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Form 990-PF

Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

2010

Department of the Treasury Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning 01-01-2010, and ending 12-31-2010

Check all that apply: Initial return, Amended return, Initial return of a former public charity, Address change, Final return, Name change

Name of foundation: THE WINTHROP ROCKEFELLER FOUNDATION. A Employer identification number: 71-0285871. B Telephone number: (501) 376-6854. H Check type of organization: Section 501(c)(3) exempt private foundation. J Accounting method: Accrual.

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes. Rows include Revenue (1-12), Operating and Administrative Expenses (13-26), and Summary (27-29).

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

Part II Balance Sheets		Beginning of year			End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing						
	2 Savings and temporary cash investments	4,386,169	4,219,959		4,219,959		4,219,959
	3 Accounts receivable ▶ <u>1,493</u>						
	Less allowance for doubtful accounts ▶ _____	36,771	1,493		1,493		1,493
	4 Pledges receivable ▶ _____						
	Less allowance for doubtful accounts ▶ _____						
	5 Grants receivable						
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)						
	7 Other notes and loans receivable (attach schedule) ▶ _____						
	Less allowance for doubtful accounts ▶ _____						
	8 Inventories for sale or use						
	9 Prepaid expenses and deferred charges						
	10a Investments—U S and state government obligations (attach schedule)						
	b Investments—corporate stock (attach schedule)	81,962,415	88,126,741		88,126,741		88,126,741
	c Investments—corporate bonds (attach schedule)	34,021,381	36,203,297		36,203,297		36,203,297
	11 Investments—land, buildings, and equipment basis ▶ _____						
Less accumulated depreciation (attach schedule) ▶ _____							
12 Investments—mortgage loans							
13 Investments—other (attach schedule)							
14 Land, buildings, and equipment basis ▶ <u>519,325</u>							
Less accumulated depreciation (attach schedule) ▶ <u>135,713</u>	339,197	383,612		383,612		383,612	
15 Other assets (describe ▶ _____)	5,559,313	5,626,678		5,626,678		5,626,678	
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	126,305,246	134,561,780		134,561,780		134,561,780	
Liabilities	17 Accounts payable and accrued expenses	178,418	220,384		220,384		
	18 Grants payable	8,340,761	5,738,652		5,738,652		
	19 Deferred revenue						
	20 Loans from officers, directors, trustees, and other disqualified persons						
	21 Mortgages and other notes payable (attach schedule)						
	22 Other liabilities (describe ▶ _____)						
23 Total liabilities (add lines 17 through 22)	8,519,179	5,959,036		5,959,036			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>						
	and complete lines 24 through 26 and lines 30 and 31.						
	24 Unrestricted	117,758,375	128,582,899		128,582,899		
	25 Temporarily restricted	27,692	19,845		19,845		
	26 Permanently restricted						
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/>						
	and complete lines 27 through 31.						
27 Capital stock, trust principal, or current funds							
28 Paid-in or capital surplus, or land, bldg, and equipment fund							
29 Retained earnings, accumulated income, endowment, or other funds							
30 Total net assets or fund balances (see page 17 of the instructions)	117,786,067	128,602,744		128,602,744			
31 Total liabilities and net assets/fund balances (see page 17 of the instructions)	126,305,246	134,561,780		134,561,780			

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	117,786,067
2	Enter amount from Part I, line 27a	2	7,540,608
3	Other increases not included in line 2 (itemize) ▶ _____	3	3,298,194
4	Add lines 1, 2, and 3	4	128,624,869
5	Decreases not included in line 2 (itemize) ▶ _____	5	22,125
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	128,602,744

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a	See Additional Data Table			
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a	See Additional Data Table			
b				
c				
d				
e				
2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	10,058,225
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2009	8,037,938	106,264,684	0.075641
2008	9,439,112	127,553,697	0.074001
2007	7,750,315	145,834,976	0.053144
2006	7,413,466	137,279,352	0.054003
2005	8,690,005	131,010,770	0.066330
2	Total of line 1, column (d).		2 0.323119
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years		3 0.064624
4	Enter the net value of noncharitable-use assets for 2010 from Part X, line 5.		4 118,410,948
5	Multiply line 4 by line 3.		5 7,652,189
6	Enter 1% of net investment income (1% of Part I, line 27b).		6 122,617
7	Add lines 5 and 6.		7 7,774,806
8	Enter qualifying distributions from Part XII, line 4.		8 7,415,301

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	245,235
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2.	3	245,235
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	245,235
6	Credits/Payments		
a	2010 estimated tax payments and 2009 overpayment credited to 2010	6a	225,914
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	30,000
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d.	7	255,914
8	Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	10,679
11	Enter the amount of line 10 to be Credited to 2011 estimated tax ▶ 10,679 Refunded ▶	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		No
c Did the foundation file Form 1120-POL for this year?.		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ <u>0</u> (2) On foundation managers ▶ \$ <u>0</u>		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ <u>0</u>		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		No
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ AR _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation .</i>	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? <i>If "Yes," complete Part XIV</i>		No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions).	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address WWW WRFOUNDATION ORG/WWW GUIDESTAR ORG	13	Yes	
14	The books are in care of ANDREA M DOBSON Telephone no (501) 376-6854 Located at 225 EAST MARKHAM STREET STE 200 LITTLE ROCK AR ZIP+4 72201			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year. 15			
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No

See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes", enter the name of the foreign country.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
1b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/>	1b	No
1c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years 20 ____, 20 ____, 20 ____, 20 ____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions).	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 ____, 20 ____, 20 ____, 20 ____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.</i>)	3b	No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions). Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here. **5b**

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
If "Yes" to 6b, file Form 8870. **6b** **No**

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CORY ANDERSON 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	VICE-PRESIDENT 40 00	132,908	22,672	0
ANGELA KREMERS 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	SENIOR PROGRAM ASSOC 40 00	92,554	18,079	0
RACHEL ROSENBERG 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	PROGRAM ASSISTANT 40 00	51,063	10,048	0
APRIL STEWARD 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	ASSISTANT TO THE COF 40 00	45,564	8,739	0

Total number of other employees paid over \$50,000. **0**

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

Part VIII

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
KR CAPITAL ADVISORS INC 445 PARK AVENUE STE 1501 NEW YORK, NY 10022	INVESTMENT MANAGEMENT	156,372
THE NORTHERN TRUST COMPANY 50 SOUTH LASALLE ST CHICAGO, IL 60675	INVESTMENT CUSTODY/ MANAGEMENT SERVICES	117,094
HEWITT ENNISKNUPP INC AN AON COMPAN 4130 PARKLAKE AVENUE STE 110 RALEIGH, NC 27612	INVESTMENT ADVISING	115,941
GARLAND A YATES 4 PARK AVENUE STE 200 GAITHERSBURG, MD 20877	PROGRAM CONSULTING - PLACE-BASED STRATEGIES	106,526
ADVISORY RESEARCH INC 180 N STETSON STE 5500 CHICAGO, IL 60601	INVESTMENT MANAGEMENT	91,971
Total number of others receiving over \$50,000 for professional services. ▶		2

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 SEE STATEMENT 18	206,730
2 SEE STATEMENT 18	62,659
3 SEE STATEMENT 18	50,108
4 SEE STATEMENT 18	41,793

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount	
1 FUND FOR ARKANSAS' FUTURE(INVESTMENT STILL HELD AS OF 12/31/2010)	75,000	
2 FINANCING OZARKS RURAL GROWTH & ECONOMY (FORGE)PRI LOAN GRANTED DURING 2010	200,000	
All other program-related investments See page 24 of the instructions		
3		
Total. Add lines 1 through 3. ▶		275,000

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	116,387,764
b	Average of monthly cash balances.	1b	3,826,396
c	Fair market value of all other assets (see page 24 of the instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	120,214,160
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	120,214,160
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions).	4	1,803,212
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	118,410,948
6	Minimum investment return. Enter 5% of line 5.	6	5,920,547

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	5,920,547
2a	Tax on investment income for 2010 from Part VI, line 5.	2a	245,235
b	Income tax for 2010 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	245,235
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	5,675,312
4	Recoveries of amounts treated as qualifying distributions.	4	183,695
5	Add lines 3 and 4.	5	5,859,007
6	Deduction from distributable amount (see page 25 of the instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	5,859,007

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	7,140,301
b	Program-related investments—total from Part IX-B.	1b	275,000
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	7,415,301
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	7,415,301

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				5,859,007
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only.			0	
b Total for prior years 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2010				
a From 2005.				
b From 2006.				
c From 2007.				519,313
d From 2008.				2,717,413
e From 2009.				2,272,839
f Total of lines 3a through e.	5,509,565			
4 Qualifying distributions for 2010 from Part XII, line 4 ▶ \$ <u>7,415,301</u>				
a Applied to 2009, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)		0		
c Treated as distributions out of corpus (Election required—see page 26 of the instructions).	0			
d Applied to 2010 distributable amount.				5,859,007
e Remaining amount distributed out of corpus	1,556,294			
5 Excess distributions carryover applied to 2010 <i>(If an amount appears in column (d), the same amount must be shown in column (a).)</i>	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	7,065,859			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions		0		
e Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions			0	
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions).	175,750			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions).	0			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a.	6,890,109			
10 Analysis of line 9				
a Excess from 2006.				
b Excess from 2007.				519,313
c Excess from 2008.				2,717,413
d Excess from 2009.				2,272,839
e Excess from 2010.				1,380,544

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling.

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2010	(b) 2009	(c) 2008	(d) 2007	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

- a** The name, address, and telephone number of the person to whom applications should be addressed
ONLINE AT WWWRFUNDATIONORG
225 EAST MARKHAM STREET SUITE 200
LITTLE ROCK, AR 72201
(501) 376-6854

- b** The form in which applications should be submitted and information and materials they should include
SEE STATEMENT 19

- c** Any submission deadlines
SEE STATEMENT 19

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors
SEE STATEMENT 19

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> SEE STATEMENT 20 VARIOUS LITTLE ROCK, AR 72201			SEE STATEMENT 18	5,189,709
Total				5,189,709
b <i>Approved for future payment</i> SEE STATEMENT 20 VARIOUS LITTLE ROCK, AR 72201			SEE STATEMENT 18	1,407,305
Total				1,407,305

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Schedule of Contributors

OMB No 1545-0047

▶ Attach to Form 990, 990-EZ, or 990-PF.

2010

Department of the Treasury
Internal Revenue Service

Name of organization
THE WINTHROP ROCKEFELLER FOUNDATION

Employer identification number
71-0285871

Organization type (check one)

- Filers of:** **Section:**
- Form 990 or 990-EZ 501(c)() (enter number) organization
- 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- 527 political organization
- Form 990-PF 501(c)(3) exempt private foundation
- 4947(a)(1) nonexempt charitable trust treated as a private foundation
- 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule—

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- For a section 501(c)(3) organization filing Form 990 or 990-EZ, that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For a section 501(c)(7), (8), or (10) organization filing Form 990, or 990-EZ, that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- For a section 501(c)(7), (8), or (10) organization filing Form 990, or 990-EZ, that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An Organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization THE WINTHROP ROCKEFELLER FOUNDATION	Employer identification number 71-0285871
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Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
<u>1</u>	TRUST UW WINTHROP ROCKEFELLER 2230 COTTONDALE LANE SUITE 6 LITTLE ROCK, AR 72202	\$ <u>89,000</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
<u>2</u>	ANNIE CASEY FOUNDATION ARKANSAS CHA 701 ST PAUL STREET BALTIMORE, MD 21202	\$ <u>20,000</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
—		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
—		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
—		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
—		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)

Name of organization THE WINTHROP ROCKEFELLER FOUNDATION	Employer identification number 71-0285871
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Part II Noncash Property (see Instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
—	<hr/> <hr/> <hr/> <hr/>	\$ _____	_____
—	<hr/> <hr/> <hr/> <hr/>	\$ _____	_____
—	<hr/> <hr/> <hr/> <hr/>	\$ _____	_____
—	<hr/> <hr/> <hr/> <hr/>	\$ _____	_____
—	<hr/> <hr/> <hr/> <hr/>	\$ _____	_____
—	<hr/> <hr/> <hr/> <hr/>	\$ _____	_____
—	<hr/> <hr/> <hr/> <hr/>	\$ _____	_____
—	<hr/> <hr/> <hr/> <hr/>	\$ _____	_____
—	<hr/> <hr/> <hr/> <hr/>	\$ _____	_____
—	<hr/> <hr/> <hr/> <hr/>	\$ _____	_____

Name of organization THE WINTHROP ROCKEFELLER FOUNDATION	Employer identification number 71-0285871
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Part III **Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year.** (Complete columns (a) through (e) and the following line entry.)
For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ \$

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	_____ _____ _____	_____ _____ _____	_____ _____ _____
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
_____ _____		_____ _____ _____	
—	_____ _____ _____	_____ _____ _____	_____ _____ _____
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
_____ _____		_____ _____ _____	
—	_____ _____ _____	_____ _____ _____	_____ _____ _____
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
_____ _____		_____ _____ _____	
—	_____ _____ _____	_____ _____ _____	_____ _____ _____
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
_____ _____		_____ _____ _____	

Additional Data**Software ID:****Software Version:****EIN:** 71-0285871**Name:** THE WINTHROP ROCKEFELLER FOUNDATION**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
A	DREIHAUS SHORT TERM - SEE STMT 15A	P		
B	DREIHAUS LONG TERM - SEE STMT 15A	P		
C	EARNEST SHORT TERM - SEE STMT 15B	P		
D	EARNEST LONG TERM - SEE STMT 15B	P		
E	WASHINGTON SHORT TERM - SEE STMT 15C	P		
F	WASHINGTON LONG TERM - SEE STMT 15C	P		
G	KR CAPITAL SHORT TERM - SEE STMT 15D	P		
H	KR CAPITAL LONG TERM - SEE STMT 15D	P		
I	MARVIN & PALMER SHORT TERM - SEE STMT 15E	P		
J	MARVIN & PALMER LONG TERM - SEE STMT 15E	P		
K	ING SHORT TERM - SEE STMT 15F	P		
L	ING LONG TERM - SEE STMT 15F	P		
M	ING SHORT TERM CAPITAL CHANGES	P		
N	ING LONG TERM CAPITAL CHANGES	P		
O	ING FOREIGN EXCHANGE	P		
P	ING TRANSLATION LOSS	P		
Q	INTECH LONG TERM - SEE STMT 15G	P		
R	PIMCO SHORT TERM - SEE STMT 15H	P		
S	PIMCO LONG TERM - SEE STMT 15H	P		
T	ADVISORY RESEARCH SHORT TERM - SEE STMT 15I	P		
U	ADVISORY RESEARCH LONG TERM - SEE STMT 15I	P		
V	MFB NTGI COMMON DAILY	P		
W	MFB NTGI-QM COM DAILY	P		
X	MFB NTGI COMMON DAILY	P		
Y	NTGI AGGREGATE BOND INDEX - P/T FROM COMMON TRUST	P		
Z	NTGI-QM S&P 500 - PASSTHROUGH FROM COMMON TRUST	P		
AA	NTGI-QM S&P 500 - PASSTHROUGH FROM COMMON TRUST	P		
AB	NTGI AGGREGATE BOND INDEX - P/T FROM COMMON TRUST	P		
AC	PASSTHROUGH INTECH GROWTH FUND ST - SEE STMT 16A	P		
AD	PASSTHROUGH INTECH GROWTH FUND LT - SEE STMT 16A	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
AE	PASSTHROUGH KKR FIN HOLDINGS ST - SEE STMT 16B	P		
AF	PASSTHROUGH KKR FIN HOLDINGS LT - SEE STMT 16B	P		
AG	KKR FINANCIAL HOLDINGS	P		
AH	PASSTHROUGH ATLAS PIPELINE PTNRS - SEE STMT 16C	P		
AI	ATLAS PIPELINE PARTNERS LP	P		
AJ	PASSTHROUGH FUND FOR AR FUTURE - SEE STMT 16D	P		
AK	PASSTHROUGH ATLAS PIPELINE PTRNS - SEE STMT 16C	P		
AL	OFFICE EQUIPMENT (DESK)	P		
AM	CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
A	9,826,600		9,278,377	548,223
B	527,525		391,141	136,384
C	471,781		388,852	82,929
D	9,874,946		6,803,261	3,071,685
E	1,755,992		1,614,828	141,164
F	2,000,575		1,572,981	427,594
G	202,631		190,807	11,824
H	2,980,277		2,586,951	393,326
I	10,120,951		9,988,357	132,594
J	2,247,156		1,562,782	684,374
K	2,755,968		2,704,552	51,416
L	1,702,666		1,510,845	191,821
M	33			33
N	17			17
O	2,612			2,612
P			4,659	-4,659
Q	1,044,516		937,242	107,274
R	1,100,590		1,077,876	22,714
S	5,899,410		5,906,790	-7,380
T	851,664		643,757	207,907
U	3,249,465		2,515,976	733,489
V	1,738,372		1,764,029	-25,657
W	11,767,418		10,345,136	1,422,282
X	5,261,628		5,245,039	16,589
Y	101,223			101,223
Z			13,476	-13,476
AA	58,372			58,372
AB	512,519			512,519
AC	411,146			411,146
AD	335,876			335,876

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
AE	9,896			9,896
AF	4,755			4,755
AG	245,729		292,580	-46,851
AH			157	-157
AI	123,048		135,916	-12,868
AJ			97	-97
AK	12,562			12,562
AL	1,000		4,446	-3,446
AM	340,216			340,216

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - I

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69		(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) F M V as of 12/31/69		(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any
A			548,223
B			136,384
C			82,929
D			3,071,685
E			141,164
F			427,594
G			11,824
H			393,326
I			132,594
J			684,374
K			51,416
L			191,821
M			33
N			17
O			2,612
P			-4,659
Q			107,274
R			22,714
S			-7,380
T			207,907
U			733,489
V			-25,657
W			1,422,282
X			16,589
Y			101,223
Z			-13,476
AA			58,372
AB			512,519
AC			411,146
AD			335,876

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69		(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) F M V as of 12/31/69		(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any
AE			9,896
AF			4,755
AG			-46,851
AH			-157
AI			-12,868
AJ			-97
AK			12,562
AL			-3,446
AM			340,216

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SHERECE WEST 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	PRESIDENT & CEO 40 00	230,132	33,527	0
ANDREA M DOBSON 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	COFO 40 00	174,721	33,541	0
RALPH CHRISTY 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	DIRECTOR 2 12	0	0	0
DAN RAINEY 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	CHAIR 3 36	0	0	0
LORI VILLAROSA 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	DIRECTOR 2 58	0	0	0
LISENNE ROCKEFELLER 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	VICE-CHAIRMAN 1 93	0	0	0
CYNTHIA EAST 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	DIRECTOR 2 62	0	0	0
J BAXTER SHARP 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	CHAIR-ELECT 3 02	0	0	0
WILLIAM J BYNUM 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	DIRECTOR 2 68	0	0	0
PAMELA C HAMM 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	BOARD SECRETARY 40 00	31,074	6,238	0
DIANA GONZALES WORTHEN 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	DIRECTOR 2 25	0	0	0
ANDRE GUERRERO 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	DIRECTOR 2 62	0	0	0
YVETTE MURPHY-ERBY 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	DIRECTOR 2 16	0	0	0
TOYCE NEWTON 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	DIRECTOR 2 89	0	0	0
PLEDGER E MONK III 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	DIRECTOR 2 90	0	0	0
PHILLIP N BALDWIN 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	DIRECTOR 2 30	0	0	0
REGAN GRUBER MOFFITT 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	BOARD SECRETARY 40 00	75,211	14,836	0

TY 2010 Accounting Fees Schedule

Name: THE WINTHROP ROCKEFELLER FOUNDATION

EIN: 71-0285871

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES - SEE ATTACHED DETAILS	52,542	13,000	0	39,542

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2010 Gain/Loss from Sale of Other Assets Schedule

Name: THE WINTHROP ROCKEFELLER FOUNDATION

EIN: 71-0285871

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
OFFICE EQUIPMENT (DESK)		PURCHASED						0		

**TY 2010 Investments Corporate
Bonds Schedule**

Name: THE WINTHROP ROCKEFELLER FOUNDATION

EIN: 71-0285871

Name of Bond	End of Year Book Value	End of Year Fair Market Value
NTGI BOND FUND - SEE STATEMENT 12A	11,997,578	11,997,578
PIMCO FIXED INCOME FUND - SEE STATEMENT 12B	12,191,768	12,191,768
CCM FIXED INCOME FUND - SEE STATEMENT 12C	12,013,951	12,013,951

**TY 2010 Investments Corporate
Stock Schedule****Name:** THE WINTHROP ROCKEFELLER FOUNDATION**EIN:** 71-0285871

Name of Stock	End of Year Book Value	End of Year Fair Market Value
EARNEST	0	0
ARTISAN - SEE STATMENT 11A	6,335,121	6,335,121
KR CAPITAL - SEE STATEMENT 11B	19,433,189	19,433,189
WASHINGTON - SEE STATEMENT 11C	4,793,058	4,793,058
DREIHAUS - SEE STATEMENT 11D	4,879,766	4,879,766
GMO INT'L FUND - SEE STATEMENT 11E	6,098,326	6,098,326
MARVIN & PALMER - SEE STATEMENT 11F	9,638,972	9,638,972
INTECH - SEE STATEMENT 11G	9,866,417	9,866,417
ING CLARION REITS - SEE STATEMENT 11H	6,200,897	6,200,897
ADVISORY RESEARCH EQUITIES - SEE STATEMENT 11I	9,560,291	9,560,291
HERNDON CAPITAL EQUITIES - SEE STATEMENT 11J	11,320,704	11,320,704

TY 2010 Legal Fees Schedule

Name: THE WINTHROP ROCKEFELLER FOUNDATION

EIN: 71-0285871

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES - SEE ATTACHED DETAILS	18,702	0	0	18,702

TY 2010 Other Assets Schedule

Name: THE WINTHROP ROCKEFELLER FOUNDATION

EIN: 71-0285871

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
PROGRAM RELATED INVESTMENTS	5,360,043	5,429,837	5,429,837
ACCRUED INTEREST RECEIVABLE	177,615	175,125	175,125
WRCT IN LIEU OF GRANT RECEIVABLE	22,250	22,250	22,250
OTHER ASSETS	-595	-534	-534

TY 2010 Other Decreases Schedule**Name:** THE WINTHROP ROCKEFELLER FOUNDATION**EIN:** 71-0285871

Description	Amount
CASH VERSUS ACCRUAL BASIS ON INTEREST	1,302
DIAMOND STATE VENTURES II, L.P.	20,823

TY 2010 Other Expenses Schedule

Name: THE WINTHROP ROCKEFELLER FOUNDATION

EIN: 71-0285871

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INSURANCE	16,547	0	0	16,547
POSTAGE	3,360	0	0	3,360
DUES & SUBSCRIPTIONS	31,459	0	0	31,459
OFFICE EXPENSES	75,115	0	0	75,115
PROGRAM EXPENSES	259,498	0	0	259,498
ACCRUAL TO CASH CONVERSION	0	0	0	-47,521
PASSTHROUGH PORTFOLIO DEDUCTIONS	8,897	8,735	0	0
TRAINING	177	0	0	177
CONVENTIONS AND MEETINGS	3,538	0	0	3,538
PROFESSIONAL DEVELOPMENT	39,698	0	0	39,698
EMPLOYEE SEARCH EXPENSE	1,454	0	0	1,454
TRUSTEE OPERATIONS EXPENSE	28,447	0	0	28,447
PASSTHROUGH LOSSES - FUND FOR ARKANSAS FUTURE	49,068	49,068	0	0
PASSTHROUGH LOSSES - DIAMOND STATE VENTURES II, L P	23,402	23,402	0	0
PASSTHROUGH LOSSES - KKR FINANCIAL	-641	-641	0	0
PASSTHROUGH LOSSES - ATLAS PIPELINE PARTNERS LP	14,772	14,772	0	0

TY 2010 Other Income Schedule**Name:** THE WINTHROP ROCKEFELLER FOUNDATION**EIN:** 71-0285871

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
NT BOND FUND TRUST MISCELLANEOUS INVESTMENT INCOME	5,448	5,448	
ROYALTY INCOME	132	132	
MISCELLANEOUS INCOME- SECURITIES SETTLEMENTS	14,730	14,730	
ORDINARY GAIN ON DISPOSAL OF KKR FINANCIAL HOLDINGS	16,214	16,214	
ORDINARY GAIN ON DISPOSAL OF ATLAS PIPELINE PARTNERS LP	13,871	13,871	

TY 2010 Other Increases Schedule

Name: THE WINTHROP ROCKEFELLER FOUNDATION

EIN: 71-0285871

Description	Amount
UNREALIZED GAINS/(LOSSES) ON INVESTMENTS	3,241,652
FUND FOR ARKANSAS' FUTURE, LLC	49,090
KKR FINANCIAL HOLDINGS LLC	7,448
ATLAS PIPELINE PARTNERS LP	4

TY 2010 Other Professional Fees Schedule

Name: THE WINTHROP ROCKEFELLER FOUNDATION

EIN: 71-0285871

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT OVERSIGHT	115,251	115,251	0	0
INVESTMENT MGMT FEES - DRIEHAUS	37,864	37,864	0	0
MANAGEMENT FEES - REITS	60,923	60,923	0	0
INVESTMENT MGMT FEES - WASHINGTON	42,398	42,398	0	0
INVESTMENT MGMT FEES - MARVIN & PALMER	67,612	67,612	0	0
INVESTMENT MGMT FEES - INTECH	44,516	44,516	0	0
INVESTMENT MGMT FEES - EARNEST	27,711	27,711	0	0
INVESTMENT MGMT FEES - KR CAPITAL	156,372	156,372	0	0
INVESTMENT MGMT FEES - NORTHERN TRUST	11,694	11,694	0	0
INVESTMENT MGMT FEES - ADVISORY RESEARCH	91,971	91,971	0	0
CUSTODIAL BANK FEES	100,854	100,854	0	0
AGENCY FEES - DREIHAUS	1,054	1,054	0	0
ADVISORY FEES - KR CAPITAL	173	173	0	0
TRUSTEE FEES- NT BOND INDEX FUND	2,993	2,993	0	0
PROGRAM EVALUATION	19,313	0	0	19,313
CONSULTING FEES	65,142	0	0	65,142
CONSULTING FEES - PROGRAM	58,946	0	0	58,946
COMMUNICATIONS SUPPORT - PROGRAM	63,909	0	0	63,909

TY 2010 Taxes Schedule

Name: THE WINTHROP ROCKEFELLER FOUNDATION

EIN: 71-0285871

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	231,891	0	0	0
FOREIGN TAXES	19,658	19,658	0	0