Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note *The organization may be able to use a copy of this return to satisfy state reporting requirements*

OMB No 1545-0052

2001

For calendar year 2001, or tax year beginning JULY 1 **, 2001, and ending** JUNE 30 **, 20** 02

G Check all that apply ☐ Initial return ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions	Name of organization: THE SIMONS FOUNDATION	**A Employer identification number** 13-3794889
	Number and street (or P O box number if mail is not delivered to street address): 126 East 19th St — Room/suite:	**B** Telephone number (see page 10 of the instructions): 646 654-0066
	City or town, state, and ZIP code: New York NY 10003	**C** If exemption application is pending check here ▶ ☐

D 1 Foreign organizations check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I	**Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 10 of the instructions))*	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	24800075			
	Check ▶ ☐ if the foundation is not required to attach Sch B				
	2 Distributions from split-interest trusts				
	3 Interest on savings and temporary cash investments	30363			
	4 Dividends and interest from securities	637382			
	5a Gross rents				
	b (Net rental income or (loss) ______)				
	6a Net gain or (loss) from sale of assets not on line 10	1983738			
	b Gross sales price for all assets on line 6a 7983738				
	7 Capital gain net income (from Part IV, line 2)		1983738		
	8 Net short-term capital gain			89538	
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule) Portfolio Exp	(366960)			
	12 **Total.** Add lines 1 through 11	27084598	1983738	89538	
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages	50939			
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	3762			
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions)	11133	11133		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy	83430			
	21 Travel, conferences, and meetings	49531			
	22 Printing and publications				
	23 Other expenses (attach schedule) BANK CGS	4371			
	24 **Total operating and administrative expenses.** Add lines 13 through 23	203166			
	25 Contributions, gifts, grants paid	8244208			8244208
	26 Total expenses and disbursements. Add lines 24 and 25	8447374	11133		8244208
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	18637224			
	b Net investment income (if negative, enter -0-)		1972605		
	c Adjusted net income (if negative, enter -0-)			89538	

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Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash — non-interest-bearing	12 735	6032 817	6 032 817
	2 Savings and temporary cash investments	1212767	402 039	402039
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U S and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule)			
	c Investments — corporate bonds (attach schedule)	797980	5791881	5350 172
	11 Investments — land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments — mortgage loans			
	13 Investments — other (attach schedule)	52 648 259	61 056336	102 233 793
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers — see page 16 of the instructions Also, see page 1, item I)	54 671 741	73 308 965	114018 821
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 *Loans from officers, directors, trustees, and other disqualified persons*			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 ***Total liabilities*** *(add lines 17 through 22)*			
Net Assets or Fund Balances	**Organizations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31**			
	24 Unrestricted			
	25 *Temporarily restricted*			
	26 Permanently restricted			
	Organizations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31			
	27 *Capital stock, trust principal, or current funds*	54 671 741	73 308 965	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances** (see page 17 of the instructions)	54 671 741	73 308 965	
	31 **Total liabilities and net assets/fund balances** (see page 17 of the instructions)	54 671 741	73 308 965	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	54 671 741
2	Enter amount from Part I, line 27a	2	18 637 224
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	73 308 965
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	73 308 965

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g real estate 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (mo , day yr)	(d) Date sold (mo , day, yr)
1a	VIA MADOFF Securities Acct	P		
b	EQUIMETRICS FUND LTD	P	1999	12/31/01
c	VIA SIENNA LIMITED PARTNERSHIP III LP	P		
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				869 310
b	7288 482		6 000 000	1 288 482
c				(174 054)
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1983738
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8	3	89 538

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the organization liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No
If "Yes," the organization does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2000	7023 018	61 090 050	.11496
1999	4332 397	31 421 208	.13788
1998	5094 040	17 751 807	.28696
1997	778699	13 209 205	.05895
1996	615 545	7 719 284	.07974

2 Total of line 1, column (d)	2	.67849
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.135698
4 Enter the net value of noncharitable-use assets for 2001 from Part X, line 5	4	95 650 128
5 Multiply line 4 by line 3	5	12 979 531
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	19726
7 Add lines 5 and 6	7	12 999 257
8 Enter qualifying distributions from Part XII, line 4	8	8 244 208

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 — see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling letter ____________ (attach copy of ruling letter if necessary - see instructions)		
b	Domestic organizations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	39 452
c	All other domestic organizations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	39 452
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	**Tax based on investment income** Subtract line 4 from line 3 If zero or less, enter -0-	5	39 452
6	Credits/Payments		
a	2001 estimated tax payments and 2000 overpayment credited to 2001 — 6a		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	39 452
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	39 452
10	**Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be **Credited to 2002 estimated tax** ▶ **Refunded** ▶	11	39 452

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the organization attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the organization in connection with the activities			
c	Did the organization file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the organization ▶ $________ (2) On organization managers ▶ $________			
e	Enter the reimbursement (if any) paid by the organization during the year for political expenditure tax imposed on organization managers ▶ $________			
2	Has the organization engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the organization made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the organization have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the organization have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶			
b	If the answer is "Yes" to line 7, has the organization furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	X	
9	Is the organization claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2001 or the taxable year beginning in 2001 (see instructions for Part XIV on page 25)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10	X	
11	Did the organization comply with the public inspection requirements for its annual returns and exemption application?	11	X	

Web site address ▶

12 The books are in care of ▶ MARLYN SIMONS Telephone no ▶ 646 654-0066
Located at ▶ 126 East 19 St NY NY ZIP+4 ▶ 10003

13 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** — Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 13

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies

			Yes	No
1a	During the year did the organization (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception** Check "No" if the organization agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 19 of the instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the organization engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2001?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the organization was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2001, did the organization have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2001? ☐ Yes ☒ No If "Yes," list the years ▶ 20 ____, 19 ____, 19 ____, 19 ____			
b	Are there any years listed in 2a for which the organization is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement — see page 19 of the instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20 ____, 19 ____, 19 ____, 19 ____			
3a	Did the organization hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2001 as a result of **(1)** any purchase by the organization or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the organization had excess business holdings in 2001)*	3b		
4a	Did the organization invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the organization make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2001?	4b		X
5a	During the year did the organization pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the organization claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No *If "Yes," attach the statement required by Regulations section 53 4945-5(d)*			
6a	Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? *If you answered "Yes" to 6b, also file Form 8870*	6b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 20 of the instructions)·

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account other allowances
MARILYN SIMONS 1060 Fifth Ave NY NY	President	-0-	None	none
JAMES SIMONS 1060 Fifth Ave NY NY	Director	-0-	None	None
Marc Silber 125 Sutton Place South Lawrence NY	Director	-0-	None	none

2 Compensation of five highest-paid employees (other than those included on line 1 — see page 21 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50 000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

3 Five highest-paid independent contractors for professional services—(see page 21 of the instructions) If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 CONTRIBUTIONS TO QUALIFING PUBLIC CHARITIES	8447374
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 21 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 22 of the instructions 3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see page 22 of the instructions)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a Average monthly fair market value of securities		1a	93 276 550
b Average of monthly cash balances		1b	3 830 179
c Fair market value of all other assets (see page 22 of the instructions)		1c	
d **Total** (add lines 1a, b, and c)		1d	97 106 729
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e		
2 Acquisition indebtedness applicable to line 1 assets		2	
3 Subtract line 2 from line 1d		3	97 106 729
4 Cash deemed held for charitable activities Enter 1½% of line 3 (for greater amount, see page 23 of the instructions)		4	1 456 601
5 **Net value of noncharitable-use assets** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	95 650 128
6 **Minimum investment return** Enter 5% of line 5		6	4 782 506

Part XI Distributable Amount (see page 23 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1 Minimum investment return from Part X, line 6			1	4 782 506
2a Tax on investment income for 2001 from Part VI, line 5	2a	39 452		
b Income tax for 2001 (This does not include the tax from Part VI)	2b			
c Add lines 2a and 2b			2c	39 452
3 Distributable amount before adjustments Subtract line 2c from line 1			3	4 743 054
4a Recoveries of amounts treated as qualifying distributions	4a			
b Income distributions from section 4947(a)(2) trusts	4b			
c Add lines 4a and 4b			4c	
5 Add lines 3 and 4c			5	4 743 054
6 Deduction from distributable amount (see page 23 of the instructions)			6	
7 **Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	4 743 054

Part XII Qualifying Distributions (see page 23 of the instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1a	8 244 208
b Program-related investments — Total from Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 **Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	8 244 208
5 Organizations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 24 of the instructions)	5	
6 **Adjusted qualifying distributions.** Subtract line 5 from line 4	6	8 244 208

Note *The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years*

Part XIII Undistributed Income (see page 24 of the instructions)

			(a) Corpus	(b) Years prior to 2000	(c) 2000	(d) 2001
1	Distributable amount for 2001 from Part XI, line 7					4743054
2	Undistributed income, if any, as of the end of 2000					
a	Enter amount for 2000 only					
b	Total for prior years 19____, 19____, 19____					
3	Excess distributions carryover, if any, to 2001					
a	From 1996	252876				
b	From 1997	132797				
c	From 1998	4218825				
d	From 1999	4173169				
e	From 2000	3968516				
f	**Total** of lines 3a through e		12746183			
4	Qualifying distributions for 2001 from Part XII, line 4 ▶ $ 8244208					
a	Applied to 2000, but not more than line 2a					
b	Applied to undistributed income of prior years (Election required — see page 24 of the instructions)					
c	Treated as distributions out of corpus (Election required — see page 24 of the instructions)					
d	Applied to 2001 distributable amount					4743054
e	Remaining amount distributed out of corpus		3501154			
5	Excess distributions carryover applied to 2001 *(If an amount appears in column (d), the same amount must be shown in column (a).)*					
6	**Enter the net total of each column as indicated below:**					
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5		16247337			
b	Prior years' undistributed income Subtract line 4b from line 2b					
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d	Subtract line 6c from line 6b Taxable amount — see page 25 of the instructions					
e	Undistributed income for 2000 Subtract line 4a from line 2a Taxable amount — see page 25 of the instructions					
f	Undistributed income for 2001 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2002					
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(E) or 4942(g)(3) (see page 25 of the instructions)					
8	Excess distributions carryover from 1996 not applied on line 5 or line 7 (see page 25 of the instructions)		252876			
9	**Excess distributions carryover to 2002.** Subtract lines 7 and 8 from line 6a		15994461			
10	Analysis of line 9					
a	Excess from 1997	132797				
b	Excess from 1998	4218825				
c	Excess from 1999	4173169				
d	Excess from 2000	3968516				
e	Excess from 2001	3501154				

Part XIV Private Operating Foundations (see page 25 of the instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2001, enter the date of the ruling ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2001	(b) 2000	(c) 1999	(d) 1998	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test — enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test — Enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test — enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year — see page 26 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

JAMES SIMONS

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the organization only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the organization makes gifts, grants, etc (see page 26 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Total			▶ **3a**	
b *Approved for future payment*				
Total			▶ **3b**	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 26 of the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)					

13 **Total** Add line 12, columns (b), (d), and (e) ▶ 13

(See worksheet in line 13 instructions on page 26 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes) (See page 27 of the instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting organization to a noncharitable exempt organization of			
	(1) Cash	1a(1)		
	(2) Other assets	1a(2)		
b	Other Transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		
	(3) Rental of facilities, equipment, or other assets	1b(3)		
	(4) Reimbursement arrangements	1b(4)		
	(5) Loans or loan guarantees	1b(5)		
	(6) Performance of services or membership or fundraising solicitations	1b(6)		
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting organization If the organization received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the organization directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☐ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

▶ Signature of officer or trustee — 5/14/03 Date ▶ President Title

Paid Preparer's Use Only

Preparer's signature ▶	Date	Check if self-employed ▶ ☐	Preparer's SSN or PTIN (See Signature on page 28 of the instructions)
Firm's name (or yours if self-employed) address and ZIP code ▶		EIN ▶	
		Phone no	

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

Supplementary Information for line 1 of Form 990, 990-EZ and 990-PF (see Instructions)

OMB No 1545-0047

2001

Name of organization
THE SIMONS FOUNDATION

Employer identification number
13-3794889

Organization type (check one)

Filers of	**Section:**
Form 990 or 990-EZ	☒ 501(c)(3) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☐ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General rule** or a **Special rule** (**Note:** *Only a section 501(c)(7), (8), or (10) organization can check box(es) for both the General rule and a Special rule — see instructions*)

General Rule —

☒ For organizations filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor (Complete Parts I and II)

Special Rules —

☐ For a section 501(c)(3) organization filing Form 990, or Form 990-EZ, that met the 33 1/3% support test of the regulations under sections 509(a)(1)/170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of $5,000 or 2% of the amount on line 1 of these forms (Complete Parts I and II)

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990-EZ, that received from any one contributor, during the year, aggregate contributions or bequests of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals (Complete Parts I, II, and III)

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990-EZ, that received from any one contributor, during the year, some contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not aggregate to more than $1,000 (If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the Parts unless the General rule applies to this organization because it received nonexclusively religious, charitable, etc , contributions of $5,000 or more during the year) ▶ $ ______________

Caution *Organizations that are not covered by the General rule and/or the Special rules do not file Schedule B (Form 990, 990-EZ, or 990-PF), but they* **must** *check the box in the heading of their Form 990, Form 990-EZ, or on line 1 of their Form 990-PF, to certify that they do not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)*

Name of organization: THE SIMONS FOUNDATION

Employer identification number: 13-3794889

Part I **Contributors** (See Specific Instructions)

(a) No.	(b) Name, address and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	JAMES SIMONS 1060 FIFTH AVE NEW YORK NY	$ 24 800 075	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Transaction Detail Report
7/1/01 through 6/30/02

Date	Num	Payee	Memo	Amount
2/6/02	1017	African AIDSTrek		-2,500 00
4/7/02	1045	AIDS Walk NY		-5,000 00
5/8/02	1757	Alameda County Comm Food Bank		-2,000 00
12/18/01	1641	All Kinds of Minds Institute	Gala Match	-1,000 00
6/4/02	1775	All Kinds of Minds Institute		-10,000 00
8/1/01	1609	Alzheimer's Association of East	donna & David Podo	-2,500 00
12/18/01	1642	American Museum of Natural Hi		-1,000 00
6/20/02	1788	Animal Haven		-500 00
4/7/02	1040	Art In General		-1,000 00
12/18/01	1643	Association to Benefit Children		-25,000 00
7/2/01	1598	Astoria Fire & Post heroes Fund	John Downing	-500 00
12/18/01	1644	Avenue Association		-100 00
12/18/01	1645	AWED	Evelyn Berenzin	-5,000 00
3/7/02	1027	AWED	Evelyn Berenzin	-5,000 00
12/18/01	1646	Babies Alumni		-500 00
5/8/02	1756	Bay Area Discovery Museum		-500 00
5/8/02	1770	Berkeley Montessori - Annual F		-1,300 00
4/18/02	1049	Berkeley Repertory Theatre		-1,200 00
12/18/01	1647	Beth Israel Medical Center		-10,000 00
12/18/01	1701	Beth Israel Medical Center		-177,617 00
4/7/02	1042	Beth Israel Medical Center	Continuum Breast S	-250,000 00
5/7/02	1751	Beth Isreal Medical Center	Raffle Tickets (Cont	-2,000 00
12/18/01	1648	Big Apple Circus		-5,000 00
1/24/02	1009	Boca Raton Museum of Art		-2,500 00
5/8/02	1762	California Academy of Science		-500 00
5/8/02	1758	California Shakespere Festival		-500 00
8/24/01	1619	Canada/US Aids Vaccine Ride	Kathy Griffiths	-1,000 00
12/18/01	1649	Central Park Conservacy		-25,000 00
12/18/01	1650	Children's Aid Society		-25,000 00
6/4/02	1779	Children's Aid Society		-127,500 00
5/8/02	1767	Children's Hospital Foundation		-5,000 00
2/4/02	1015	Churchill School and Center		-2,500 00
3/31/02	1036	Churchill School and Center	Keystone/ Sonn	-2,500 00
1/8/02	1709	Circum-Arts	Janis Brenner Danc	-1,000 00
12/20/01	1702	Citymeals on Wheels		-1,000 00
12/18/01	1651	Cold Spring Harbor Laboratory		-10,000 00
8/1/01	1611	Community Foundation of New J	John Kidde	-1,000 00
8/1/01	1610	Cooper-Hewitt National Design	Russel Wright	-25,000 00
12/18/01	1652	Dalton		-1,000 00
9/20/01	2140	Doctors Without Borders		-5,000 00
12/18/01	1653	Doe Fund		-250 00
12/18/01	1654	East Harlem Tutorial		-35,000 00
3/21/02	1031	East Harlem Tutorial		-100,000 00
12/18/01	1655	Eastern Farm Workers		-500 00
9/20/01	2148	Freedom from Hunger		-5,000 00
11/12/01	1633	Friends of Gallery North		-22,615 71
12/18/01	1656	Friends of Gallery North		-1,000 00

Transaction Detail Report
7/1/01 through 6/30/02

Date	Num	Payee	Memo	Amount
7/18/01	1605	Friends of IHES	Capital pledge	-150,000 00
12/18/01	1691	Friends of IHES	Capital pledge	-350,000 00
12/18/01	1689	Friends of IHES	Annaul Pledge 4	-50,000 00
12/18/01	1657	Friends of the Setauket Neighbor		-500 00
11/19/01	1636	Friends of the Staller Center	Gala	-5,000 00
12/18/01	1658	Friends of the Staller Center	Gala	-10,000 00
12/18/01	1659	Gillen Brewer School		-10,000 00
6/4/02	1776	Gillen Brewer School		-5,000 00
9/20/01	2139	Global Fund for Women		-3,000 00
3/21/02	1035	God's Love We Deliver		-25 00
3/7/02	1024	GPJ-NBAC		-1,000 00
10/2/01	1623	Green Meadow Community Servi		-2,500 00
5/8/02	1759	Habitat for Humanity		-2,000 00
5/8/02	1763	Habitot Children's Museum		-2,000 00
4/7/02	1046	Hillel Foundation for Jewish Life		-5,000 00
9/20/01	2138	History San Jose		-3,000 00
6/18/02	1783	Hofstra University		-25,000 00
1/24/02	1014	Independent Group Home Living	Bruce Acker	-10,000 00
12/18/01	1660	Institute for Advanced Study		-25,000 00
5/23/02	1771	Institute for Advanced Study		-300,000 00
8/24/01	1617	Jewish Children's Museum	Howard Morgan	-10,000 00
4/18/02	1048	JLOEB		-5,000 00
5/8/02	1768	KQED		-1,000 00
11/7/01	1629	Lauri Strauss Leukemia Foundati		-3,000 00
6/20/02	1789	Learning Spring		-480 00
7/18/01	1606	Learning Spring Foundation		-100,000 00
1/22/02	1006	Learning Spring Foundation		-10,000 00
1/22/02	1005	Learning Spring Foundation		-20,000 00
1/22/02	1004	Learning Spring Foundation		-100,000 00
4/1/02	1037	Learning Spring Foundation		-5,724 33
6/13/02	1782	Learning Spring Foundation		-126,830 94
6/26/02	1790	Learning Spring Foundation		-100,000 00
4/7/02	1043	Leukemia and Lymphoma Society		-5,000 00
12/18/01	1661	LI Museum of Am Art,History		-2,500 00
3/7/02	1021	LISCA		-250 00
3/7/02	1022	Long Island Masterworks		-250 00
1/24/02	1007	Long Island Track and Field	dad	-4,500 00
7/30/01	1607	Lustgarten Foundation	Pancreatic Cancer	-750 00
11/7/01	1630	Make A Wish Foundation	Claudia	-1,000 00
9/7/01	1622	Manitoga		-3,000 00
12/18/01	1662	Manitoga		-1,000 00
12/18/01	1687	Martin M Feuer M D Education F	Breast Cancer	-125,000 00
8/17/01	1616	Martin M Feuer, M D Education	Cancer Check	-500,000 00
1/24/02	1012	Math Circle		-1,000 00
12/18/01	1692	Math Science Research Institute	Pledge to Annual	-50,000 00
6/6/02	1781	Math Science Research Institute		-200,000 00
3/8/02	1030	Mathematical Sciences Research		-6,164 96
12/20/01	1705	Max Dresden Prize/ SBF		-5,000 00

Transaction Detail Report
7/1/01 through 6/30/02

Date	Num	Payee	Memo	Amount
12/18/01	1663	Memorial Sloan Kettering		-10,000 00
12/18/01	1688	MIT	Pledge	-1,000,000 00
9/20/01	2146	Mothers Milk Bank		-4,000 00
12/18/01	1665	Mount Sinai Children's Foundation		-5,000 00
6/4/02	1778	Mount Sinai Children's Foundation		-5,000 00
12/18/01	1664	Mount Sinai Hospital		-10,000 00
12/18/01	1666	Myelin Project		-5,000 00
5/28/02	1773	Nancy Beth Rautenberg Memoria	In Memory of Molly	-2,500 00
2/4/02	1016	National Aphasia Association		-750 00
3/7/02	1025	National Center for Learning Dis		-2,500 00
12/18/01	1693	National Jewish Hospital	Pledge Dick Bluest	-50,000 00
9/20/01	2147	Nature Conservacy Latin Amen		-3,000 00
12/18/01	1667	Nature Conservancy of Long Isla		-10,000 00
8/17/01	1614	New England Aquarium	Pledge/ IMAX	-250,000 00
11/19/01	1635	New England Aquarium	Dedication	-45,000 00
11/19/01	1634	New England Aquarium	Pledge/ IMAX	-750,000 00
12/18/01	1668	New England Aquarium	Annual	-10,000 00
12/18/01	1669	New York Public Library		-10,000 00
1/24/02	1008	North American Racewalking Ins	Dad's	-500 00
11/30/01	1637	North Shore Child&Family Guida		-2,500 00
12/18/01	1670	North Shore Child&Family Guida		-10,000 00
12/18/01	1671	North Shore Pro Musika		-1,000 00
9/20/01	2144	Nursing Mother's Counsel National		-2,000 00
9/20/01	2143	Nursing Mother's Counsel Santa		-2,000 00
12/18/01	1672	Oberon Foundation		-1,000 00
9/20/01	2145	OICW		-5,000 00
5/8/02	1761	Oregon Shakespere Festival		-1,000 00
1/24/02	1013	P S 20 School Fund	Jill Lafer	-1,000 00
8/31/01	1620	Park Avenue Synagogue	Kil Nidre	-10,000 00
12/18/01	1694	Park Avenue Synagogue		-50,000 00
3/7/02	1026	Park Avenue Synagogue Welfare		-5,000 00
12/18/01	1673	Parkside School	Pledge	-10,000 00
6/4/02	1777	Planned Parenthood		-5,000 00
7/18/01	1601	Planned Parenthood Hudson Peco	Stony Brook Benefit	-5,000 00
3/21/02	1033	Planned Parenthood Hudson Peco	Wine Auction	-2,500 00
5/8/02	1769	Project Open Hand		-5,000 00
1/24/02	1010	Randall's Island Sports Foundation	Linda Gelfond	-5,000 00
10/19/01	1626	Resources for Children with Spe		-2,000 00
3/1/02	1153	Resources for Children with Spe		-71 00
3/21/02	1034	Resources for Children with Spe		-1,000 00
4/18/02	1047	Rheedlen		-5,000 00
12/18/01	1696	Rockefeller University	Annual	-50,000 00
12/18/01	1697	Rockefeller University	Women in Science	-50,000 00
12/18/01	1695	Rockefeller University	Pledge	-1,000,000 00
12/18/01	1674	Ronald McDonald House		-1,000 00
12/18/01	1675	Sam Gyenes Fund		-1,000 00
12/18/01	1676	Sarah Lawrence College		-2,500 00
1/24/02	1011	SB Foundation/Laugh Well		-10,000 00

Transaction Detail Report
7/1/01 through 6/30/02

Date	Num	Payee	Memo	Amount
4/7/02	1041	SBF Amie R Haynes Memorial F		-1,000 00
7/18/01	1602	Schechter Institute of Jewish St		-1,000 00
12/18/01	1677	Schomberg Center/ NYPL		-1,000 00
9/20/01	2142	Second Harvest Food Bank		-5,000 00
12/18/01	1678	Setauket Volunteer Fire Dept		-500 00
5/8/02	1753	SF Exploratorium		-500 00
4/7/02	1038	SIUH Systems	Nalitt Center	-1,000 00
3/7/02	1023	South Fork Breast Health Coalition		-5,000 00
8/17/01	1615	Stony Brook Foundation	Math Fellows	-139,730 00
8/31/01	1621	Stony Brook Foundation	Joel Kenny	-200,000 00
11/30/01	1639	Stony Brook Foundation	Math Party	-27,502 00
12/18/01	1699	Stony Brook Foundation	Math Party	-77,500 00
6/26/02	1793	Stony Brook Foundation		-24,216 60
11/30/01	1640	Stony Brook Foundation/Jazz Fe	Jazz Festival Staller	-5,000 00
12/18/01	1698	Stony Brook School	Pledge	-55,000 00
5/23/02	1772	Stony Brook School	Pledge	-25,000 00
4/29/02	EFT	Stonybrook University		-250,000 00
12/18/01	1685	The Churchill School and Center	Annual	-100,000 00
12/18/01	1686	The Churchill School and Center	Churchill torch	-10,000 00
12/18/01	1684	The Churchill School and Center	Capital	-500,000 00
3/7/02	1029	The Churchill School Parents As		-10,000 00
3/21/02	1032	The Churchill School Parents As		-380 00
5/8/02	1760	The Edible School Yard		-500 00
12/20/01	1703	The Nature Conservancy - mash		-5,000 00
5/8/02	1766	The Oakland Zoo		-1,000 00
3/7/02	1028	The Resource Foundation, Inc		-2,500 00
12/18/01	1680	Three Village Historical Society		-500 00
6/4/02	1774	Tifereth Isreal Rabbinical Yeshiva	Fund for the Needy	-5,000 00
8/1/01	1608	Torah Academy of Suffolk County		-50,000 00
5/30/02	TXFR	Transfer by Diane	Stonybrook Math Pa	-6,500 00
6/4/02	TXFR	Transfer by Diane	Stonybrook Math Pa	1,000 00
9/20/01	2141	Trust for Public Land		-5,000 00
5/8/02	1755	UC Berkeley	UC Berkeley Educati	-2,000 00
5/8/02	1765	UC Berkeley		-500 00
5/8/02	1764	UC Berkeley		-500 00
5/8/02	1754	UC Berkeley	Undergrad Scholars	-3,000 00
5/8/02	1752	UCSF Foundation		-5,000 00
11/7/01	1631	UJA Federation	Marc Utay	-5,000 00
12/18/01	1681	University of Wisconsin	Libraries	-2,500 00
12/18/01	1682	Ward Melville Heritage Organiza		-5,000 00
4/7/02	1039	Ward Melville Heritage Organiza		-10,000 00
12/18/01	1700	Westbury Friends School / Libra	Ida Edelman	-16,500 00
3/7/02	1020	YES Reading		-5,000 00
Total 7/1/01 - 6/30/02				-8,244,207 54

STATE OF NEW YORK
County of New York, *s:*

PUBLIC NOTICES

THE ANNUAL RETURN OF THE SIMONS FOUNDATION for the fiscal year ended June 30, 2002 is available at its principal office located at [illegible] Street, New York, NY 10003 for inspection during regular business hours by any citizen who requests it within 180 days hereof. Principal Manager of the Foundation is MARILYN SIMONS

Indera Sookha, being duly sworn, says that she is the PRINCIPAL CLERK of the Publisher of the **NEW YORK LAW JOURNAL**, a Daily Newspaper; that the Advertisement hereto annexed has been published in the said **NEW YORK LAW JOURNAL** one time on the 30th day of April, 2003.

I Sookha

TO WIT APRIL 30, 2003

SWORN TO BEFORE ME, this 30th day }
Of April, 2003.

Cynthia Byrd

Cynthia Byrd
Notary Public, State of New York
No. 01BY6056945
Qualified in Kings County
Commission Expires April 09, 2007

• If you are filing for an **Additional (not automatic) 3-Month Extension, complete only Part II** and check this box ▶ [X]

Note *Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.*

• If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1)

Part II **Additional (not automatic) 3-Month Extension of Time — Must File Original and One Copy.**

Type or print		
File by the extended due date for filing the return See instructions	Name of Exempt Organization: The Simons Foundation	Employer identification number: 13-3794889
	Number, street, and room or suite no If a P O box, see instructions: c/o Renaissance 800 Third Ave 33rd fl	For IRS use only
	City, town or post office, state, and ZIP code For a foreign address, see instructions: New York, New York 10022	

Check type of return to be filed (File a separate application for each return)

[ ] Form 990 [ ] Form 990-EZ [ ] Form 990-T (sec 401(a) or 408(a) trust) [ ] Form 1041-A [ ] Form 5227 [ ] Form 8870
[ ] Form 990-BL [X] Form 990-PF [ ] Form 990-T (trust other than above) [ ] Form 4720 [ ] Form 6069

STOP Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868

• If the organization does **not** have an office or place of business in the United States, check this box ▶ [ ]

• If this is for a **Group Return**, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the **whole** group, check this box ▶ [ ] If it is for **part** of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until May 15, 20 03

5 For calendar year ______, or other tax year beginning July 1, 20 01 and ending June 30, 20 02

6 If this tax year is for less than 12 months, check reason [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension Information required to complete return not available by due date

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions $

b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868 $

c **Balance Due** Subtract line 8b from line 8a Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions $

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements and to the best of my knowledge and belief, it is true correct, and complete, and that I am authorized to prepare this form

Signature ▶ [signature] ATTY Title ▶ Date ▶ 2/14/03

Notice to Applicant — To Be Completed by the IRS

[ ] **We have** approved this application Please attach this form to the organization's return

[ ] **We have not** approved this application However, we have granted a 10-day grace period from the later of the date shown below or the due date of the organization's return (including any prior extensions) This grace period is considered to be a valid extension of time for elections otherwise required to be made on a timely return Please attach this form to the organization's return

[ ] **We have not** approved this application After considering the reasons stated in item 7, we cannot grant your request for an extension of time to file We are not granting a 10-day grace period

[ ] **We cannot consider** this application because it was filed after the due date of the return for which an extension was requested

[ ] Other ______

______ By ______ ______
Director Date

Alternate Mailing Address — Enter the address if you want the copy of this application for an additional 3-month extension returned to an address different than the one entered above

Type or print	
	Name
	Number and street (include suite, room, or apt no) Or a P O box number
	City or town, province or state, and country (including postal or ZIP code)