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Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

Open to Public Inspection

The organization may have to use a copy of this return to satisfy state reporting requirements

Department of the Treasury
Internal Revenue Service

A For the 2002 calendar year, or tax year beginning **JANUARY 1**, 2002, and ending **DECEMBER 31**, 2002

- B** Check if applicable:
 - Address change
 - Name change
 - Initial return
 - Final return
 - Amended return
 - Application pending

*****ECRL0T**C033
 29 IB LI 52-1736502 200312
 NATIONAL CENTER ON ADDICTION AND
 SUBSTANCE ABUSE AT COLUMBIA UN
 633 3RD AVE FL 19
 NEW YORK NY 10017-8155 P-11 P103
 |-----|

D Employer identification number
 52 1736502

E Telephone number
 (212) 841-5200

F Accounting method: Cash Accrual
 Other (specify) _____

Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A (Form 990 or 990-EZ)

- H and I** are not applicable to section 527 organizations
- H(a)** Is this a group return for affiliates? Yes No
- H(b)** If "Yes" enter number of affiliates _____
- H(c)** Are all affiliates included? Yes No (If "No" attach a list See instructions)
- H(d)** Is this a separate return filed by an organization covered by a group ruling? Yes No

G Web site **WWW.CASACOLUMBIA.ORG**

J Organization type (check only one) 501(c) (3) (insert no) 4947(a)(1) or 527

K Check here if the organization's gross receipts are normally not more than \$25,000. The organization need not file a return with the IRS but if the organization received a Form 990 Package in the mail, it should file a return without financial data. Some states require a complete return.

I Enter 4-digit GEN _____

M Check if the organization is not required to attach Sch B (Form 990, 990-EZ, or 990-PF)

L Gross receipts Add lines 6b, 8b, 9b, and 10b to line 12 **51,518,091**

Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances (See page 17 of the instructions)

1	Contributions, gifts, grants, and similar amounts received				
a	Direct public support	1a	12,480,889		
b	Indirect public support	1b			
c	Government contributions (grants)	1c	11,265,966		
d	Total (add lines 1a through 1c) (cash \$ 23,746,855 noncash \$ _____)	1d	23,746,855		
2	Program service revenue including government fees and contracts (from Part VII, line 93)	2	931,629		
3	Membership dues and assessments	3			
4	Interest on savings and temporary cash investments	4	39,244		
5	Dividends and interest from securities	5	683,260		
6a	Gross rents	6a	1,123,326		
b	Less rental expenses	6b	1,004,229		
c	Net rental income or (loss) (subtract line 6b from line 6a)	6c	119,097		
7	Other investment income (describe _____)	7			
8a	Gross amount from sales of assets other than inventory	(A) Securities	23,589,485	8a	
b	Less cost or other basis and sales expenses		24,870,461	8b	
c	Gain or (loss) (attach schedule) STMT#6		(1,280,976)	8c	
d	Net gain or (loss) (combine line 8c, columns (A) and (B))			8d	(1,280,976)
9a	Special events and activities (attach schedule) STMT#1				
a	Gross revenue (not including \$ 740,807 of contributions reported on line 1a)	9a	1,394,500		
b	Less direct expenses other than fundraising expenses	9b	420,762		
c	Net income or (loss) from special events (subtract line 9b from line 9a)	9c	973,738		
10a	Gross sales of inventory, less returns and allowances	10a			
b	Less cost of goods sold	10b			
c	Gross profit or (loss) from sales of inventory (attach schedule) (subtract line 10b from line 10a)	10c			
11	Other revenue (from Part VII, line 103)	11	9,792		
12	Total revenue (add lines 1d, 2, 3, 4, 5, 6c, 7, 8d, 9c, 10c, and 11)	12	25,222,639		
13	Program services (from line 44, column (B))	13	11,441,081		
14	Management and general (from line 44, column (C))	14	2,709,626		
15	Fundraising (from line 44, column (D))	15	199,285		
16	Payments to affiliates (attach schedule)	16			
17	Total expenses (add lines 16 and 44, column (A))	17	14,349,992		
18	Excess or (deficit) for the year (subtract line 17 from line 12)	18	10,872,647		
19	Net assets or fund balances at beginning of year (from line 73, column (A))	19	41,154,180		
20	Other changes in net assets or fund balances (attach explanation) STMT#2	20	(1,582,308)		
21	Net assets or fund balances at end of year (combine lines 18, 19, and 20)	21	50,444,519		

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Part II Statement of Functional Expenses

All organizations must complete column (A). Columns (B), (C), and (D) are required for section 501(c)(3) and (4) organizations and section 4947(a)(1) nonexempt charitable trusts but optional for others. (See page 21 of the instructions.)

Do not include amounts reported on line 6b, 8b, 9b, 10b, or 16 of Part I		(A) Total	(B) Program services	(C) Management and general	(D) Fundraising
22	Grants and allocations (attach schedule) (cash \$ 4,425,134 noncash \$ STMT#9)	4,425,134	4,425,134		
23	Specific assistance to individuals (attach schedule)				
24	Benefits paid to or for members (attach schedule)				
25	Compensation of officers, directors, etc	1,152,924	808,918	317,563	26,443
26	Other salaries and wages	3,927,921	2,755,920	1,081,910	-90,091
27	Pension plan contributions	355,181	264,333	83,961	-6,887
28	Other employee benefits	729,320	542,774	172,403	14,143
29	Payroll taxes	357,811	266,290	84,583	6,938
30	Professional fundraising fees				
31	Accounting fees	49,200	5,400	43,800	
32	Legal fees	95,851		95,851	
33	Supplies	150,468	44,458	103,638	2,372
34	Telephone	76,268	38,491	37,603	174
35	Postage and shipping	31,372	20,777	3,201	7,394
36	Occupancy	344,236	242,589	91,317	10,330
37	Equipment rental and maintenance	63,494	1,971	58,148	3,375
38	Printing and publications	337,183	289,512	46,703	968
39	Travel	263,807	242,767	18,295	2,745
40	Conferences, conventions, and meetings	338,992	314,834	21,055	3,103
41	Interest	282,637	199,178	74,977	8,482
42	Depreciation, depletion, etc (attach schedule)	428,223	301,775	113,597	12,851
43	Other expenses not covered above (itemize) a				
b	SEE STMT#3	939,970	675,960	261,021	2,989
c					
d					
e					
44	Total functional expenses (add lines 22 through 43) Organizations completing columns (B)-(D), carry these totals to lines 13-15	14,349,992	11,441,081	2,709,626	199,285

Joint Costs Check if you are following SOP 98-2
 Are any joint costs from a combined educational campaign and fundraising solicitation reported in (B) Program services? Yes No
 If "Yes," enter (i) the aggregate amount of these joint costs \$ _____, (ii) the amount allocated to Program services \$ _____,
 (iii) the amount allocated to Management and general \$ _____, and (iv) the amount allocated to Fundraising \$ _____

Part III Statement of Program Service Accomplishments (See page 24 of the instructions)

What is the organization's primary exempt purpose? SEE STMT#4	Program Service Expenses (Required for 501(c)(3) and (4) orgs and 4947(a)(1) trusts but optional for others)
a SEE STMT#7	11,441,081
(Grants and allocations \$ 4,425,134)	
b	
(Grants and allocations \$)	
c	
(Grants and allocations \$)	
d	
(Grants and allocations \$)	
e Other program services (attach schedule) (Grants and allocations \$)	
f Total of Program Service Expenses (should equal line 44, column (B), Program services)	

Part IV Balance Sheets (See page 24 of the instructions)

Note		Where required, attached schedules and amounts within the description column should be for end-of-year amounts only		(A) Beginning of year		(B) End of year
Assets	45	Cash—non-interest-bearing		546,603	45	556,925
	46	Savings and temporary cash investments		2,401,196	46	2,760,305
	47a	47a	Accounts receivable		47c	
		47b	b Less allowance for doubtful accounts			
	48a	48a	10,896,142	Pledges receivable	48c	
		48b	411,250	b Less allowance for doubtful accounts		4,417,360
	49	Grants receivable		10,731,154	49	14,534,035
	50	Receivables from officers, directors, trustees, and key employees (attach schedule)			50	
	51a	51a	Other notes and loans receivable (attach schedule)		51c	
		51b	b Less allowance for doubtful accounts			
	52	Inventories for sale or use			52	
	53	Prepaid expenses and deferred charges		168,532	53	157,778
	54	Investments—securities (attach schedule)		25,407,381	54	24,483,865
	55a	Investments—land, buildings, and equipment basis			55c	
	55a					
	55b	b Less accumulated depreciation (attach schedule)		55c		
56	Investments—other (attach schedule)			56		
57a	57a	16,544,274	Land, buildings, and equipment basis	57c		
	57b	2,626,853	b Less accumulated depreciation (attach schedule) STMT.#8		14,448,602	13,917,421
58	Other assets (describe ▶ _____)			58		
59	Total assets (add lines 45 through 58) (must equal line 74)		58,120,828	59	66,895,221	
Liabilities	60	Accounts payable and accrued expenses		2,437,585	60	2,063,438
	61	Grants payable			61	
	62	Deferred revenue		529,063	62	387,264
	63	Loans from officers, directors, trustees, and key employees (attach schedule)			63	
	64a	Tax-exempt bond liabilities (attach schedule) STMT.#12		14,000,000	64a	14,000,000
		64b	b Mortgages and other notes payable (attach schedule)		64b	
65	Other liabilities (describe ▶ _____)			65		
66	Total liabilities (add lines 60 through 65)		16,966,648	66	16,450,702	
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 67 through 69 and lines 73 and 74		25,394,510	67		
	67	Unrestricted				
	68	Temporarily restricted			15,759,670	68
	69	Permanently restricted			69	
	Organizations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 70 through 74				70	
	70	Capital stock, trust principal, or current funds				
	71	Paid-in or capital surplus, or land, building, and equipment fund				
	72	Retained earnings, endowment, accumulated income, or other funds			72	
73	Total net assets or fund balances (add lines 67 through 69 or lines 70 through 72, column (A) must equal line 19, column (B) must equal line 21)		41,154,180	73	50,444,519	
74	Total liabilities and net assets / fund balances (add lines 66 and 73)		58,120,828		74	66,895,221

Form 990 is available for public inspection and, for some people, serves as the primary or sole source of information about a particular organization. How the public perceives an organization in such cases may be determined by the information presented on its return. Therefore, please make sure the return is complete and accurate and fully describes, in Part III, the organization's programs and accomplishments.

Part IV-A Reconciliation of Revenue per Audited Financial Statements with Revenue per Return (See page 26 of the instructions)

Part IV-B Reconciliation of Expenses per Audited Financial Statements with Expenses per Return

a	Total revenue, gains, and other support per audited financial statements ▶	a	24,971,687
b	Amounts included on line a but not on line 12, Form 990		
(1)	Net unrealized gains on investments		\$ (1,582,308)
(2)	Donated services and use of facilities		\$ 95,586
(3)	Recoveries of prior year grants		\$
(4)	Other (specify)		
	DIRECT BENEFITS TO DONORS		\$ (189,221)
	Add amounts on lines (1) through (4) ▶	b	(1,675,943)
c	Line a minus line b ▶	c	26,647,630
d	Amounts included on line 12, Form 990 but not on line a:		
(1)	Investment expenses not included on line 6b, Form 990		\$
(2)	Other (specify)		
	PART I DIR. EXP LINE 9B (420,762)		
	PART I RENTAL EXP. LINE 6B (1,004,229)		
	Add amounts on lines (1) and (2) ▶	d	(1,424,991)
e	Total revenue per line 12, Form 990 (line c plus line d) ▶	e	25,222,639

a	Total expenses and losses per audited financial statements ▶	a	15,681,348
b	Amounts included on line a but not on line 17, Form 990		
(1)	Donated services and use of facilities		\$ 95,586
(2)	Prior year adjustments reported on line 20, Form 990		\$
(3)	Losses reported on line 20, Form 990		\$
(4)	Other (specify)		
	RENTAL EXP. LINE 6B 1,004,229		
	DIR. EXP. LINE 9B 420,762		
	DIR. BENEFITS TO DONOR		\$ (189,221)
	Add amounts on lines (1) through (4) ▶	b	1,331,356
c	Line a minus line b ▶	c	14,349,992
d	Amounts included on line 17, Form 990 but not on line a:		
(1)	Investment expenses not included on line 6b, Form 990		\$
(2)	Other (specify)		
		\$
	Add amounts on lines (1) and (2) ▶	d	-0-
e	Total expenses per line 17, Form 990 (line c plus line d) ▶	e	14,349,992

Part V List of Officers, Directors, Trustees, and Key Employees (List each one even if not compensated, see page 26 of the instructions)

(A) Name and address	(B) Title and average hours per week devoted to position	(C) Compensation (if not paid, enter -0-)	(D) Contributors to employee benefit plans & deferred compensation	(E) Expense account and other allowances
SEE STATEMENT #10		1,162,625	122,083	-0-
.....				
.....				
.....				
.....				
.....				
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75 Did any officer, director, trustee, or key employee receive aggregate compensation of more than \$100,000 from your organization and all related organizations, of which more than \$10,000 was provided by the related organizations? Yes No
If "Yes," attach schedule—see page 26 of the instructions

Part VI Other Information (See page 27 of the instructions)

Table with columns for question number, question text, Yes, and No. Includes questions 76 through 92 regarding organizational activities, financials, and tax status.

Part VII Analysis of Income-Producing Activities (See page 31 of the instructions)

Note Enter gross amounts unless otherwise indicated

	Unrelated business income		Excluded by section 512, 513, or 514		(E) Related or exempt function income
	(A) Business code	(B) Amount	(C) Exclusion code	(D) Amount	
93 Program service revenue					
a RESEARCH AGREEMENT & FEES					862,625
b					
c					
d					
e					
f Medicare/Medicaid payments					
g Fees and contracts from government agencies					69,004
94 Membership dues and assessments					
95 Interest on savings and temporary cash investments			14	39,244	
96 Dividends and interest from securities			14	683,260	
97 Net rental income or (loss) from real estate					
a debt-financed property	531,120	61,772			
b not debt-financed property			16	57,325	
98 Net rental income or (loss) from personal property					
99 Other investment income					
100 Gain or (loss) from sales of assets other than inventory			18	(1,280,976)	
101 Net income or (loss) from special events			01	973,738	
102 Gross profit or (loss) from sales of inventory					
103 Other revenue			01	9,792	
a MISCELLANEOUS					
b					
c					
d					
e					
104 Subtotal (add columns (B), (D), and (E))		61,772		482,383	931,629
105 Total (add line 104, columns (B), (D), and (E))					1,475,784

Note Line 105 plus line 1d, Part I, should equal the amount on line 12, Part I

Part VIII Relationship of Activities to the Accomplishment of Exempt Purposes (See page 32 of the instructions)

Line No.	Explain how each activity for which income is reported in column (E) of Part VII contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes)
▼	SEE STATMENT #11

Part IX Information Regarding Taxable Subsidiaries and Disregarded Entities (See page 32 of the instructions)

(A) Name, address, and EIN of corporation, partnership, or disregarded entity	(B) Percentage of ownership interest	(C) Nature of activities	(D) Total income	(E) End-of-year assets
	%			
	%			
	%			
	%			

Part X Information Regarding Transfers Associated with Personal Benefit Contracts (See page 33 of the instructions)

- (a) Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No
 - (b) Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
- Note.** If "Yes" to (b), file Form 8870 and Form 4720 (see instructions)

Under penalties of perjury I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief it is true, correct and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

Please Sign Here

Signature of officer: *Susan P. Brown* Date: 10/20/03

SUSAN P. BROWN, VICE PRESIDENT, FINANCE AND ADMINISTRATION, SECRETARY-TREASURER

Type or print name and title

Paid Preparer's Use Only

Preparer's signature: *[Signature]* Date: 11.4.03

Check if self-employed

Preparer's SSN or PTIN (See Gen. Inst. W)

Firm's name (or yours if self-employed) address and ZIP + 4

EIN

Phone no

SCHEDULE A
(Form 990 or 990-EZ)

Organization Exempt Under Section 501(c)(3)

(Except Private Foundation) and Section 501(e), 501(f), 501(k),
501(n), or Section 4947(a)(1) Nonexempt Charitable Trust

OMB No 1545-0047

2002

Department of the Treasury
Internal Revenue Service

Supplementary Information—(See separate instructions.)

▶ **MUST be completed by the above organizations and attached to their Form 990 or 990-EZ**

Name of the organization **THE NATIONAL CENTER FOR ADDICTION AND SUBSTANCE ABUSE AT COLUMBIA UNIVERSITY (CASA)** Employer identification number **52 1736502**

Part I Compensation of the Five Highest Paid Employees Other Than Officers, Directors, and Trustees
(See page 1 of the instructions List each one If there are none, enter "None")

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances
PATRICK JOHNSON 5 GREEN KNOLL CT. NORTHPORT, NY 11768	CASA FELLOW 35	148,373	28,085	NONE
MARY NAKASHIAN 455 1/2 B ARAPAHOE A BOULDER, CO 80302	SR. PROGRAM ASSOCIATE 35	137,500	NONE	NONE
STEVE BELENKO 1550 WENONAH TRAIL MOHEGAN LAKE, NY 10547	CASA FELLOW 35	119,329	15,637	NONE
ELLEN ROSS 199 SANDCASTLE KEY SECAUCUS, NJ 07094	DIRECTOR OF COMMUNICATIONS 35	115,613	11,786	NONE
LARRY MURRAY 133-22 FRANCIS LEWIS BLVD. LAURELTON, NY 11413	CASA FELLOW 35	111,318	20,651	NONE
Total number of other employees paid over \$50,000 ▶	26			

Part II Compensation of the Five Highest Paid Independent Contractors for Professional Services
(See page 2 of the instructions List each one (whether individuals or firms) If there are none, enter "None")

(a) Name and address of each independent contractor paid more than \$50,000	(b) Type of service	(c) Compensation
PITA COMMUNICATIONS, LLC 8 GLASTONBURY AVENUE ROCKY HILL, CT 06067	GRAPHICS DESIGN	152,065
BRENDA G. KAULBACK 765 MEADOW ROAD CASCO, ME 04015	PLANNING FACILITATION AND TRAINING	114,229
PRODUCTION RESOURCES, INC. 141 WEST 28TH STREET, SUITE 6D NEW YORK, NEW YORK 10001	AUDIO-VISUAL CONSULTANTS	100,012
RESOURCE & EVENT MGMT. LTD. 232 MADISON AVENUE, SUITE 1407 NEW YORK, NEW YORK 10016	SPECIAL EVENTS COORDINATOR	79,513
FCB-NY P.O. BOX 198261 ATLANTA, GA 30384-8261	REPORT PRODUCTION	71,503
Total number of others receiving over \$50,000 for professional services ▶	2	

Part III Statements About Activities (See page 2 of the instructions)

	Yes	No
1 During the year, has the organization attempted to influence national, state, or local legislation, including any attempt to influence public opinion on a legislative matter or referendum? If "Yes," enter the total expenses paid or incurred in connection with the lobbying activities ▶ \$ _____ (Must equal amounts on line 38, Part VI-A, or line 1 of Part VI-B) Organizations that made an election under section 501(h) by filing Form 5768 must complete Part VI-A. Other organizations checking "Yes," must complete Part VI-B AND attach a statement giving a detailed description of the lobbying activities		X
2 During the year, has the organization, either directly or indirectly, engaged in any of the following acts with any substantial contributors, trustees, directors, officers, creators, key employees, or members of their families, or with any taxable organization with which any such person is affiliated as an officer, director, trustee, majority owner, or principal beneficiary? (If the answer to any question is "Yes," attach a detailed statement explaining the transactions)		
a Sale, exchange, or leasing of property?		X
b Lending of money or other extension of credit?		X
c Furnishing of goods, services, or facilities?		X
d Payment of compensation (or payment or reimbursement of expenses if more than \$1,000)? SEE 990 PART V	X	
e Transfer of any part of its income or assets?		X
3 Does the organization make grants for scholarships, fellowships, student loans, etc.? (See Note below)		X
4 Do you have a section 403(b) annuity plan for your employees?	X	
Note: Attach a statement to explain how the organization determines that individuals or organizations receiving grants or loans from it in furtherance of its charitable programs "qualify" to receive payments STMT. #1		

Part IV Reason for Non-Private Foundation Status (See pages 3 through 5 of the instructions)

The organization is not a private foundation because it is (Please check only **ONE** applicable box)

- 5** A church, convention of churches, or association of churches Section 170(b)(1)(A)(i)
- 6** A school Section 170(b)(1)(A)(ii) (Also complete Part V)
- 7** A hospital or a cooperative hospital service organization Section 170(b)(1)(A)(iii)
- 8** A Federal, state, or local government or governmental unit Section 170(b)(1)(A)(v)
- 9** A medical research organization operated in conjunction with a hospital Section 170(b)(1)(A)(iii) Enter the hospital's name, city, and state ▶ _____
- 10** An organization operated for the benefit of a college or university owned or operated by a governmental unit Section 170(b)(1)(A)(iv) (Also complete the **Support Schedule** in Part IV-A)
- 11a** An organization that normally receives a substantial part of its support from a governmental unit or from the general public Section 170(b)(1)(A)(vi) (Also complete the **Support Schedule** in Part IV-A)
- 11b** A community trust Section 170(b)(1)(A)(vi) (Also complete the **Support Schedule** in Part IV-A)
- 12** An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its charitable, etc., functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See section 509(a)(2) (Also complete the **Support Schedule** in Part IV-A)
- 13** An organization that is not controlled by any disqualified persons (other than foundation managers) and supports organizations described in (1) lines 5 through 12 above, or (2) section 501(c)(4), (5), or (6), if they meet the test of section 509(a)(2) (See section 509(a)(3))

Provide the following information about the supported organizations (See page 5 of the instructions)

(a) Name(s) of supported organization(s)	(b) Line number from above

- 14** An organization organized and operated to test for public safety Section 509(a)(4) (See page 5 of the instructions)

Part IV-A Support Schedule (Complete only if you checked a box on line 10, 11, or 12) *Use cash method of accounting.*

Note: You may use the worksheet in the instructions for converting from the accrual to the cash method of accounting

Calendar year (or fiscal year beginning in)	(a) 2001	(b) 2000	(c) 1999	(d) 1998	(e) Total
15 Gifts, grants, and contributions received (Do not include unusual grants. See line 28.)	14,126,723	21,896,336	10,205,868	21,563,756	67,792,683
16 Membership fees received					
17 Gross receipts from admissions, merchandise sold or services performed, or furnishing of facilities in any activity that is related to the organization's charitable, etc., purpose	995,566	786,480	900,000	626,116	3,308,162
18 Gross income from interest, dividends, amounts received from payments on securities loans (section 512(a)(5)), rents, royalties, and unrelated business taxable income (less section 511 taxes) from businesses acquired by the organization after June 30, 1975	1,656,035	585,103	319,403	392,792	2,953,333
19 Net income from unrelated business activities not included in line 18					
20 Tax revenues levied for the organization's benefit and either paid to it or expended on its behalf					
21 The value of services or facilities furnished to the organization by a governmental unit without charge. Do not include the value of services or facilities generally furnished to the public without charge.					
22 Other income. Attach a schedule. Do not include gain or (loss) from sale of capital assets.	10,513	165,898	9,504	20,430	206,345
23 Total of lines 15 through 22	16,788,837	23,433,817	11,434,775	22,603,094	74,260,523
24 Line 23 minus line 17	15,793,271	22,647,337	10,534,775	21,976,978	70,952,361
25 Enter 1% of line 23	167,888	234,338	114,348	226,031	
26 Organizations described on lines 10 or 11: a Enter 2% of amount in column (e), line 24					26a 1,419,047
b Prepare a list for your records to show the name of and amount contributed by each person (other than a governmental unit or publicly supported organization) whose total gifts for 1998 through 2001 exceeded the amount shown in line 26a. Do not file this list with your return. Enter the total of all these excess amounts					26b 14,870,127
c Total support for section 509(a)(1) test. Enter line 24, column (e)					26c 70,952,361
d Add Amounts from column (e) for lines 18 <u>2,953,333</u> 19 <u>0</u> 22 <u>206,345</u> 26b <u>14,870,127</u>					26d 18,029,805
e Public support (line 26c minus line 26d total)					26e 52,922,556
f Public support percentage (line 26e (numerator) divided by line 26c (denominator))					26f 74.59%
27 Organizations described on line 12 a For amounts included in lines 15, 16, and 17 that were received from a "disqualified person," prepare a list for your records to show the name of, and total amounts received in each year from, each "disqualified person." Do not file this list with your return. Enter the sum of such amounts for each year: (2001) <u>N/A</u> (2000) <u>N/A</u> (1999) <u>N/A</u> (1998) <u>N/A</u>					
b For any amount included in line 17 that was received from each person (other than "disqualified persons"), prepare a list for your records to show the name of, and amount received for each year, that was more than the larger of (1) the amount on line 25 for the year or (2) \$5,000. (Include in the list organizations described in lines 5 through 11, as well as individuals.) Do not file this list with your return. After computing the difference between the amount received and the larger amount described in (1) or (2), enter the sum of these differences (the excess amounts) for each year: (2001) <u>N/A</u> (2000) <u>N/A</u> (1999) <u>N/A</u> (1998) <u>N/A</u>					
c Add Amounts from column (e) for lines 15 <u>N/A</u> 16 <u>N/A</u> 17 <u>N/A</u> 20 <u>N/A</u> 21 <u>N/A</u>					27c N/A
d Add Line 27a total <u>N/A</u> and line 27b total <u>N/A</u>					27d N/A
e Public support (line 27c total minus line 27d total)					27e N/A
f Total support for section 509(a)(2) test. Enter amount from line 23, column (e)					27f N/A
g Public support percentage (line 27e (numerator) divided by line 27f (denominator))					27g N/A %
h Investment income percentage (line 18, column (e) (numerator) divided by line 27f (denominator))					27h N/A %
28 Unusual Grants: For an organization described in line 10, 11, or 12 that received any unusual grants during 1998 through 2001, prepare a list for your records to show, for each year, the name of the contributor, the date and amount of the grant, and a brief description of the nature of the grant. Do not file this list with your return. Do not include these grants in line 15.					

Part V Private School Questionnaire (See page 7 of the instructions)
(To be completed ONLY by schools that checked the box on line 6 in Part IV) N/A

	Yes	No
29 Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?		
30 Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?		
31 Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe, if "No," please explain (If you need more space, attach a separate statement)		
32 Does the organization maintain the following		
a Records indicating the racial composition of the student body, faculty, and administrative staff?		
b Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?		
c Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?		
d Copies of all material used by the organization or on its behalf to solicit contributions? If you answered "No" to any of the above, please explain (If you need more space, attach a separate statement)		
33 Does the organization discriminate by race in any way with respect to		
a Students' rights or privileges?		
b Admissions policies?		
c Employment of faculty or administrative staff?		
d Scholarships or other financial assistance?		
e Educational policies?		
f Use of facilities?		
g Athletic programs?		
h Other extracurricular activities? If you answered "Yes" to any of the above, please explain (If you need more space, attach a separate statement)		
34a Does the organization receive any financial aid or assistance from a governmental agency?		
b Has the organization's right to such aid ever been revoked or suspended? If you answered "Yes" to either 34a or b, please explain using an attached statement		
35 Does the organization certify that it has complied with the applicable requirements of sections 4 01 through 4 05 of Rev Proc 75-50, 1975-2 C B 587, covering racial nondiscrimination? If "No," attach an explanation		

Part VI-A Lobbying Expenditures by Electing Public Charities (See page 9 of the instructions)
 (To be completed **ONLY** by an eligible organization that filed Form 5768)

N/A

Check **a** if the organization belongs to an affiliated group Check **b** if you checked "a" and "limited control" provisions apply

Limits on Lobbying Expenditures

(The term "expenditures" means amounts paid or incurred)

	(a) Affiliated group totals	(b) To be completed for ALL electing organizations
36 Total lobbying expenditures to influence public opinion (grassroots lobbying)	36	
37 Total lobbying expenditures to influence a legislative body (direct lobbying)	37	
38 Total lobbying expenditures (add lines 36 and 37)	38	
39 Other exempt purpose expenditures	39	
40 Total exempt purpose expenditures (add lines 38 and 39)	40	
41 Lobbying nontaxable amount Enter the amount from the following table—		
If the amount on line 40 is— The lobbying nontaxable amount is—		
Not over \$500,000 20% of the amount on line 40		
Over \$500,000 but not over \$1,000,000 \$100,000 plus 15% of the excess over \$500,000		
Over \$1,000,000 but not over \$1,500,000 \$175,000 plus 10% of the excess over \$1,000,000		
Over \$1,500,000 but not over \$17,000,000 \$225,000 plus 5% of the excess over \$1,500,000		
Over \$17,000,000 \$1,000,000		
42 Grassroots nontaxable amount (enter 25% of line 41)	42	
43 Subtract line 42 from line 36 Enter -0- if line 42 is more than line 36	43	
44 Subtract line 41 from line 38 Enter -0- if line 41 is more than line 38	44	

Caution: If there is an amount on either line 43 or line 44, you must file Form 4720

4-Year Averaging Period Under Section 501(h)

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below
 See the instructions for lines 45 through 50 on page 11 of the instructions)

Calendar year (or fiscal year beginning in) ▶	Lobbying Expenditures During 4-Year Averaging Period				
	(a) 2002	(b) 2001	(c) 2000	(d) 1999	(e) Total
45 Lobbying nontaxable amount					
46 Lobbying ceiling amount (150% of line 45(e))					
47 Total lobbying expenditures					
48 Grassroots nontaxable amount					
49 Grassroots ceiling amount (150% of line 48(e))					
50 Grassroots lobbying expenditures					

Part VI-B Lobbying Activity by Nonelecting Public Charities

(For reporting only by organizations that did not complete Part VI-A) (See page 11 of the instructions)

During the year, did the organization attempt to influence national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of

- a Volunteers
- b Paid staff or management (Include compensation in expenses reported on lines c through h)
- c Media advertisements
- d Mailings to members, legislators, or the public
- e Publications, or published or broadcast statements
- f Grants to other organizations for lobbying purposes
- g Direct contact with legislators their staffs, government officials, or a legislative body
- h Rallies, demonstrations seminars conventions, speeches, lectures, or any other means
- i Total lobbying expenditures (Add lines c through h.)

Yes	No	Amount
	X	
	X	
	X	
	X	
	X	
	X	
	X	
	X	
	X	
		0

If "Yes" to any of the above, also attach a statement giving a detailed description of the lobbying activities

THE NATIONAL CENTER ON ADDICTION AND SUBSTANCE ABUSE
AT COLUMBIA UNIVERSITY

SUPPORT SCHEDULES - FORM 990 FY02
SPECIAL EVENTS AND ACTIVITIES
PART I - LINE 9

FBI # 52-1736502

<u>DESCRIPTION</u>	<u>RECEIPTS **</u>	<u>DIRECT EXPENSES</u>	<u>NET INCOME</u>
SPECIAL EVENT - 10TH ANNIVERSARY	<u>1,394,500</u>	<u>420,762</u>	<u>973,738</u>
	<u>\$1,394,500</u>	<u>\$ 420,762</u>	<u>\$ 973,738</u>

** Excludes contributions of 740,807, which are reported on Form 990 line 1A

THE NATIONAL CENTER ON ADDICTION AND SUBSTANCE ABUSE
AT COLUMBIA UNIVERSITY

SUPPORT SCHEDULES-FORM 990 FY 2002

FEI # 52-1736502

PART I, LINE 20 - OTHER DECREASES IN FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
UNREALIZED LOSS ON SECURITIES	<u>\$ (1,582,308)</u>
TOTAL	<u><u>\$ (1,582,308)</u></u>

THE NATIONAL CENTER ON ADDICTION AND SUBSTANCE ABUSE
AT COLUMBIA UNIVERSITY

SUPPORT SCHEDULES-FORM 990 FY 2002
OTHER EXPENSES
PART II-LINE 43

PEI # 52-1736502

DESCRIPTION	TOTAL	PROGRAM SERVICES	MANAGEMENT AND GENERAL	FUNDRAISING
INSURANCE	\$57,719	\$0	\$57,719	\$0
RECRUITMENT	32,128	28,039	1,439	2,650
DUES, SUBSCRIPTION AND PUBLICATIONS	51,754	24,667	26,748	339
MISCELLANEOUS	54,207	392	53,815	0
CONTRACTS	-	0	0	0
OTHER PROFESSIONAL SERVICES	<u>744,162</u>	<u>622,862</u>	<u>121,300</u>	<u>0</u>
TOTALS	\$939,970	\$675,960	\$261,021	\$2,989

**THE NATIONAL CENTER ON ADDICTION AND SUBSTANCE ABUSE
AT COLUMBIA UNIVERSITY (CASA)**

The National Center on Addiction and Substance Abuse at Columbia University (CASA) is a not-for-profit organization incorporated on April 11, 1991. CASA has been organized:

- 1 to inform Americans of the economic and social costs of substance abuse and its impact on their lives,
- 2 to assess what works in prevention, treatment and law enforcement,
- 3 to encourage every individual and institution to take responsibility to combat substance abuse and addiction,
- 4 to provide those on the front lines with the tools they need to succeed; and
- 5 to remove the stigma of substance abuse and replace shame and despair with hope

THE NATIONAL CENTER ON ADDICTION AND SUBSTANCE ABUSE
AT COLUMBIA UNIVERSITY

FBI #52-1736502

FORM 990 FY02, PART IV - INVESTMENTS - SECURITIES

<u>DESCRIPTION</u>	<u>MARKET VALUE</u> <u>AS OF</u> <u>12/31/2002</u>
Fixed Income Funds	9,282,787
Short-term Investments	1,449,622
U.S. Government Obligations	0
Stocks	<u>13,751,456</u>
TOTAL	24,483,865

THE NATIONAL CENTER ON ADDICTION AND SUBSTANCE
AT COLUMBIA UNIVERSITY

SUPPORT SCHEDULES-FORM 990 FY02
LINE #A-D, PART I

FUND NAME	MONTH	PROCEEDS FROM SALE OF ASSETS	COST BASIS	TOTAL GAIN/LOSS
2600 SHARES BRISTOL MYERS SQUIBB CO	Jan-02	124,834 00	165 638 70	(40 804 70)
3000 SHARES AOL TIME WARNER	Jan-02	86 668 69	89 185 00	(2,516 31)
2000 SHARES READERS DIGEST ASSN INC A NON VTG	Jan-02	42,292 77	46,827 80	(4,535 03)
2000 SHARES READERS DIGEST ASSN INC-A NON VTG	Jan-02	42,292 76	51,370 00	(9 077 24)
2000 SHARES READERS DIGEST ASSN INC-A NON VTG	Jan-02	42,292 76	64 620 00	(22,327 24)
260 SHARES ZIMMER HLDGS INC COM	Jan-02	8 179 47	8,392 30	(212 83)
5000 SHARES QWEST COMMUNICATIONS INTL INC	Jan-02	53 403 19	167 187 50	(113 784 31)
210 SHARES TYCO INTERNATIONAL LTD COM	Jan-02	9 893 56	9,581 49	312 07
1095 SHARES TYCO INTERNATIONAL LTD COM	Jan-02	51,587 85	54,339 38	(2,751 53)
540 SHARES TYCO INTERNATIONAL LTD COM	Jan-02	25 440 58	27,286 88	(1,846 30)
2430 SHARES TYCO INTERNATIONAL LTD COM	Jan-02	109,191 14	110 871 55	(1 680 41)
1200 SHARES CITIGROUP INC COM STK	Jan-02	60,839 08	60 534 00	305 08
90 171 326 SHARES MSIF INC FIXED INCOME III A	Feb-02	1,000 000 00	987 376 02	12,623 98
2000 SHARES KEYCORP NEW COM	Feb-02	48,579 27	47,840 00	739 27
1181 SHARES VIVENDI UNIVERSAL (EX SOFIEE)	Feb-02	44 780 80	57,531 23	(12,750 43)
245 SHARES VIVENDI UNIVERSAL (EX SOFIEE)	Feb-02	9,289 83	19,906 25	(10 616 42)
399 SHARES VIVENDI UNIVERSAL (EX-SOFIEE)	Feb-02	15 129 16	31 587 50	(16 458 34)
840 SHARES VIVENDI UNIVERSAL (EX SOFIEE)	Feb-02	31 850 87	80 122 00	(48 271 13)
4000 SHARES TYCO INTERNATIONAL LTD COM	Feb-02	103,358 44	148,870 00	(45 511 56)
8000 SHARES AES CORP	Feb-02	41,119 37	104 880 00	(63 760 63)
2500 SHARES J P MORGAN CHASE & CO	Feb-02	72,885 90	104,900 00	(32,014 10)
4000 SHARES AES CORP	Feb-02	20 559 69	88 620 00	(68,060 31)
2000 SHARES AES CORP	Feb-02	10,279 84	46 497 50	(36,217 66)
5800 SHARES LORAL SPACE & COMMUNICATIONS	Feb-02	10,961 83	86,495 40	(75,533 57)
937 5 SHARES NTL INC	Feb-02	253 12	27,579 66	(27 326 54)
937 5 SHARES NTL INC	Feb-02	253 12	28 147 50	(27 894 38)
1138 SHARES GLAXOSMITHKLINE PLC SPONS ADR	Mar-02	53,075 52	45,748 75	7,326 77
2276 SHARES GLAXOSMITHKLINE PLC SPONS ADR	Mar-02	106,151 04	91 497 50	14 653 54
1475 SHARES INTERPUBLIC GROUP COS INC USD 10 COM	Mar-02	49118 24	47937 5	1,180 74
18 SHARES DTE ENERGY CO COM	Apr-02	816 09	816 75	(0 66)
270 SHARES GOLDMAN SACHS GROUP INC	Apr-02	22,037 11	28 316 25	(6 279 14)
240 SHARES GOLDMAN SACHS GROUP INC	Apr-02	19,588 55	31 425 00	(11,836 45)
930 SHARES GOLDMAN SACHS GROUP INC	Apr-02	75,905 62	109 042 50	(33 136 88)
1500 SHARES INTERNATIONAL BUSINESS MACHS	Apr-02	128,876 11	68,998 12	59 877 99
6000 SHARES VODAFONE GROUP PLC NEW SPONSORED ADR	Apr-02	94 137 15	116,055 12	(21,917 97)
5000 SHARES EMC CORP (MASS)	May-02	39 698 79	51,347 20	(11 648 41)
52 SHARES EMC CORP (MASS)	May-02	412 87	1 023 58	(610 71)
24 SHARES EMC CORP (MASS)	May-02	190 55	1 115 41	(924 86)
6000 SHARES AES TR III TR P CV SC 6 75	Jun-02	105 836 80	203 835 00	(97 998 20)
1800 SHARES OMNICOM GROUP INC	Jun-02	83 769 47	86,358 06	(2 588 59)
410 SHARES CLEAR CHANNEL COMMUNICATIONS INC	Jun-02	12,440 37	17 505 32	(5 064 95)
520 SHARES CLEAR CHANNEL COMMUNICATIONS INC	Jun-02	15,778 04	28 275 00	(12 496 96)

THE NATIONAL CENTER ON ADDICTION AND SUBSTANCE
AT COLUMBIA UNIVERSITY

SUPPORT SCHEDULES FORM 990 FY02
LINE 8A D, PART I

FUND NAME	MONTH	PROCEEDS FROM SALE OF ASSETS	COST BASIS	TOTAL GAIN/LOSS
725 SHARES CLEAR CHANNEL COMMUNICATIONS INC	Jun-02	21,998.23	54,103.13	(32,104.90)
1400 SHARES CLEAR CHANNEL COMMUNICATIONS INC	Jun-02	42,479.34	127,225.00	(84,745.66)
400 SHARES OMNICOM GROUP INC	Jun-02	16,515.66	31,823.64	(15,307.98)
130 SHARES OMNICOM GROUP INC	Jun-02	5,367.59	11,167.00	(5,799.41)
1400 SHARES OMNICOM GROUP INC	Jun-02	57,804.82	110,530.84	(52,726.02)
2,811.413 SHARES MORGAN STANLEY INSTTT FUND TRUST INVEST	Jul-02	31,993.90	31,937.65	56.25
538.374 SHARES MORGAN STANLEY INSTTT FUND TRUST INVEST	Jul-02	6,126.69	6,002.58	124.11
1,050.562 SHARES MORGAN STANLEY INSTTT FUND TRUST INVEST	Jul-02	11,955.39	11,713.21	242.18
1,151.405 SHARES MORGAN STANLEY INSTTT FUND TRUST INVEST	Jul-02	13,102.98	12,931.60	171.38
1,156.396 SHARES MORGAN STANLEY INSTTT FUND TRUST INVEST	Jul-02	13,159.78	12,881.39	278.39
72.995 SHARES MORGAN STANLEY INSTTT FUND TRUST INVEST	Jul-02	830.68	816.09	14.59
1,122.763 SHARES MORGAN STANLEY INSTTT FUND TRUST INVEST	Jul-02	12,777.05	12,655.78	121.27
4,401.401 SHARES MORGAN STANLEY INSTTT FUND TRUST INVEST	Jul-02	50,087.95	49,118.24	969.71
4,304.655 SHARES MORGAN STANLEY INSTTT FUND TRUST INVEST	Jul-02	48,986.98	48,829.71	157.27
1,452.174 SHARES MORGAN STANLEY INSTTT FUND TRUST INVEST	Jul-02	16,525.74	16,428.21	97.53
268,579.146 SHARES MORGAN STANLEY INSTTT FUND TRUST INVEST	Jul-02	3,056,430.68	3,000,000.00	56,430.68
865.388 SHARES MORGAN STANLEY INSTTT FUND TRUST INVEST	Jul-02	9,848.11	9,604.44	243.67
353.150 SHARES MORGAN STANLEY INSTTT FUND TRUST INVEST	Jul-02	4,018.85	3,919.41	99.44
339.127 SHARES MORGAN STANLEY INSTTT FUND TRUST INVEST	Jul-02	3,859.26	3,871.11	(11.85)
413.927 SHARES MORGAN STANLEY INSTTT FUND TRUST INVEST	Jul-02	4,710.49	4,729.17	(18.68)
415.486 SHARES MORGAN STANLEY INSTTT FUND TRUST INVEST	Jul-02	4,728.23	4,713.05	15.18
484.403 SHARES MORGAN STANLEY INSTTT FUND TRUST INVEST	Jul-02	5,512.50	5,435.46	77.04
386.666 SHARES MORGAN STANLEY INSTTT FUND TRUST INVEST	Jul-02	4,400.25	4,315.07	85.18
26,687.155 SHARES MORGAN STANLEY INSTTT FUND TRUST INVEST	Jul-02	303,699.82	300,000.00	3,699.82
5,601.378 SHARES MORGAN STANLEY INSTTT FUND TRUST INVEST	Jul-02	63,743.68	62,623.98	1,119.70
1,500,000 SHARES US TREASURY BILL 9/12/2002	Jul-02	1,485,765.63	1,485,199.00	566.63
250,000 SHARES US TREASURY BILL 9/26/2002	Jul-02	249,028.00	249,028.00	0.00
500,000 SHARES US TREASURY BILL 10/17/2002	Jul-02	495,603.06	495,437.00	166.06
1,500,000 SHARES US TREASURY BILL 8/29/2002	Jul-02	1,486,333.92	1,486,336.00	197.92
1,000,000 SHARES FED HOME LOAN BANK 5.4% DUE 1/15/2003	Jul-02	1,014,050.00	1,032,500.00	(18,450.00)
1,000,000 SHARES FREDDIE MAC 4.75% DUE 3/15/2003	Jul-02	1,016,310.00	999,767.00	16,543.00
2,000,000 SHARES FREDDIE MAC 4.3% DUE 11/28/2004	Jul-02	1,998,220.00	2,000,100.00	(1,880.00)
40 SHARES BERKSHIRE HATHAWAY INC DEL CL B	Aug-02	91,837.23	62,042.40	29,794.83
10 SHARES BERKSHIRE HATHAWAY INC DEL CL B	Aug-02	23,009.31	15,510.60	7,498.71
375 SHARES AUTOMATIC DATA PROCESSING IN COM	Aug-02	13,949.58	24,070.31	(10,120.73)
5,000 SHARES BANK ONE CORP COM	Aug-02	183,794.46	181,081.00	2,713.46
735 SHARES BANK NEW YORK NC COM	Aug-02	22,923.96	30,504.12	(7,580.16)
445 SHARES BANK NEW YORK NC COM	Aug-02	13,879.13	16,715.31	(2,836.18)
1,605 SHARES AUTOMATIC DATA PROCESSING IN COM	Aug-02	59,672.10	103,020.94	(43,348.84)
495 SHARES AUTOMATIC DATA PROCESSING IN COM	Aug-02	18,403.54	24,243.22	(5,839.68)
720 SHARES AMERICAN EXPRESS CO COM	Aug-02	23,845.68	31,890.00	(8,044.32)
1,170 SHARES AMERICAN EXPRESS CO COM	Aug-02	38,749.23	50,992.50	(12,243.27)
2385 SHARES AMERICAN EXPRESS CO COM	Aug-02	78,988.82	80,145.94	(1,157.12)
1,600 SHARES CARDINAL HEALTH INC COM	Aug-02	91,597.23	99,206.40	(7,609.17)

THE NATIONAL CENTER ON ADDICTION AND SUBSTANCE
AT COLUMBIA UNIVERSITY

SUPPORT SCHEDULES-FORM 990 FY02
LINE 8A-D PART I

FUND NAME	MONTH	PROCEEDS FROM SALE OF ASSETS	COST BASIS	TOTAL GAIN/LOSS
340 SHARES CARDINAL HEALTH INC COM	Aug-02	19,447.42	21,081.36	(1,633.94)
520 SHARES CARNIVAL CORP COM	Aug-02	13,779.58	25,382.50	(11,602.92)
1760 SHARES CARNIVAL CORP COM	Aug-02	46,638.59	79,970.00	(33,331.41)
870 SHARES CARNIVAL CORP COM	Aug-02	23,054.31	38,443.13	(15,388.82)
850 SHARES CARNIVAL CORP COM	Aug-02	22,524.32	20,400.00	2,124.32
1300 SHARES CARNIVAL CORP COM	Aug-02	34,448.96	31,200.00	3,248.96
105 SHARES CARNIVAL CORP COM	Aug-02	2,798.17	2,520.00	278.17
510 SHARES CAPITAL ONE FINANCIAL CORP	Aug-02	14,580.47	29,165.63	(14,585.16)
90 SHARES CAPITAL ONE FINANCIAL CORP	Aug-02	2,573.02	4,297.50	(1,724.48)
110 SHARES CAPITAL ONE FINANCIAL CORP	Aug-02	3,136.01	5,252.50	(2,116.49)
550 SHARES CAPITAL ONE FINANCIAL CORP	Aug-02	15,680.03	25,300.00	(9,619.97)
1860 SHARES CAPITAL ONE FINANCIAL CORP	Aug-02	53,026.99	79,670.00	(26,643.01)
500 SHARES COMCAST CORP CL A	Aug-02	10,684.68	18,990.83	(8,306.15)
500 SHARES COMCAST CORP CL A	Aug-02	10,684.68	18,990.83	(8,306.15)
500 SHARES COMCAST CORP CL A	Aug-02	10,659.68	18,990.83	(8,331.15)
2100 SHARES COMCAST CORP CL A	Aug-02	44,707.64	79,761.50	(35,053.86)
1835 SHARES DELL COMPUTER CORP COM	Aug-02	45,561.67	91,315.66	(45,753.99)
3400 SHARES DELL COMPUTER CORP COM	Aug-02	84,419.45	101,176.00	(16,756.55)
665 SHARES DELL COMPUTER CORP COM	Aug-02	16,511.45	18,177.78	(1,666.33)
200 SHARES DELL COMPUTER CORP COM	Aug-02	4,965.85	5,467.00	(501.15)
1200 SHARES DELL COMPUTER CORP COM	Aug-02	29,795.11	32,802.00	(3,006.89)
600 SHARES DELL COMPUTER CORP COM	Aug-02	14,903.56	16,401.00	(1,497.44)
1075 SHARES DELL COMPUTER CORP COM	Aug-02	26,734.45	29,385.12	(2,650.67)
3129 SHARES CITIGROUP INC CITIGROUP INC	Aug-02	102,002.33	79,895.79	22,106.54
5633 SHARES CITIGROUP INC CITIGROUP INC	Aug-02	183,630.27	120,474.00	63,156.27
1545 SHARES COCA COLA CO COM	Aug-02	74,621.25	72,545.37	2,075.88
1165 SHARES COCA COLA CO COM	Aug-02	56,267.81	51,306.60	4,961.21
900 SHARES COMCAST CORP CL A	Aug-02	19,223.42	34,183.50	(14,960.08)
1500 SHARES COMCAST CORP CL A	Aug-02	32,009.04	56,972.51	(24,963.47)
400 SHARES EXXON MOBIL CORP COM	Aug-02	14,239.57	14,412.00	(172.43)
1000 SHARES EXXON MOBIL CORP COM	Aug-02	35,608.93	36,030.00	(421.07)
2500 SHARES LILLY ELI & CO COM	Aug-02	145,145.63	153,092.00	(7,946.37)
340 SHARES FEDERAL NATL MTG ASSM COM	Aug-02	25,169.44	23,927.50	1,241.94
1140 SHARES FEDERAL NATL MTG ASSM COM	Aug-02	84,391.66	79,942.50	4,449.16
550 SHARES FEDERAL NATL MTG ASSM COM	Aug-02	40,715.27	37,881.25	2,834.02
100 SHARES INTEL CORP COM	Aug-02	1,845.95	3,400.00	(1,554.05)
200 SHARES INTEL CORP COM	Aug-02	3,691.89	6,800.00	(3,108.11)
900 SHARES INTEL CORP COM	Aug-02	16,613.50	30,600.00	(13,986.50)
1140 SHARES INTEL CORP COM	Aug-02	21,043.77	38,760.00	(17,716.23)
700 SHARES INTEL CORP COM	Aug-02	12,921.61	22,815.63	(9,894.02)
1140 SHARES INTEL CORP COM	Aug-02	21,043.77	35,660.63	(14,616.86)
2100 SHARES INTEL CORP COM	Aug-02	38,764.83	37,668.54	1,096.29
5900 SHARES FEDERAL HOME LN MTG CORP COM	Aug-02	359,004.19	404,700.67	(45,696.48)
100 SHARES FEDERAL HOME LN MTG CORP COM	Aug-02	6,094.82	6,859.33	(764.51)
2535 SHARES HOME DEPOT INC COM	Aug-02	76,934.93	116,209.22	(39,274.29)
23 SHARES HOME DEPOT INC COM	Aug-02	698.03	1,001.94	(303.91)

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FUND NAME	MONTH	PROCEEDS FROM SALE OF ASSETS	COST BASIS	TOTAL GAIN/LOSS
495 SHARES HOME DEPOT INC COM	Aug-02	15,022.80	20,793.02	(5,770.22)
3150 SHARES HOME DEPOT INC COM	Aug-02	95,599.62	83,796.00	11,803.62
870 SHARES HARLEY DAVIDSON	Aug-02	41,706.54	26,154.38	15,552.16
2800 SHARES HARLEY DAVIDSON	Aug-02	134,227.96	81,611.18	52,616.78
830 SHARES HARLEY DAVIDSON	Aug-02	39,789.00	23,447.50	16,341.50
3000 SHARES GENERAL ELEC CO COM	Aug-02	90,417.27	89,400.00	1,017.27
2900 SHARES INTEL CORP COM	Aug-02	53,532.39	52,018.46	1,513.93
500 SHARES JOHNSON & JOHNSON COM	Aug-02	25,479.23	20,078.13	5,401.10
25 SHARES KOHLS CORP	Aug-02	1,663.95	1,737.50	(73.55)
445 SHARES KOHLS CORP	Aug-02	29,618.31	28,229.69	1,388.62
1730 SHARES KOHLS CORP	Aug-02	115,145.34	82,499.38	32,645.96
550 SHARES KOHLS CORP	Aug-02	36,606.89	26,228.12	10,378.77
2210 SHARES JOHNSON & JOHNSON COM	Aug-02	112,618.21	88,745.31	23,872.90
1400 SHARES JOHNSON & JOHNSON COM	Aug-02	71,341.85	53,242.00	18,099.85
1800 SHARES LIBERTY MEDIA CORP-A	Aug-02	14,489.56	16,565.63	(2,076.07)
485 SHARES MICROSOFT CORP COM	Aug-02	23,259.90	54,489.36	(31,229.46)
515 SHARES MICROSOFT CORP COM	Aug-02	24,698.66	48,031.17	(23,332.51)
935 SHARES MICROSOFT CORP COM	Aug-02	44,803.85	87,202.21	(42,398.36)
165 SHARES MICROSOFT CORP COM	Aug-02	7,906.56	13,347.54	(5,440.98)
400 SHARES MICROSOFT CORP COM	Aug-02	19,167.42	32,357.68	(13,190.26)
2000 SHARES MICROSOFT CORP COM	Aug-02	95,837.11	64,188.00	31,649.11
2500 SHARES JP MORGAN CHASE & CO	Aug-02	60,848.16	104,900.00	(44,051.84)
195 SHARES INTERNATIONAL BUSINESS MACHS COM	Aug-02	13,957.68	22,557.60	(8,599.92)
1210 SHARES INTERNATIONAL BUSINESS MACHS COM	Aug-02	86,609.19	115,016.43	(28,407.24)
1735 SHARES MERCK & CO INC COM	Aug-02	83,763.27	123,536.68	(39,773.41)
465 SHARES MERCK & CO INC COM	Aug-02	22,449.52	31,387.50	(8,937.98)
860 SHARES MERCK & CO INC COM	Aug-02	41,519.55	54,395.00	(12,875.45)
3000 SHARES LIBERTY MEDIA CORP A	Aug-02	24,209.27	27,609.37	(3,400.10)
200 SHARES NORTHERN TRUST CORP	Aug-02	7,609.78	5,050.00	2,559.78
200 SHARES NORTHERN TRUST CORP	Aug-02	7,607.77	5,050.00	2,557.77
100 SHARES NORTHERN TRUST CORP	Aug-02	3,804.89	2,525.00	1,279.89
200 SHARES NORTHERN TRUST CORP	Aug-02	7,609.77	5,050.00	2,559.77
100 SHARES NORTHERN TRUST CORP	Aug-02	3,804.89	2,525.00	1,279.89
100 SHARES NORTHERN TRUST CORP	Aug-02	3,804.89	2,525.00	1,279.89
100 SHARES NORTHERN TRUST CORP	Aug-02	3,804.89	2,525.00	1,279.89
100 SHARES NORTHERN TRUST CORP	Aug-02	3,804.89	2,525.00	1,279.89
100 SHARES NORTHERN TRUST CORP	Aug-02	3,804.89	2,525.00	1,279.89
800 SHARES NORTHERN TRUST CORP	Aug-02	30,439.07	20,200.00	10,239.07
100 SHARES NORTHERN TRUST CORP	Aug-02	3,804.89	2,525.00	1,279.89
900 SHARES NORTHERN TRUST CORP	Aug-02	34,243.95	22,725.00	11,518.95
100 SHARES NORTHERN TRUST CORP	Aug-02	3,804.89	2,525.00	1,279.89
300 SHARES NORTHERN TRUST CORP	Aug-02	11,414.65	7,575.00	3,839.65
100 SHARES NORTHERN TRUST CORP	Aug-02	3,804.88	2,525.00	1,279.88
100 SHARES NORTHERN TRUST CORP	Aug-02	3,804.88	2,525.00	1,279.88
500 SHARES NORTHERN TRUST CORP	Aug-02	19,014.43	12,625.00	6,389.43
1510 SHARES ORACLE CORP COM	Aug-02	14,540.87	29,323.90	(14,783.03)
4520 SHARES ORACLE CORP COM	Aug-02	43,526.28	87,777.49	(44,251.21)

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FUND NAME	MONTH	PROCEEDS FROM SALE OF ASSETS	COST BASIS	TOTAL GAIN/LOSS
745 SHARES ORACLE CORP COM	Aug-02	7,174 13	11,789 63	(4 615.50)
775 SHARES ORACLE CORP COM	Aug-02	7 463 02	11,400 25	(3 937 23)
1460 SHARES ORACLE CORP COM	Aug-02	14 039.38	20,336 34	(6,276 96)
1350 SHARES PFIZER INC COM	Aug-02	41,686 75	46,281 24	(4,594 49)
3650 SHARES PFIZER INC COM	Aug-02	112,635 61	125 130 76	(12 495 15)
3850 SHARES PFIZER INC COM	Aug-02	118,807 42	90,300 00	28,507 42
2200 SHARES PFIZER INC COM	Aug-02	67,889 96	51,600 00	16,289 96
700 SHARES UNITED TECHNOLOGIES CORP	Aug-02	49,334 51	52,325 00	(2,990 49)
420 SHARES UNITED TECHNOLOGIES CORP	Aug-02	29,600 71	29,688 75	(88 04)
1470 SHARES UNITED TECHNOLOGIES CORP	Aug-02	103 602 48	79,839 38	23 763 10
4000 SHARES PHARMACIA CORP COM	Aug-02	171,434 83	204 490 00	(33 055 17)
1000 SHARES PHARMACIA CORP COM	Aug-02	42,858.71	37,310 00	5,548 71
4000 SHARES TEXAS INSTRS INC COM	Aug-02	93 437 18	136 810 00	(43,372 82)
500 SHARES UNITED PARCEL SERVICE INC CL B	Aug-02	31 984 03	29,342 00	2,642 03
4144 SHARES VIACOM INC CL B	Aug-02	150 008 29	143,500 00	6 508 29
86 SHARES VIACOM INC CL B	Aug-02	3 113 11	2,289 19	823 92
1100 SHARES DISNEY WALT CO COM DISNEY	Aug-02	18 743 44	28,347 00	(9 603 56)
100 SHARES DISNEY WALT CO COM DISNEY	Aug-02	1,704 95	2,577 00	(872.05)
805 SHARES WELLS FARGO & CO NEW COM	Aug-02	39,371 36	34 545 77	4 825 59
270 SHARES WELLS FARGO & CO NEW COM	Aug-02	13,205 30	11 445 30	1 760 00
125 SHARES WELLS FARGO & CO NEW COM	Aug-02	6,113 56	4,492 19	1 621 37
2100 SHARES WELLS FARGO & CO NEW COM	Aug-02	102,770 91	75,468 75	27,302 16
999 SHARES VIACOM INC CL B	Aug-02	36,172.69	26,591 81	9,580 88
1 SHARES VIACOM INC CL B	Aug-02	36 21	20 00	16.21
3100 SHARES CENTEX CORP COM	Aug-02	152,515 40	155 483 60	(2,968 20)
600 SHARES CENTEX CORP COM	Aug-02	29 573 11	30 093 60	(520 49)
1300 SHARES CENTEX CORP COM	Aug-02	64 153 07	65 202 80	(1 049 73)
133 SHARES CORUS ENTERTAINMENT INC-B	Aug-02	1 715 65	2,370 06	(654 41)
2034 SHARES CORUS ENTERTAINMENT INC B	Aug-02	26 115 77	36,245 94	(10 130 17)
33 SHARES CORUS ENTERTAINMENT INC B	Aug-02	423 71	571 00	(147 29)
233 SHARES CORUS ENTERTAINMENT INC-B	Aug-02	2,991 63	4 021 00	(1 029 37)
67 SHARES CORUS ENTERTAINMENT INC-B	Aug-02	860 25	828 00	32 25
100 SHARES CORUS ENTERTAINMENT INC-B	Aug-02	1,283 96	1,224 00	59 96
33 SHARES CORUS ENTERTAINMENT INC B	Aug-02	423 71	398 00	25 71
800 SHARES CORUS ENTERTAINMENT INC B	Aug-02	10,271 69	9 447 00	824 69
167 SHARES CORUS ENTERTAINMENT INC-B	Aug-02	2,144 21	1 971 00	173 21
33 SHARES CORUS ENTERTAINMENT INC B	Aug-02	423 71	385 00	38 71
167 SHARES CORUS ENTERTAINMENT INC-B	Aug-02	2,144 21	1 888 00	256 21
33 SHARES CORUS ENTERTAINMENT INC B	Aug-02	423 71	361 00	62 71
100 SHARES CORUS ENTERTAINMENT INC-B	Aug-02	1,283 96	1 092 00	191 96
8300 SHARES HARTE HANKS INC	Aug-02	156,201 29	86,970 17	69,231 12
700 SHARES HARTE HANKS INC	Aug-02	13 194 61	7,334 83	5 859 78
5700 SHARES ENCANA CORPORATION	Aug-02	157 600 25	124,175 39	33 424 86
11900 SHARES FIDELITY NATIONAL FIN INC	Aug-02	333 189 95	228 755 37	104 434 58
100 SHARES FIDELITY NATIONAL FIN INC	Aug-02	2,866 92	1 922 32	944 60
100 SHARES FIDELITY NATIONAL FIN INC	Aug-02	2,866 92	1 922 31	944 61

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FUND NAME	MONTH	PROCEEDS FROM SALE OF ASSETS	COST BASIS	TOTAL GAIN/LOSS
1800 SHARES SIX FLAGS INC	Aug-02	26 009 22	30,258 00	(4,248 78)
6076 SHARES ENCAN A CORPORATION	Aug-02	168 057 10	132,366 61	35 690 49
8200 SHARES SIX FLAGS INC	Aug-02	118 076 44	137,842 00	(19 765 56)
1400 SHARES SHAW COMMUNICATIONS INC CL B CONV	Aug-02	14 769 55	24 770 00	(10 000 45)
200 SHARES SHAW COMMUNICATIONS INC CL B CONV	Aug-02	2,109 94	3 520 00	(1,410 06)
400 SHARES SHAW COMMUNICATIONS INC CL B CONV	Aug-02	4,219 87	5 103 00	(883 13)
200 SHARES SHAW COMMUNICATIONS INC CL B CONV	Aug-02	2,109 94	2,514 33	(404 39)
400 SHARES SHAW COMMUNICATIONS INC CL B CONV	Aug-02	4 299 87	5 028 67	(728 80)
200 SHARES SHAW COMMUNICATIONS INC CL B CONV	Aug-02	2,149 94	2,457 00	(307 06)
1000 SHARES SHAW COMMUNICATIONS INC CL B CONV	Aug-02	10,549 68	12,148 00	(1,598 32)
4800 SHARES SHAW COMMUNICATIONS INC CL B CONV	Aug-02	50 638 48	58 197 00	(7,558 52)
200 SHARES SHAW COMMUNICATIONS INC CL B CONV	Aug-02	2,109 94	2,373 00	(263 06)
1000 SHARES SHAW COMMUNICATIONS INC CL B CONV	Aug-02	10,549 68	11,633 00	(1 083.32)
600 SHARES SHAW COMMUNICATIONS INC CL B CONV	Aug-02	6,329 80	6 726 00	(396 20)
200 SHARES SHAW COMMUNICATIONS INC CL B CONV	Aug-02	2,109 94	2,228 00	(118 06)
3300 SHARES WILEY & SONS CL A	Aug-02	69 792 90	27,687 00	42,105 90
300 SHARES WILEY & SONS CL A	Aug-02	6,413 81	2,517 00	3 896 81
980 SHARES NOKIA CORP SPONSORED ADR	Aug-02	12,161 43	54 007 19	(41 845 76)
525 SHARES NOKIA CORP SPONSORED ADR	Aug-02	6,515 05	28,835 89	(22,320 84)
2560 SHARES NOKIA CORP SPONSORED ADR	Aug-02	31 768 65	114 815 68	(83 047 03)
710 SHARES NOKIA CORP SPONSORED ADR	Aug-02	8 810 83	31 683 75	(22 872 92)
60 SHARES NOKIA CORP SPONSORED ADR	Aug-02	744 58	2,585 39	(1 840 81)
1000 SHARES BP PLC SPONSORED ADR	Aug-02	45 108 64	55,247 00	(10 138 36)
100 SHARES BERKSHIRE HATHAWAY INC DEL CL B	Aug-02	229 593 09	158 806 00	70 787 09
2000 SHARES BANK ONE CORP COM	Aug-02	73 517 79	68,620 00	4 897 79
2280 SHARES BANK NEW YORK INC COM	Aug-02	71 111 06	79 942 50	(8 831 44)
1520 SHARES CITIGROUP INC CITIGROUP INC	Aug-02	49 550 51	56 667 50	(7 116 99)
1200 SHARES EXXON MOBIL CORP COM	Aug-02	42,718 71	41 706 00	1 012.71
5200 SHARES LIBERTY MEDIA CORP A	Aug-02	41 858 74	54 681 00	(12,822 26)
800 SHARES MERCK & CO INC COM	Aug-02	38,622 84	52,360 00	(13 737 16)
1000 SHARES MERCK & CO INC COM	Aug-02	48,278 55	78,280 00	(30 001 45)
1500 SHARES UNITED PARCEL SERVICE INC CL B	Aug-02	95,952 11	103,121 00	(7,168 89)
2212 SHARES VIACOM INC CL B	Aug-02	80,071 99	58,884 30	21 187 69
770 SHARES VIACOM INC CL B	Aug-02	27,873 16	20,497 70	7,375 46
6100 SHARES DISNEY WALT CO COM DISNEY	Aug-02	103 757 86	164 695 16	(60 937 30)
200 SHARES DISNEY WALT CO COM DISNEY	Aug-02	3 407 90	5,399 84	(1 991 94)
67 SHARES CORUS ENTERTAINMENT INC-B	Aug-02	864 28	765 00	99 28
400 SHARES SHAW COMMUNICATIONS INC CL B CONV	Aug-02	4,219 87	4 716 00	(496 13)
6400 SHARES WILEY & SONS CL A	Aug-02	135,355 92	53 832 00	81 523 92
3000 SHARES BP PLC SPONSORED ADR	Aug-02	135,325 92	112,403 00	22,922 92
1005 SHARES ALLERGAN INC COM	Aug-02	58 097 30	86,799 34	(28 702 04)
595 SHARES ALLERGAN INC COM	Aug-02	34,395 91	41 834 39	(7 438 48)
110 SHARES ALLERGAN INC COM	Aug-02	6,358 91	7 734 09	(1,375 18)
379 SHARES ADVANCED MEDICAL OPTICS	Aug-02	3 498 06	4 936 73	(1 438 67)
13800 SHARES VIACOM INC CL B	Oct-02	565 092 99	558 555 00	6 537 99

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FUND NAME	MONTH	PROCEEDS FROM SALE OF ASSETS	COST BASIS	TOTAL GAIN/LOSS
1860 SHARES AMERICAN INTL GROUP INC COM	Nov-02	119,947.78	140,261.00	(20,313.22)
256 SHARES DAIMLERCHRYSLER AG ORD	Dec-02	8,614.14	8,856.32	(242.18)
2450 SHARES CITIGROUP INC	Dec-02	91,014.76	92,426.25	(1,411.49)
675 SHARES CITIGROUP INC	Dec-02	25,250.98	25,089.75	161.23
578 SHARES INTEL CORP COM	Dec-02	10,999.00	11,372.15	(373.15)
40 SHARES KOHLS CORP	Dec-02	2,441.52	2,496.40	(54.88)
TOTAL FOR YEAR 2002		23,589,485.72	24,870,461.28	(1,280,975.56)

THE NATIONAL CENTER ON ADDICTION AND SUBSTANCE ABUSE
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STATEMENT OF PROGRAM SERVICE ACCOMPLISHMENTS-FORM 990 PART III

FEI # 52-1736502

PART III

<u>DESCRIPTION</u>	<u>GRANTS AND ALLOCATIONS</u>	<u>EXPENSES</u>	<u>TOTAL</u>
<u>PROGRAM DEVELOPMENT</u> Preparation of grant applications, research and project proposals and contract frameworks to compete for funds to carry out CASA'S goals	0	643,683	643,683
<u>COMMUNICATIONS</u> Promotion of public understanding, discussion, awareness and concern with respect to issues relating to Substance Abuse and Addiction	0	388,730	388,730
<u>HEALTH & TREATMENT RESEARCH</u> Carrying out and supporting research on treatment of substance abuse and addiction and disseminating the findings to policymakers, the medical and scientific communities and the general public and evaluating programs of health promotion & treatment	1,747,096	1,288,684	3,035,780
<u>DEMONSTRATION PROJECTS</u> Multi site national demonstration programs designed to prevent substance abuse and addiction among at risk populations such as young teens, ex-addicts/ex-offenders and welfare mothers	2,518,967	3,193,729	5,712,696
<u>PUBLIC POLICY</u> Analyzing public policy issues relevant to substance abuse and addiction and the options available for addressing them. The divisions analysis include substance abuse's impact on the cost, quality and availability of health care, the national economy, social systems, individuals & families	159,071	1,501,121	1,660,192
TOTAL	\$4,425,134	\$7,015,947	\$11,441,081

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SUPPORT SCHEDULES-FORM 990 FY 02

PART IV, LINE 57B AND PART II, LINE 42

FEI # 52-1736502

	<u>COST</u>	<u>ACC DEP</u>	<u>NET</u>
Condominium interest and improvements	\$14,233,347	\$884,185	\$13,349,162
Leasehold Improvements	877,972	726,619	151,353
Furniture & Equipment	<u>1,432,955</u>	<u>1,016,049</u>	<u>416,906</u>
TOTAL	\$16,544,274	\$2,626,853	\$13,917,421

Total Depreciation Expense- 2002 \$568,637

Depreciation Expense 2002 Part II Line 42 \$428,223

Depreciation Expense 2002 Part I Line 6b 140,414

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FBI # 52-1736502

PART II, LINE 22

GRANTS AND ALLOCATIONS

	<u>Total</u>	<u>Program Services</u>
(1) Emma Chase Industries	5,000	5,000
(1) Horizons Caseworks For Families	1,200	1,200
(1) Interfaith Community Svcs	148,328	148,328
(1) Johns Hopkins Hospital	91,658	91,658
(1) Lakes Country Rehabilitation Center	372,768	372,768
(1) Norman Alcohol Information Center	240,226	240,226
(1) Renewal Works for Families	411,752	411,752
(1) University of North Carolina at Chapel Hill	167,996	167,996
(1) Women's Housing & Economic Development Corp	482,034	482,034
(1) Youth & Families, INC	146,632	146,632
(2) University Of Maryland	48,397	48,397
(3) ACM Health Center	156,000	156,000
(4) Simeone & Associates	967,267	967,267
(4) Treatment Research Institute	540,968	540,968
(5) District Attorney of Kings County	29,751	29,751
(5) Research Triangle Institute	-51,247	-51,247
(5) Vera Institute of Justice	-11,242	-11,242
(5) University of Maryland	14,532	14,532
(6) National Dev & Research Institutes	149,899	149,899
(6) Sociomedical Resource Association, Inc.	-21,018	-21,018
(7) Treatment Research Inc	9,851	9,851
(8) Rutgers University	208,476	208,476
(9) Fordham University	20,535	20,535
(10) Department of Health & Human Services	13,378	13,378
(10) Neighborhood House	224,235	224,235
(11) Terros Behavioral Health	5,000	5,000
(11) Keys Community Center	5,000	5,000
(11) Ebony House	5,000	5,000
(11) Friendly House	5,000	5,000
(11) Arizona Children's Association	5,000	5,000
(12) Neighborhood House	32,760	32,760
	\$4,425,134	\$4,425,134

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PART II, LINE 22

- (1) Grants are for a Multisite National Program designed to focus on welfare mothers
- (2) Grants to conduct focus groups to obtain information about their behaviors and attitudes related to substance use and abuse
- (3) Grant/Contract is to implement and deliver a substance abuse prevention program serving high-risk 8-15 year old minors and their families living in impoverished urban neighborhoods.
- (4) Grants are for conducting work on random access monitoring of narcotics abusers
- (5) Grants are for an impact evaluation of the drug treatment alternative to prison diversion project
- (6) Grants are for studying drug-related HIV infection and the criminal justice population
- (7) Grants are for evaluating substance abuse treatment programs
- (8) Subcontract to deliver programmatic services to substance abusing TANF Women in an urban neighborhood
- (9) Subcontract to pay for the use of space in a data collection site for a grant to study College Binge Drinking
- (10) Consulting services to develop a program and data collection site for a grant to study community-based tobacco prevention activities
- (11) Grant is for implementing a comprehensive and integrated system of services for parents with a history of substance abuse who are involved with the child welfare system
- (12) Consulting services to develop a program and data collection site for a grant to study community-based tobacco prevention activities.

THE NATIONAL CENTER ON ADDICTION AND SUBSTANCE ABUSE AT COLUMBIA UNIVERSITY

FBI# 52-1736502
 FORM 990 PART V
 FY 2002

<u>NAME AND ADDRESS</u>	<u>TITLE/ TIME DEVOTED</u>	<u>COMPENSATION</u>	<u>CONTRIB TO EMPLOYEE BENEFIT PLANS & DEF COMP</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
JOSEPH A. CALIFANO, JR 11 RAVEN ROCK ROAD ROXBURY, CT. 06783	CHAIR & PRESIDENT 45	\$388,118	33,194 78	NONE
SUSAN P. BROWN 200 EAST 57TH STREET NEW YORK, NY 10022	SECRETARY & TREASURER 40	185,273	33,646	NONE
WILLIAM H. FOSTER 67 STONEY BROOK RD HOPEWELL, N. J 08525	SR VICE PRESIDENT 35	290,583	23,317	NONE
SUSAN E. FOSTER 276 RIVERSIDE DRIVE NEW YORK, N. Y. 10025	VICE PRESIDENT 35	220,060	25,319	NONE
JON MORGENSTERN 75 ENGLE STREET TEHAPLY, NJ 07670	VICE PRESIDENT 14	78,591	6,606	NONE
JOSEPH A CALIFANO, JR	BOARD OF DIRECTOR AS NEEDED	NONE	NONE	NONE
LEE C. BOLLINGER	BOARD OF DIRECTOR AS NEEDED	NONE	NONE	NONE
COLUMBA BUSH	BOARD OF DIRECTOR AS NEEDED	NONE	NONE	NONE
KENNETH I. CHERNAULT	BOARD OF DIRECTOR AS NEEDED	NONE	NONE	NONE
JAMIE LEE CURTIS	BOARD OF DIRECTOR AS NEEDED	NONE	NONE	NONE
JAMES DIMON	BOARD OF DIRECTOR AS NEEDED	NONE	NONE	NONE
PETER R. DOLAN	BOARD OF DIRECTOR AS NEEDED	NONE	NONE	NONE
MARY FISHER	BOARD OF DIRECTOR AS NEEDED	NONE	NONE	NONE
DOUGLAS A. FRASER	BOARD OF DIRECTOR AS NEEDED	NONE	NONE	NONE
VICTOR F. GANZI	BOARD OF DIRECTOR AS NEEDED	NONE	NONE	NONE
LEO-ARTHUR KELMUNSON	BOARD OF DIRECTOR AS NEEDED	NONE	NONE	NONE
DONALD R. KEOUGH	BOARD OF DIRECTOR AS NEEDED	NONE	NONE	NONE
DAVID A. KESSLER	BOARD OF DIRECTOR AS NEEDED	NONE	NONE	NONE
MANUEL T PACHECO, Ph.D	BOARD OF DIRECTOR AS NEEDED	NONE	NONE	NONE
JOSEPH J PLUMERI II	BOARD OF DIRECTOR AS NEEDED	NONE	NONE	NONE
H JOHN ROSENWALD, JR	BOARD OF DIRECTOR AS NEEDED	NONE	NONE	NONE
MICHAEL P SCHULHOF	BOARD OF DIRECTOR AS NEEDED	NONE	NONE	NONE
LOUIS W SULLIVAN	BOARD OF DIRECTOR AS NEEDED	NONE	NONE	NONE
JOHN J SWEENEY	BOARD OF DIRECTOR AS NEEDED	NONE	NONE	NONE
MICHAEL A WIEDNER	BOARD OF DIRECTOR AS NEEDED	NONE	NONE	NONE
		<u>NONE</u>	<u>NONE</u>	<u>NONE</u>
GRAND TOTAL		\$1,162,625	\$122,083	\$0

THE NATIONAL CENTER ON ADDICTION AND SUBSTANCE ABUSE
AT COLUMBIA UNIVERSITY

SUPPORT SCHEDULES - FORM 990 FY02
RELATIONSHIP OF ACTIVITIES TO THE ACCOMPLISHMENT OF EXEMPT PURPOSES
PART VII

FEI # 52-1736502

Line #

- 93a Fees to assist in the Safe Haven Demonstration Project; an intervention model to address the needs of substance abuse-affected families served by the child welfare system.
- 93a Fees to develop and implement a research and demonstration program designed to address tobacco and other substance abuse in HOPE VI housing communities.
- 93a Fees to conduct an evaluation of the CASASTART program in Commerce City and West Dover.
- 93a Grant to develop and implement a substance abuse prevention program serving high-risk 8-15 year old children living in impoverished communities in the South Western United States.
- 93a Grant to develop and implement a substance abuse prevention program serving high-risk 8-15 year old children living in impoverished neighborhoods in Washington, D.C.
- 93a Grant to develop and conduct a one-day national conference to explore the relationship between substance abuse and sexual behavior.
- 93g Fees to acquire our professional services in support of "Group Motivational Intervention in Drug Abuse Treatment"
- 93g Fees to provide a range of technical assistance and training opportunities in an attempt to alter the way small-medium sized businesses understands, trains, retains and supervises substance affected welfare to work eligible workers
- 93g Grant to create a neighborhood-based family centered approach to substance abuse prevention and treatment in affected communities within the State of Texas.

These further our exempt purpose of encouraging institutions to take responsibility to combat substance abuse and addictions and also provide those on the front lines with the tools they need to succeed.

THE NATIONAL CENTER ON ADDICTION AND SUBSTANCE ABUSE
AT COLUMBIA UNIVERSITY

FBI #52-1736502

FORM 990 FY02, PART IV - Line 64a - Tax-exempt Bond Liabilities

<u>DESCRIPTION</u>	<u>AS OF</u> <u>12/31/2002</u>
Tax exempt bond	<u>\$14,000,000</u>
TOTAL	\$14,000,000
a) Purpose:	To finance the acquisition, improvement and furnishment of new facility
b) Amount of issue outstanding	\$14,000,000
c) Unexpended bond proceeds	0

THE NATIONAL CENTER ON ADDICTION AND SUBSTANCE ABUSE
AT COLUMBIA UNIVERSITY

SUPPORT SCHEDULES-FORM 990 FY 2002

FEI # 52-1736502

SCHEDULE A, PART III, LINE 4

Organizations receiving grants are required to furnish

- 1) A copy of their section 501 (c) (3) determination letter from the IRS
- 2) Audited financial statement for the last 2 years
- 3) Evidence of service quality and effectiveness in reaching targeted populations

- If you are filing for an Additional (not automatic) 3-Month Extension, complete only Part II and check this box **X**
- Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.
- If you are filing for an Automatic 3-Month Extension, complete only Part I (on page 1)

Part II Additional (not automatic) 3-Month Extension of Time - Must File Original and One Copy.

Type or print File by the extended due date for filing the return See instructions	Name of Exempt Organization THE NATIONAL CENTER ON ADDICTION AND SUBSTANCE ABUSE AT COLUMBIA UNIVERSITY	Employer identification number 52-1736502
	Number, street, and room or suite no. If a P O box, see instructions 633 THIRD AVENUE, 19TH FLOOR	For IRS use only
	City, town or post office, state, and ZIP code For a foreign address, see instructions NEW YORK, NY 10017-6706	

Check type of return to be filed (File a separate application for each return)

<input checked="" type="checkbox"/> Form 990	<input type="checkbox"/> Form 990-EZ	<input type="checkbox"/> Form 990-T (sec 401(a) or 408(a) trust)	<input type="checkbox"/> Form 1041-A	<input type="checkbox"/> Form 5227	<input type="checkbox"/> Form 8870
<input type="checkbox"/> Form 990-BL	<input type="checkbox"/> Form 990-PF	<input type="checkbox"/> Form 990-T (trust other than above)	<input type="checkbox"/> Form 4720	<input type="checkbox"/> Form 6069	

STOP Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868

- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box If it is for part of the group, check this box and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until NOVEMBER 17, 2003

5 For calendar year 2002, or other tax year beginning _____ and ending _____

6 If this tax year is for less than 12 months, check reason Initial return Final return Change in accounting period

7 State in detail why you need the extension THE INFORMATION NECESSARY TO FILE A COMPLETE AND ACCURATE TAX RETURN IS NOT YET AVAILABLE.


8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions \$ _____

b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868 \$ _____

c **Balance Due** Subtract line 8b from line 8a Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions \$ _____

Signature and Verification

Under penalties of perjury I declare that I have examined this form, including accompanying schedules and statements and to the best of my knowledge and belief it is true, correct and complete and that I am authorized to prepare this form

Signature  Title **CPA, AS AUTHORIZED AGENT** Date P-6-03

Notice to Applicant - To Be Completed by the IRS

- We have approved this application Please attach this form to the organization's return
- We have not approved this application However, we have granted a 10-day grace period from the later of the date shown below or the due date of the organization's return (including any prior extensions) This grace period is considered to be a valid extension of time for elections otherwise required to be made on a timely return Please attach this form to the organization's return
- We have not approved this application After considering the reasons stated in item 7, we cannot grant your request for an extension of time to file We are not granting a 10-day grace period
- We cannot consider this application because it was filed after the due date of the return for which an extension was requested
- Other _____

By _____ Date _____

Director _____ Date _____
Alternate Mailing Address - Enter the address if you want the copy of this application for an additional 3-month extension returned to an address different than the one entered above

Type or print	Name KPMG LLP; ATTN: DANIEL ROMANO
	Number and street (include suite, room, or apt. no.) Or a P O box number 345 PARK AVENUE, 38TH FLOOR
	City or town, province or state, and country (including postal or ZIP code) NEW YORK, NY 10154-0102