER EQUIPMENT | 07/15/03 | SL | 5.00 | | 16 | 6,680. | | | | 6,680. | 6,680. | | 0. | 6,680. |
| 17 | CARPET | 07/01/05 | SL | 5.00 | | 16 | 4,750. | | | | 4,750. | 4,750. | | 0. | 4,750. |
| 18 | VACUUM | 05/01/06 | SL | 5.00 | | 16 | 483. | | | | 483. | 483. | | 0. | 483. |

328111 05-01-13

(D) - Asset disposed

* ITC, Salvage, Bonus, Commercial Revitalization Deduction, GO Zone

2013 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1

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| Asset No | Description | Date Acquired | Method | Life | C o n v | Line No | Unadjusted Cost Or Basis | Bus % Excl | Section 179 Expense | * Reduction In Basis | Basis For Depreciation | Beginning Accumulated Depreciation | Current Sec 179 Expense | Current Year Deduction | Ending Accumulated Depreciation |
|----------|--------------------------|---------------|--------|------|---------|---------|--------------------------|------------|---------------------|----------------------|------------------------|------------------------------------|-------------------------|------------------------|---------------------------------|
| 19 | MULTICHANNEL PHONE | 05/01/06 | SL | 5.00 | | 16 | 106. | | | | 106. | 106. | | 0. | 106. |
| 20 | CARPET | 11/01/06 | SL | 5.00 | | 16 | 2,475. | | | | 2,475. | 2,393. | | 0. | 2,393. |
| 21 | TELEVISION | 09/01/07 | SL | 5.00 | | 16 | 960. | | | | 960. | 960. | | 0. | 960. |
| 22 | WEBSITE | 10/27/09 | SL | 3.00 | | 16 | 39,292. | | | | 39,292. | 39,292. | | 0. | 39,292. |
| 23 | COMPUTER | 06/01/09 | SL | 5.00 | | 16 | 9,588. | | | | 9,588. | 8,471. | | 320. | 8,791. |
| 24 | FURNITURE & FIXTURE | 11/20/09 | SL | 5.00 | | 16 | 4,891. | | | | 4,891. | 3,831. | | 163. | 3,994. |
| 25 | VACUUM CLEANER | 03/31/13 | SL | 5.00 | | 16 | 814. | | | | 814. | 95. | | 27. | 122. |
| | * TOTAL 990-PF PG 1 DEPR | | | | | | 1,155,447. | | | | 1,155,447. | 593,911. | | 4,817. | 598,728. |

ANALYTICAL PORTFOLIO SERVICES
REALIZED GAINS AND LOSSES
ROBERT STERLING CLARK FOUNDATION
Deutsche Bank
Account # 630868
From 11-01-13 Through 12-31-13

| Open Date | Close Date | Quantity | Security | Security Symbol | Cost Basis | Proceeds | Gain Or Loss | |
|---|------------|-------------|---|-----------------|---------------------|---------------------|----------------|------------------|
| | | | | | | | Short Term | Long Term |
| VANGUARD FXD INC SECS INFLT PRT ADMR | | | | | | | | |
| 09-27-13 | 11-05-13 | 816.630 | VANGUARD FXD INC SECS INFLT PRT ADMR | mfusvaip.x | 21,428.42 | 21,338.54 | -89.88 | |
| 06-28-13 | 11-05-13 | 195.510 | VANGUARD FXD INC SECS INFLT PRT ADMR | mfusvaip.x | 5,120.34 | 5,108.68 | -11.66 | |
| 01-31-11 | 11-05-13 | 113,785.460 | VANGUARD FXD INC SECS INFLT PRT ADMR | mfusvaip.x | 2,906,080.60 | 2,973,213.68 | | 67,133.08 |
| | | | | | <u>2,932,629.36</u> | <u>2,999,660.90</u> | <u>-101.54</u> | <u>67,133.08</u> |
| TOTAL GAINS | | | | | | | | 67,133.08 |
| TOTAL LOSSES | | | | | | | -101.54 | |
| TOTAL REALIZED GAIN/LOSS | | | | | | 67,031.54 | -101.54 | 67,133.08 |

Schedule A

ROBERT STERLING CLARK FOUNDATION, INC.
Grants Paid or Approved for Future Payment
November 1, 2012 thru October 31, 2013

| Organization | Address | Amount Paid | Amount Approved for Future Payment | States | Memo/Description |
|---|--|----------------|------------------------------------|----------------|--|
| Advocates for Children | 151 W 30th St #5 New York, NY 10001 | 28,500 | - | Public Charity | Improving the Performance of Public Institutions in New York |
| Alliance for Quality Education (Public Policy and Education Fund) | 94 Central Avenue Albany, NY 12206 | 0 | 30,000 | Public Charity | Improving the Performance of Public Institutions in New York |
| American Civil Liberties Union Foundation | 125 Broad Street New York, NY 10004 | 60,000 | - | Public Charity | Ensuring Access to Family Planning Services |
| Association for Neighborhood and Housing Development | 50 Broad Street New York, NY 10004 | - | 30,000 | Public Charity | Improving the Performance of Public Institutions in New York |
| Battery Dance Company | 380 Broadway, 5th Floor New York, NY 10013 | 0 | 75,000 | Public Charity | Promoting International Arts Engagement |
| Brooklyn Academy of Music | 30 Lafayette Street Brooklyn, NY 11217 | - | 100,000 | Public Charity | Promoting International Arts Engagement |
| Center for Reproductive Rights | 120 Wall Street New York, NY 10005 | - | 75,000 | Public Charity | Ensuring Access to Family Planning Services |
| Citizens Union Foundation | 299 Broadway, Suite 700 New York, NY 10007 | - | 62,500 | Public Charity | Improving the Performance of Public Institutions in New York |
| Education Law Center | 60 Park Place, Suite 300 Newark, NJ 07102 | - | 37,500 | Public Charity | Improving the Performance of Public Institutions in New York |
| Empire Justice Center | 119 Washington Avenue Albany, NY 12210 | - | 32,500 | Public Charity | Improving the Performance of Public Institutions in New York |
| Feminist Majority Foundation | 1600 Wilson Boulevard, Suite 801 Arlington, VA 22209 | - | 42,500 | Public Charity | Ensuring Access to Family Planning Services |
| Fight Crime Incest in Kids New York and America's Edge NY (Council for a Strong America) | 1212 New York NW, Suite 300 Washington, DC 20005 | 35,000 | - | Public Charity | Improving the Performance of Public Institutions in New York |
| Long Term Care Community Coalition | 242 West 30th Street New York, NY 10001 | 20,000 | - | Public Charity | Improving the Performance of Public Institutions in New York |
| MergerWatch (Community Catalyst) | 475 Riverside Drive, Suite 1600 New York, NY 10015 | - | 35,000 | Public Charity | Ensuring Access to Family Planning Services |
| Mid Atlantic Arts Foundation | 201 North Charles Street, Suite 401 Baltimore, MD 21201 | - | 100,000 | Public Charity | Promoting International Arts Engagement |
| Museum of Arts and Design | 2 Columbus Circle New York, NY 10019 | - | 100,000 | Public Charity | Promoting International Arts Engagement |
| National Family Planning and Reproductive Health Association | 1627 K Street, NW, 12 Floor Washington DC 20006 | - | 45,000 | Public Charity | Ensuring Access to Family Planning Services |
| Natural Resources Defense Council | 40 W 20th St New York, NY 10011 | 25,000 | - | Public Charity | Improving the Performance of Public Institutions in New York |
| New England Foundation for the Arts | 145 Tyrnont Street, 7th Floor Boston, MA 02111 | - | 65,000 | Public Charity | Promoting International Arts Engagement |
| New Museum | 235 Bowery New York, NY 10002 | - | 100,000 | Public Charity | Promoting International Arts Engagement |
| New York Live Arts | 219 W 19th St New York, NY 10011 | - | 50,000 | Public Charity | Promoting International Arts Engagement |
| Old Town School of Folk Music | 4544 N Lincoln Ave Chicago, IL 60625 | - | 50,000 | Public Charity | Promoting International Arts Engagement |
| Planned Parenthood Federation of America | 434 West 33rd Street New York, NY 10001 | - | 75,000 | Public Charity | Ensuring Access to Family Planning Services |
| Supportive Housing Network of New York | 247 W 37th Street 18th Floor New York, NY 10018 | 25,000 | - | Public Charity | Improving the Performance of Public Institutions in New York |
| Teachers College, Columbia University | 525 W 120th Street New York, NY 10027 | 35,000 | - | Public Charity | Improving the Performance of Public Institutions in New York |
| The Joyce Theater | 175 8th Ave New York, NY 10011 | 100,000 | - | Public Charity | Promoting International Arts Engagement |
| Matching Gifts Program | | 552 | - | Public Charity | |
| Totals | | 329,052 | 1,105,000 | | |

SCHEDULE B

ROBERT STERLING CLARK FOUNDATION, INC.
(Supplemental Schedules)
Schedule of Investments
As of December 31, 2013

| <u>Description</u> | <u>Quantity</u> | <u>Cost</u> | <u>Fair Value</u> |
|--|-----------------|-----------------------------|-----------------------------|
| Archipelago Holdings Ltd | 303,136.30 | \$ 6,500,000 | \$ 7,928,227 |
| Forester Diversified Ltd | 6,500.00 | 6,500,000 | 7,801,885 |
| Eaton Vance Tax Managed Emerging Market Fund | 206,793.18 | 10,234,309 | 10,089,439 |
| Pimco Commodity Real Return Strategy Fund | 1,178,087.28 | 8,482,167 | 6,467,699 |
| Vanguard Fixed Income Inter-term Treasuries Fund | 356,093.98 | 4,040,486 | 3,959,765 |
| Vanguard Total Stock Market Index Fund | 610,843.56 | 18,731,198 | 27,524,611 |
| Vanguard Total International Stock Index Fund | 1,004,785.57 | 15,302,431 | 16,830,158 |
| The Colchester Global Bond Fund | 140,788.32 | 4,680,764 | 4,472,968 |
| Wellington Strategic Real Asset Fund | 596,898.32 | 7,856,159 | 6,951,954 |
| Total investments | | <u>\$ 82,327,514</u> | <u>\$ 92,026,706</u> |

Schedule C

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box **X**
- Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.
- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

| | | |
|---|---|--|
| Type or print <small>File by the due date for filing your return See instructions</small> | Name of exempt organization or other filer, see instructions. ROBERT STERLING CLARK FOUNDATION, INC. | Employer identification number (EIN) or 13-1957792 |
| | Number, street, and room or suite no. If a P.O. box, see instructions. 135 EAST 64TH STREET | Social security number (SSN) |
| | City, town or post office, state, and ZIP code. For a foreign address, see instructions. NEW YORK, NY 10065 | |

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

| Application Is For | Return Code | Application Is For | Return Code |
|--|-------------|-----------------------------------|-------------|
| Form 990 or Form 990-EZ | 01 | | |
| Form 990-BL | 02 | Form 1041-A | 08 |
| Form 4720 (individual) | 03 | Form 4720 (other than individual) | 09 |
| Form 990-PF | 04 | Form 5227 | 10 |
| Form 990-T (sec. 401(a) or 408(a) trust) | 05 | Form 6069 | 11 |
| Form 990-T (trust other than above) | 06 | Form 8870 | 12 |

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

CHRISTOPHER WEIR

- The books are in the care of **685 THIRD AVENUE - NEW YORK, NY 10017**
Telephone No. **212-503-8800** Fax No.

- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box . If it is for part of the group, check this box and attach a list with the names and EINs of all members the extension is for.

- I request an additional 3-month extension of time until **NOVEMBER 15, 2014.**
- For calendar year _____, or other tax year beginning **NOV 1, 2013**, and ending **DEC 31, 2013**.
- If the tax year entered in line 5 is for less than 12 months, check reason: Initial return Final return
 Change in accounting period

7 State in detail why you need the extension
ALL OF THE INFORMATION NECESSARY TO FILE A COMPLETE AND ACCURATE FORM 990 IS NOT YET AVAILABLE

| | | | |
|---|-----------|----|----------------|
| 8a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions. | 8a | \$ | 12,000. |
| b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868. | 8b | \$ | 33,000. |
| c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions. | 8c | \$ | 0. |

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature Title **ACTING EXECUTIVE DIRECTOR** Date