Form 990 — Return of Organization Exempt From Income Tax

Department of the Treasury
Internal Revenue Service

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements

OMB No 1545 0047

2001

Open to Public Inspection

A For the 2001 calendar year, or tax year beginning 10/01, 2001, and ending 09/30/2002

B Check if applicable: Address change ☐ Name change ☐ Initial return ☐ Final return ☐ Amended return ☐ Application pending ☐

Please use IRS label or print or type. See Specific Instructions.

C Name of organization: AMERICAN SOCIETY OF CIVIL ENGINEERS, INC

Number and street (or P O box if mail is not delivered to street address): 1801 ALEXANDER BELL DRIVE — Room/suite:

City or town, state or country and ZIP + 4: RESTON, VA 20191

D Employer identification number: 13-1635293

E Telephone number: (703) 295-6118

F Accounting method: ☐ Cash [X] Accrual ☐ Other (specify) ▶

● Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A (Form 990 or 990-EZ)

H and I are not applicable to section 527 organizations

H(a) Is this a group return for affiliates? ☐ Yes [X] No

H(b) If "Yes," enter number of affiliates ▶ N/A

H(c) Are all affiliates included? N/A ☐ Yes ☐ No (If "No," attach a list See instructions)

H(d) Is this a separate return filed by an organization covered by a group ruling? ☐ Yes [X] No

G Web site ▶ WWW.ASCE ORG

J Organization type (check only one) ▶ [X] 501(c) (3) ◀ (insert no) ☐ 4947(a)(1) or ☐ 527

K Check here ▶ ☐ if the organization's gross receipts are normally not more than $25 000 The organization need not file a return with the IRS, but if the organization received a Form 990 Package in the mail, it should file a return without financial data **Some states require a complete return**

I Enter 4-digit GEN ▶

M Check ▶ ☐ if the organization is not required to attach Sch B (Form 990, 990-EZ or 990-PF)

L Gross receipts Add lines 6b, 8b, 9b, and 10b to line 12 ▶ 62,963,395

Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances (See Specific Instructions on page 16)

Revenue	1	Contributions, gifts, grants, and similar amounts received STMT 1				
	a	Direct public support	1a	946,933.		
	b	Indirect public support	1b			
	c	Government contributions (grants)	1c	1,290,352		
	d	Total (add lines 1a through 1c) (cash $ 2,237,285. noncash $ NONE)			1d	2,237,285
	2	Program service revenue including government fees and contracts (from Part VII, line 93)			2	20,846,083
	3	Membership dues and assessments			3	14,151,839
	4	Interest on savings and temporary cash investments			4	37,931
	5	Dividends and interest from securities			5	714,567.
	6a	Gross rents	6a	14,000.		
	b	Less rental expenses	6b			
	c	Net rental income or (loss) (subtract line 6b from line 6a)			6c	14,000
	7	Other investment income (describe)			7	

		(A) Securities		(B) Other		
8a	Gross amount from sales of assets other than inventory	19,292,610	8a			
b	Less cost or other basis and sales expenses	21,457,795	8b			
c	Gain or (loss) (attach schedule) STMT 24	-2,165,185	8c			
d	Net gain or (loss) (combine line 8c, columns (A) and (B))				8d	-2,165,185

Revenue	9	Special events and activities (attach schedule)				
	a	Gross revenue (not including $ ____ of contributions reported on line 1a)	9a			
	b	Less direct expenses other than fundraising expenses	9b			
	c	Net income or (loss) from special events (subtract line 9b from line 9a)			9c	
	10a	Gross sales of inventory less returns and allowances STMT 2	10a	2,232,216		
	b	Less cost of goods sold STMT 3	10b	1,398,853		
	c	Gross profit or (loss) from sales of inventory (attach schedule) (subtract line 10b from line 10a)			10c	833,363
	11	Other revenue (from Part VII, line 103)			11	3,436,864
	12	**Total revenue** (add lines 1d, 2, 3, 4, 5, 6c, 7, 8d, 9c, 10c, and 11)			12	40,106,747
Expenses	13	Program services (from line 44, column (B))			13	41,282,131
	14	Management and general (from line 44, column (C))			14	7,102,163
	15	Fundraising (from line 44 column (D))			15	
	16	Payments to affiliates (attach schedule) STMT 4			16	300,000
	17	**Total expenses** (add lines 16 and 44 column (A))			17	48,684,294
Net Assets	18	Excess or (deficit) for the year (subtract line 17 from line 12)			18	-8,577,547
	19	Net assets or fund balances at beginning of year (from line 73 column (A))			19	19,978,367
	20	Other changes in net assets or fund balances (attach explanation) STMT 5			20	817,656
	21	Net assets or fund balances at end of year (combine lines 18, 19, and 20)			21	12,218,476

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Part II Statement of Functional Expenses All organizations must complete column (A) Columns (B), (C) and (D) are required for section 501(c)(3) and (4) organizations and section 4947(a)(1) nonexempt charitable trusts but optional for others (See Specific Instructions on page 21)

Do not include amounts reported on line 6b, 8b 9b 10b or 16 of Part I		(A) Total	(B) Program services	(C) Management and general	(D) Fundraising
22 Grants and allocations (attach schedule) (cash $ noncash $)	22				
23 Specific assistance to individuals (attach schedule)	23				
24 Benefits paid to or for members (attach schedule)	24				
25 Compensation of officers, directors, etc	25	383,676.	345,308	38,368.	
26 Other salaries and wages	26	12,878,958	11,848,641.	1,030,317	
27 Pension plan contributions	27	3,149,438	344,951	2,804,487	
28 Other employee benefits	28	1,906,576	1,715,919.	190,657.	
29 Payroll taxes	29	1,123,490	1,011,141.	112,349	
30 Professional fundraising fees	30				
31 Accounting fees	31	130,008	117,007.	13,001.	
32 Legal fees	32	33,354	30,019.	3,335.	
33 Supplies	33	434,516	391,064	43,452	
34 Telephone	34	449,793	404,814	44,979	
35 Postage and shipping	35	2,678,723.	2,009,042	669,681.	
36 Occupancy	36	2,460,770.	2,114,693	346,077	
37 Equipment rental and maintenance	37	715,895	644,306.	71,589	
38 Printing and publications	38	6,226,196	5,503,576.	722,620	
39 Travel	39	2,137,081	1,923,373.	213,708.	
40 Conferences, conventions, and meetings	40	4,755,390	4,138,949	616,441.	
41 Interest	41	610,829	549,746	61,083.	
42 Depreciation, depletion, etc (attach schedule) STMT 6	42	1,200,192	1,080,173	120,019	
43 Other expenses not covered above (itemize) STMT 7	43a	7,109,409	7,109,409		
b	43b				
c	43c				
d	43d				
e	43e				
44 **Total functional expenses** (add lines 22 through 43) *Organizations completing columns (B)-(D), carry these totals to lines 13-15*	44	48,384,294.	41,282,131	7,102,163	

Joint Costs Check ▶ ☐ if you are following SOP 98-2

Are any joint costs from a combined educational campaign and fundraising solicitation reported in (B) Program services? ▶ ☐ Yes ☒ No

If "Yes," enter (i) the aggregate amount of these joint costs $ ______, (ii) the amount allocated to Program services $ ______

(iii) the amount allocated to Management and general $ ______, and (iv) the amount allocated to Fundraising $ ______

Part III Statement of Program Service Accomplishments (See Specific Instructions on page 24)

What is the organization's primary exempt purpose? ▶ STMT 8

All organizations must describe their exempt purpose achievements in a clear and concise manner State the number of clients served, publications issued, etc Discuss achievements that are not measurable (Section 501(c)(3) and (4) organizations and 4947(a)(1) nonexempt charitable trusts must also enter the amount of grants and allocations to others)

	Program Service Expenses (Required for 501(c)(3) and (4) orgs , and 4947(a)(1) trusts but optional for others)
a STMT 9 (Grants and allocations $)	11,273,172
b STMT 9 (Grants and allocations $)	5,531,298
c STMT 9 (Grants and allocations $)	7,516,189
d STMT 9 (Grants and allocations $)	3,102,924
e Other program services (attach schedule) STMT 10 (Grants and allocations $)	13,858,548
f **Total of Program Service Expenses** (should equal line 44, column (B), Program services) ▶	41,282,131

Part IV Balance Sheets (See Specific Instructions on page 24)

Note	Where required, attached schedules and amounts within the description column should be for end-of-year amounts only			(A) Beginning of year		(B) End of year
Assets						
45	Cash - non-interest-bearing			600	45	500
46	Savings and temporary cash investments			2,412,083	46	2,981,192.
47a	Accounts receivable	47a	2,607,323.			
b	Less allowance for doubtful accounts	47b	NONE	2,289,667	47c	2,607,323
48a	Pledges receivable	48a	-400,000.			
b	Less allowance for doubtful accounts	48b	NONE	-300,000.	48c	-400,000.
49	Grants receivable				49	
50	Receivables from officers, directors, trustees, and key employees (attach schedule)				50	
51a	Other notes and loans receivable (attach schedule)	51a				
b	Less allowance for doubtful accounts	51b			51c	
52	Inventories for sale or use			897,409	52	861,219
53	Prepaid expenses and deferred charges			1,466,421.	53	1,800,416
54	Investments - securities (attach schedule) STMT 11 ▶ ☐ Cost ☒ FMV			22,237,044.	54	17,984,973.
55a	Investments - land, buildings, and equipment basis	55a				
b	Less accumulated depreciation (attach schedule)	55b			55c	
56	Investments - other (attach schedule)				56	
57a	Land, buildings, and equipment basis	57a	12,657,727.			
b	Less accumulated depreciation (attach schedule) STMT 6	57b	8,376,973.	4,267,296.	57c	4,280,754
58	Other assets (describe ▶ STMT 12)			465,778	58	597,606.
59	**Total assets** (add lines 45 through 58) (must equal line 74)			33,736,298	59	30,713,983
Liabilities						
60	Accounts payable and accrued expenses			4,608,630	60	4,864,157.
61	Grants payable				61	
62	Deferred revenue			5,884,335.	62	7,099,183.
63	Loans from officers, directors, trustees, and key employees (attach schedule)				63	
64a	Tax-exempt bond liabilities (attach schedule)				64a	
b	Mortgages and other notes payable (attach schedule)				64b	
65	Other liabilities (describe ▶ STMT 13)			3,264,966	65	6,532,167.
66	**Total liabilities** (add lines 60 through 65)			13,757,931	66	18,495,507
Net Assets or Fund Balances						
	Organizations that follow SFAS 117, check here ▶ ☒ and complete lines 67 through 69 and lines 73 and 74					
67	Unrestricted			13,838,988	67	7,524,062.
68	Temporarily restricted			4,530,526	68	3,024,561.
69	Permanently restricted			1,608,853.	69	1,669,853
	Organizations that do not follow SFAS 117, check here ▶ ☐ and complete lines 70 through 74					
70	Capital stock, trust principal, or current funds				70	
71	Paid-in or capital surplus, or land, building, and equipment fund				71	
72	Retained earnings, endowment, accumulated income, or other funds				72	
73	**Total net assets or fund balances** (add lines 67 through 69 OR lines 70 through 72, column (A) **must** equal line 19, and column (B) **must** equal line 21)			19,978,367	73	12,218,476
74	**Total liabilities and net assets / fund balances** (add lines 66 and 73)			33,736,298	74	30,713,983

Form 990 is available for public inspection and, for some people, serves as the primary or sole source of information about a particular organization How the public perceives an organization in such cases may be determined by the information presented on its return Therefore, please make sure the return is complete and accurate and fully describes, in Part III, the organization's programs and accomplishments

Part IV-A Reconciliation of Revenue per Audited Financial Statements with Revenue per Return (See Specific Instructions, page 26.)

a	Total revenue, gains, and other support per audited financial statements ▶	a	42,323,256
b	Amounts included on line a but not on line 12, Form 990		
(1)	Net unrealized gains on investments $ 817,656		
(2)	Donated services and use of facilities $		
(3)	Recoveries of prior year grants $		
(4)	Other (specify) $		
	Add amounts on lines (1) through (4) ▶	b	817,656
c	Line a minus line b ▶	c	41,505,600.
d	Amounts included on line 12, Form 990 but not on line a		
(1)	Investment expenses not included on line 6b, Form 990 $		
(2)	Other (specify) STMT 14 $ -1,398,853		
	Add amounts on lines (1) and (2) ▶	d	-1,398,853.
e	Total revenue per line 12, Form 990 (line c plus line d) ▶	e	40,106,747

Part IV-B Reconciliation of Expenses per Audited Financial Statements with Expenses per Return

a	Total expenses and losses per audited financial statements ▶	a	50,083,147.
b	Amounts included on line a but not on line 17, Form 990		
(1)	Donated services and use of facilities $		
(2)	Prior year adjustments reported on line 20, Form 990 $		
(3)	Losses reported on line 20, Form 990 $		
(4)	Other (specify) $		
	Add amounts on lines (1) through (4) ▶	b	
c	Line a minus line b ▶	c	50,083,147
d	Amounts included on line 17, Form 990 but not on line a		
(1)	Investment expenses not included on line 6b, Form 990 $		
(2)	Other (specify) STMT 15 $ -1,398,853		
	Add amounts on lines (1) and (2) ▶	d	-1,398,853.
e	Total expenses per line 17, Form 990 (line c plus line d) ▶	e	48,684,294

Part V List of Officers, Directors, Trustees, and Key Employees (List each one even if not compensated, see Specific Instructions on page 26.)

(A) Name and address	(B) Title and average hours per week devoted to position	(C) Compensation (If not paid, enter -0-.)	(D) Contributions to employee benefit plans & deferred compensation	(E) Expense account and other allowances
SEE STATEMENT 16		383,676	40,263.	NONE

75 Did any officer, director, trustee, or key employee receive aggregate compensation of more than $100,000 from your organization and all related organizations, of which more than $10 000 was provided by the related organizations? ▶ ☐ Yes ☒ No
If "Yes," attach schedule - see Specific Instructions on page 27

Part VI Other Information (See Specific Instructions on page 27.)

		Line	Yes	No
76	Did the organization engage in any activity not previously reported to the IRS? If "Yes," attach a detailed description of each activity	76		X
77	Were any changes made in the organizing or governing documents but not reported to the IRS? If "Yes," attach a conformed copy of the changes	77		X
78a	Did the organization have unrelated business gross income of $1,000 or more during the year covered by this return?	78a	X	
b	If "Yes," has it filed a tax return on Form 990-T for this year?	78b	X	
79	Was there a liquidation, dissolution, termination, or substantial contraction during the year? If "Yes," attach a statement	79		X
80a	Is the organization related (other than by association with a statewide or nationwide organization) through common membership, governing bodies, trustees, officers, etc., to any other exempt or nonexempt organization?	80a	X	
b	If "Yes," enter the name of the organization ▶ AMERICAN SOCIETY OF CIVIL ENGINEERS FOUNDATION, INC. and check whether it is [X] exempt OR [ ] nonexempt			
81a	Enter direct or indirect political expenditure. See line 81 instructions — 81a: NONE			
b	Did the organization file Form 1120-POL for this year?	81b		X
82a	Did the organization receive donated services or the use of materials, equipment, or facilities at no charge or at substantially less than fair rental value?	82a	X	
b	If "Yes," you may indicate the value of these items here. Do not include this amount as revenue in Part I or as an expense in Part II. (See instructions in Part III.) — 82b: NOT VALUED			
83a	Did the organization comply with the public inspection requirements for returns and exemption applications?	83a	X	
b	Did the organization comply with the disclosure requirements relating to quid pro quo contributions?	83b	X	
84a	Did the organization solicit any contributions or gifts that were not tax deductible?	84a	N/A	
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	84b	N/A	
85	*501(c)(4), (5), or (6) organizations.* a Were substantially all dues nondeductible by members?	85a	N/A	
b	Did the organization make only in-house lobbying expenditures of $2,000 or less?	85b	N/A	
	If "Yes" was answered to either 85a or 85b, **do not** complete 85c through 85h below unless the organization received a waiver for proxy tax owed for the prior year.			
c	Dues, assessments, and similar amounts from members — 85c: N/A			
d	Section 162(e) lobbying and political expenditures — 85d: N/A			
e	Aggregate nondeductible amount of section 6033(e)(1)(A) dues notices — 85e: N/A			
f	Taxable amount of lobbying and political expenditures (line 85d less 85e) — 85f: N/A			
g	Does the organization elect to pay the section 6033(e) tax on the amount in 85f?	85g		X
h	If section 6033(e)(1)(A) dues notices were sent, does the organization agree to add the amount in 85f to its reasonable estimate of dues allocable to nondeductible lobbying and political expenditures for the following tax year?	85h	N/A	
86	*501(c)(7) orgs.* Enter: a Initiation fees and capital contributions included on line 12 — 86a: N/A			
b	Gross receipts, included on line 12, for public use of club facilities — 86b: N/A			
87	*501(c)(12) orgs.* Enter: a Gross income from members or shareholders — 87a: N/A			
b	Gross income from other sources. (Do not net amounts due or paid to other sources against amounts due or received from them.) — 87b: N/A			
88	At any time during the year, did the organization own a 50% or greater interest in a taxable corporation or partnership, or an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Part IX	88		X
89a	501(c)(3) organizations. Enter: Amount of tax imposed on the organization during the year under section 4911 ▶ NONE; section 4912 ▶ NONE; section 4955 ▶ NONE			
b	*501(c)(3) and 501(c)(4) orgs.* Did the organization engage in any section 4958 excess benefit transaction during the year or did it become aware of an excess benefit transaction from a prior year? If "Yes," attach a statement explaining each transaction	89b		X
c	Enter: Amount of tax imposed on the organization managers or disqualified persons during the year under sections 4912, 4955, and 4958 ▶ NONE			
d	Enter: Amount of tax on line 89c, above, reimbursed by the organization ▶ N/A			

90a List the states with which a copy of this return is filed ▶ NEW YORK AND VIRGINIA

b Number of employees employed in the pay period that includes March 12, 2001 (See instructions) — 90b: 225

91 The books are in care of ▶ AMER. SOC. OF CIVIL ENGINEERS Telephone no. ▶ (703) 295-6000

Located at ▶ 1801 ALEXANDER BELL DR, RESTON, VA ZIP + 4 ▶ 22091

92 *Section 4947(a)(1) nonexempt charitable trusts filing Form 990 in lieu of* **Form 1041** - Check here ▶ [ ]

and enter the amount of tax-exempt interest received or accrued during the tax year ▶ 92: N/A

Part VII Analysis of Income-Producing Activities (See Specific Instructions on page 32.)

Note: Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(E) Related or exempt function income
	(A) Business code	(B) Amount	(C) Exclusion code	(D) Amount	
93 Program service revenue:					
a PUBLICATION SALES	541800	2,393,954.			7,295,000
b MEETINGS & EXHIBIT					2,515,051
c SPECIALTY CONF					1,645,091
d CONTINUING EDUC					4,481,191.
e ADVERTISING					2,515,796
f Medicare/Medicaid payments					
g Fees and contracts from government agencies					
94 Membership dues and assessments					14,151,839.
95 Interest on savings and temporary cash investments			14	37,931	
96 Dividends and interest from securities			14	714,567	
97 Net rental income or (loss) from real estate:					
a debt-financed property					
b not debt-financed property			16	14,000	
98 Net rental income or (loss) from personal property					
99 Other investment income					
100 Gain or (loss) from sales of assets other than inventory			18	-2,165,185	
101 Net income or (loss) from special events					
102 Gross profit or (loss) from sales of inventory			18	833,363.	
103 Other revenue: a					
b ROYALTIES			15	1,758,531.	
c LABEL SALES			15	713,307	
d OTHER PROG INCOME					965,026
e					
104 Subtotal (add columns (B), (D), and (E))		2,393,954		1,906,514	33,568,994.

105 Total (add line 104, columns (B), (D), and (E)) ▶ 37,869,462

Note: *Line 105 plus line 1d, Part I, should equal the amount on line 12, Part I.*

Part VIII Relationship of Activities to the Accomplishment of Exempt Purposes (See Specific Instructions on page 32.)

Line No. ▼	Explain how each activity for which income is reported in column (E) of Part VII contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes).
	STMT 17

Part IX Information Regarding Taxable Subsidiaries and Disregarded Entities (See Specific Instructions on page 33.)

(A) Name, address, and EIN of corporation, partnership, or disregarded entity	(B) Percentage of ownership interest	(C) Nature of activities	(D) Total income	(E) End-of-year assets
N/A	%			
	%			
	%			
	%			

Part X Information Regarding Transfers Associated with Personal Benefit Contracts (See Specific Instructions on page 33.)

(a) Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

(b) Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

Note: *If "Yes" to (b), file Form 8870 and Form 4720 (see instructions).*

Please Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

▶ Patrick J. Natale — 5-15-03

Signature of officer — Date

▶ Patrick J Natale Chief Executive Officer

Type or print name and title

Paid Preparer's Use Only

Preparer's signature ▶	Date 5/14/03	Check if self-employed ▶ ☐
Preparer's SSN or PTIN (See Gen. Inst. W)	P00288314	
Firm's name (or yours if self-employed), address, and ZIP + 4 ▶	KPMG LLP 2001 M STREET, NW WASHINGTON, DC 20036	EIN ▶ 13-5565207 Phone no. ▶ 202-533-3000

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Organization Exempt Under Section 501(c)(3)

(Except Private Foundation) and Section 501(e), 501(f), 501(k), 501(n), or Section 4947(a)(1) Nonexempt Charitable Trust

Supplementary Information - (See separate instructions)

▶ **MUST be completed by the above organizations and attached to their Form 990 or 990-EZ**

OMB No 1545-0047

2001

Name of the organization: AMERICAN SOCIETY OF CIVIL ENGINEERS, INC

Employer identification number: 13-1635293

Part I **Compensation of the Five Highest Paid Employees Other Than Officers, Directors, and Trustees**
(See page 1 of the instructions List each one If there are none, enter "None ")

(a) Name and address of each employee paid more than $50 000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances
LAWRENCE ROTH CAN BE CONTACTED C/O ASCE	COO 40	221,585	20,067	NONE
JOHN WYRICK CAN BE CONTACTED C/O ASCE	SENIOR MANAGER 40	151,525.	10,814	NONE
JOSEPH DEFIGLIA CAN BE CONTACTED C/O ASCE	CIO 40	153,870	15,739	NONE
CHARLES DINJES CAN BE CONTACTED C/O ASCE	MANAGING DIRECTOR 40	160,461.	18,561	NONE
STANLEY TUCKER CAN BE CONTACTED C/O ASCE	CFO 40	151,399.	17,080.	NONE
Total number of other employees paid over $50,000 ▶	64			

Part II **Compensation of the Five Highest Paid Independent Contractors for Professional Services**
(See page 2 of the instructions List each one (whether individuals or firms) If there are none, enter "None ")

(a) Name and address of each independent contractor paid more than $50,000	(b) Type of service	(c) Compensation
KPMG LLP 2001 M ST, NW, WASHINGTON, DC 20036	ACCOUNTING	97,110.
Total number of others receiving over $50,000 for professional services ▶	NONE	

For Paperwork Reduction Act Notice, see the Instructions for Form 990 and Form 990-EZ

Schedule A (Form 990 or 990-EZ) 2001

JSA
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Part III Statements About Activities (See page 2 of the instructions)

			Yes	No
1	During the year, has the organization attempted to influence national, state, or local legislation including any attempt to influence public opinion on legislative matter or referendum? If "Yes," enter the total expenses paid or incurred in connection with the lobbying activities ▶ $ 159,690 (Must equal amount on line 38, Part VI-A, or line i or Part VI-B)	1	X	
	Organizations that made an election under section 501(h) by filing Form 5768 must complete Part VI-A Other organizations checking "Yes," must complete Part VI-B AND attach a statement giving a detailed description of the lobbying activities			
2	During the year, has the organization, either directly or indirectly, engaged in any of the following acts with any substantial contributors, trustees directors, officers creators, key employees or members of their families or with any taxable organization with which any such person is affiliated as an officer director, trustee, majority owner, or principal beneficiary? *(If the answer to any question is "Yes," attach a detailed statement explaining the transactions)*			
a	Sale exchange, or leasing of property?	2a		X
b	Lending of money or other extension of credit?	2b		X
c	Furnishing of goods, services or facilities?	2c		X
d	Payment of compensation (or payment or reimbursement of expenses if more than $1 000)? FORM 990, PART V	2d	X	
e	Transfer of any part of its income or assets?	2e		X
3	Does the organization make grants for scholarships, fellowships, student loans, etc ? (See **Note** below)	3		X
4	Do you have a section 403(b) annuity plan for your employees?	4	X	

Note *Attach a statement to explain how the organization determines that individuals or organizations receiving grants or loans from it in furtherance of its charitable programs "qualify" to receive payments*

Part IV Reason for Non-Private Foundation Status (See pages 3 through 6 of the instructions)

The organization is not a private foundation because it is (Please check only **ONE** applicable box.)

5 ☐ A church, convention of churches, or association of churches Section 170(b)(1)(A)(i)

6 ☐ A school Section 170(b)(1)(A)(ii) (Also complete Part V)

7 ☐ A hospital or a cooperative hospital service organization Section 170(b)(1)(A)(iii)

8 ☐ A Federal, state, or local government or governmental unit Section 170(b)(1)(A)(v)

9 ☐ A medical research organization operated in conjunction with a hospital Section 170(b)(1)(A)(iii) **Enter the hospital's name, city, and state** ▶

10 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit Section 170(b)(1)(A)(iv) (Also complete the **Support Schedule** in Part IV-A)

11a ☐ An organization that normally receives a substantial part of its support from a governmental unit or from the general public Section 170(b)(1)(A)(vi) (Also complete the **Support Schedule** in Part IV-A)

11b ☐ A community trust Section 170(b)(1)(A)(vi) (Also complete the **Support Schedule** in Part IV-A)

12 ☒ An organization that normally receives **(1) more than 33 1/3%** of its support from contributions, membership fees, and gross receipts from activities related to its charitable, etc , functions - subject to certain exceptions, and **(2) no more than 33 1/3%** of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See section 509(a)(2) (Also complete the **Support Schedule** in Part IV-A)

13 ☐ An organization that is not controlled by any disqualified persons (other than foundation managers) and supports organizations described in **(1)** lines 5 through 12 above, or **(2)** section 501(c)(4) (5), or (6) if they meet the test of section 509(a)(2) (See section 509(a)(3))

Provide the following information about the supported organizations (See page 5 of the instructions)

(a) Name(s) of supported organization(s)	(b) Line number from above

14 ☐ An organization organized and operated to test for public safety Section 509(a)(4) (See page 6 of the instructions)

Part IV-A Support Schedule (Complete only if you checked a box on line 10, 11, or 12) *Use cash method of accounting*

Note *You may use the worksheet in the instructions for converting from the accrual to the cash method of accounting*

Calendar year (or fiscal year beginning in) ▶	(a) 2000	(b) 1999	(c) 1998	(d) 1997	(e) Total
15 Gifts grants, and contributions received (Do not include unusual grants See line 28)	2,343,071	2,598,033.	1,998,367	1,690,811	8,630,282
16 Membership fees received	13,326,336	11,612,518.	11,708,384	11,418,113	48,065,351
17 Gross receipts from admissions, merchandise sold or services performed, or furnishing of facilities in any activity that is related to the organization's charitable, etc , purpose	22,121,188	19,794,511.	28,682,979.	17,656,969.	88,255,647
18 Gross income from interest, dividends, amounts received from payments on securities loans (section 512(a)(5)), rents, royalties and unrelated business taxable income (less section 511 taxes) from businesses acquired by the organization after June 30, 1975	3,540,156	6,471,038.	3,414,912.	5,701,690.	19,127,796
19 Net income from unrelated business activities not included in line 18					
20 Tax revenues levied for the organization's benefit and either paid to it or expended on its behalf					
21 The value of services or facilities furnished to the organization by a governmental unit without charge Do not include the value of services or facilities generally furnished to the public without charge					
22 Other income Attach a schedule Do not include gain or (loss) from sale of capital assets					
23 Total of lines 15 through 22	41,330,751	40,476,100.	45,804,642.	36,467,583	164079076
24 Line 23 minus line 17	19,209,563	20,681,589.	17,121,663.	18,810,614.	75,823,429
25 Enter 1% of line 23	413,308	404,761.	458,046.	364,676	

26 **Organizations described on lines 10 or 11** a Enter 2% of amount in column (e), line 24 NOT APPLICABLE ▶ 26a

b Prepare a list for your records to show the name of and amount contributed by each person (other than a governmental unit or publicly supported organization) whose total gifts for 1997 through 2000 exceeded the amount shown in line 26a **Do not file this list with your return** Enter the total of all these excess amounts ▶ 26b

c Total support for section 509(a)(1) test Enter line 24, column (e) ▶ 26c

d Add Amounts from column (e) for lines 18 ______ 19 ______ 22 ______ 26b ______ ▶ 26d

e Public support (line 26c minus line 26d total) ▶ 26e

f **Public support percentage (line 26e (numerator) divided by line 26c (denominator))** ▶ 26f %

27 **Organizations described on line 12** a For amounts included in lines 15, 16 and 17 that were received from a "disqualified person," prepare a list for your records to show the name of, and total amounts received in each year from, each "disqualified person " **Do not file this list with your return** Enter the sum of such amounts for each year

STMT 18 STMT 19 STMT 20 STMT 21

(2000) 5,425 (1999) 4,935 (1998) 4,935 (1997) 4,480

b For any amount included in line 17 that was received from each person (other than "disqualified persons"), prepare a list for your records to show the name of, and amount received for each year that was more than the larger of (1) the amount on line 25 for the year or (2) $5,000 (Include in the list organizations described in lines 5 through 11, as well as individuals) **Do not file this list with your return** After computing the difference between the amount received and the larger amount described in (1) or (2), enter the sum of these differences (the excess amounts) for each year

(2000) NONE (1999) NONE (1998) NONE (1997) NONE

c Add Amounts from column (e) for lines 15 8,630,282 16 48,065,351 17 88,255,647 20 ______ 21 ______ ▶ 27c 144,951,280

d Add Line 27a total 19,775 and line 27b total NONE ▶ 27d 19,775

e Public support (line 27c total minus line 27d total) ▶ 27e 144,931,505

f Total support for section 509(a)(2) test Enter amount on line 23, column (e) ▶ 27f 164,079,076

g **Public support percentage (line 27e (numerator) divided by line 27f (denominator))** ▶ 27g 88.3303 %

h **Investment income percentage (line 18, column (e) (numerator) divided by line 27f (denominator))** ▶ 27h 11 6577 %

28 **Unusual Grants** For an organization described in line 10, 11, or 12 that received any unusual grants during 1997 through 2000, prepare a list for your records to show, for each year, the name of the contributor, the date and amount of the grant, and a brief description of the nature of the grant **Do not file this list with your return** Do not include these grants in line 15 NONE

Part V **Private School Questionnaire** (See page 7 of the instructions)
(To be completed ONLY by schools that checked the box on line 6 in Part IV)

			Yes	No
29	Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?	29		
30	Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?	30		
31	Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves?	31		
	If "Yes," please describe, if "No," please explain (If you need more space, attach a separate statement)			
32	Does the organization maintain the following			
a	Records indicating the racial composition of the student body, faculty, and administrative staff?	32a		
b	Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?	32b		
c	Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?	32c		
d	Copies of all material used by the organization or on its behalf to solicit contributions?	32d		
	If you answered "No" to any of the above, please explain (If you need more space, attach a separate statement)			
33	Does the organization discriminate by race in any way with respect to			
a	Students' rights or privileges?	33a		
b	Admissions policies?	33b		
c	Employment of faculty or administrative staff?	33c		
d	Scholarships or other financial assistance?	33d		
e	Educational policies?	33e		
f	Use of facilities?	33f		
g	Athletic programs?	33g		
h	Other extracurricular activities?	33h		
	If you answered "Yes" to any of the above, please explain (If you need more space, attach a separate statement)			
34a	Does the organization receive any financial aid or assistance from a governmental agency?	34a		
b	Has the organization's right to such aid ever been revoked or suspended?	34b		
	If you answered "Yes" to either 34a or b, please explain using an attached statement			
35	Does the organization certify that it has complied with the applicable requirements of sections 4 01 through 4 05 of Rev Proc 75-50, 1975-2 C B 587, covering racial nondiscrimination? If "No," attach an explanation	35		

Part VI-A **Lobbying Expenditures by Electing Public Charities** (See page 9 of the instructions.)
(To be completed **ONLY** by an eligible organization that filed Form 5768) NOT APPLICABLE

Check ▶ a [ ] if the organization belongs to an affiliated group
Check ▶ b [ ] if you checked "a" and "limited control" provisions apply

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)		(a) Affiliated group totals	(b) To be completed for ALL electing organizations
36 Total lobbying expenditures to influence public opinion (grassroots lobbying)	36		
37 Total lobbying expenditures to influence a legislative body (direct lobbying)	37		
38 Total lobbying expenditures (add lines 36 and 37)	38		
39 Other exempt purpose expenditures	39		
40 Total exempt purpose expenditures (add lines 38 and 39)	40		
41 Lobbying nontaxable amount. Enter the amount from the following table -	41		
42 Grassroots nontaxable amount (enter 25% of line 41)	42		
43 Subtract line 42 from line 36. Enter -0- if line 42 is more than line 36	43		
44 Subtract line 41 from line 38. Enter -0- if line 41 is more than line 38	44		

If the amount on line 40 is -	**The lobbying nontaxable amount is -**
Not over $500,000	20% of the amount on line 40
Over $500,000 but not over $1,000,000	$100,000 plus 15% of the excess over $500,000
Over $1,000,000 but not over $1,500,000	$175,000 plus 10% of the excess over $1,000,000
Over $1,500,000 but not over $17,000,000	$225,000 plus 5% of the excess over $1,500,000
Over $17,000,000	$1,000,000

Caution *If there is an amount on either line 43 or line 44, you must file Form 4720*

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 45 through 50 on page 11 of the instructions.)

	Lobbying Expenditures During 4-Year Averaging Period				
Calendar year (or fiscal year beginning in) ▶	**(a)** 2001	**(b)** 2000	**(c)** 1999	**(d)** 1998	**(e)** Total
45 Lobbying nontaxable amount					
46 Lobbying ceiling amount (150% of line 45(e))					
47 Total lobbying expenditures					
48 Grassroots nontaxable amount					
49 Grassroots ceiling amount (150% of line 48(e))					
50 Grassroots lobbying expenditures					

Part VI-B **Lobbying Activity by Nonelecting Public Charities**
(For reporting only by organizations that did not complete Part VI-A) (See page 12 of the instructions.)

During the year, did the organization attempt to influence national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of	Yes	No	Amount
a Volunteers		X	
b Paid staff or management (Include compensation in expenses reported on lines c through h.)	X		
c Media advertisements		X	
d Mailings to members, legislators, or the public STMT 22	X		120,900.
e Publications, or published or broadcast statements		X	
f Grants to other organizations for lobbying purposes		X	
g Direct contact with legislators, their staffs, government officials, or a legislative body STMT 23	X		38,790.
h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any other means		X	
i Total lobbying expenditures (add lines c through h.)			159,690.

If "Yes" to any of the above, also attach a statement giving a detailed description of the lobbying activities.

Part VII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations** (See page 12 of the instructions)

51 Did the reporting organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

		Yes	No
a Transfers from the reporting organization to a noncharitable exempt organization of			
(i) Cash	51a(i)		X
(ii) Other assets	a(ii)		X
b Other transactions			
(i) Sales or exchanges of assets with a noncharitable exempt organization	b(i)		X
(ii) Purchases of assets from a noncharitable exempt organization	b(ii)		X
(iii) Rental of facilities, equipment, or other assets	b(iii)		X
(iv) Reimbursement arrangements	b(iv)		X
(v) Loans or loan guarantees	b(v)		X
(vi) Performance of services or membership or fundraising solicitations	b(vi)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting organization If the organization received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions and sharing arrangements
N/A			

52a Is the organization directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ▶ ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

FORM 990 STATEMENT 1

CONTRIBUTIONS, GIFTS, GRANTS, AND SIMILAR AMOUNTS RECEIVED

Contributions in excess of $5,000 have been reported on Schedule B of Form 990
Pursuant to IRS Regulations, this information is not subject to public disclosure

FORM 990, PART I - GROSS SALES LESS RETURNS AND ALLOWANCES

DESCRIPTION	AMOUNT
ACQUIRED TITLES	438,905.
CONTRACT DOCUMENTS	100,287.
COMMITTEE REPORTS	175,695.
MANUALS	148,962.
PROCEEDINGS	617,638.
PURCHASED TITLES	94,807.
STANDARDS	540,384.
ICE TITLES	115,538.
TOTAL	2,232,216.

FORM 990, PART I - COST OF GOODS SOLD

DESCRIPTION	COST OF GOODS SOLD
ACQUIRED TITLES	410,310
CONTRACT DOCUMENTS	11,462
COMMITTEE REPORTS	81,419
MANUALS	111,777
PROCEEDINGS	450,036
PURCHASED TITLES	34,145
STANDARDS	173,645
ICE TITLES	126,059
TOTALS	1,398,853

FORM 990, PART I - PAYMENTS TO AFFILIATES

DESCRIPTION	AMOUNT
PAYMENTS TO AFFILIATES	300,000.
TOTAL	300,000.

FORM 990, PART I - OTHER INCREASES IN FUND BALANCES

DESCRIPTION		AMOUNT
UNREALIZED GAIN ON INVESTMENTS		817,656.
	TOTAL	817,656.

FORM 990 STATEMENT 6

PART II, LINE 42 - DEPRECIATION EXPENSE
PART IV, LINE 57a - LAND, BUILDINGS, AND EQUIPMENT
PART IV, LINE 57b - ACCUMULATED DEPRECIATION

DESCRIPTION	AMOUNT
EQUIPMENT, FURNITRUE & FIXTURES	3,747,965
COMPUTER EQUIPMENT	8,715,824
LEASEHOLD IMPROVEMENTS	193,938
	12,657,727
ACCUMULATED DEPRECIATION	(8,376,973)
NET FIXED ASSETS	4,280,754

The depreciation expense for September 30, 2002 was $1,200,192

Fixed assets are stated at cost, less accumulated depreciation and amortization determined using the straight-line method over the following useful lives

Computer Equipment	3 - 5 years
Equipment, Furniture and Fixtures	7 to 10 years
Leasehold Improvements	Lesser of 5 years or life of related lease

FORM 990, PART II - OTHER EXPENSES

DESCRIPTION	PROGRAM SERVICES
CONSULTING	1,909,628.
INSURANCE	132,112.
GENERAL CONTINGENCY	25,000.
SECTION EXP.	547,544.
TEMP HELP	25,000.
INSTRUCTORS FEES	1,074,765.
AWARDS, SPEC FNDS & INST	2,726,471.
OTHER AWARDS	116,131.
SUBSCRIPTIONS	58,707.
PROPERTY, SALES & INCOME TAXES	153,354.
PROF MEMBERSHIP/ACCREDITATION	340,697.
TOTALS	7,109,409.

FORM 990, PART III - ORGANIZATION'S PRIMARY EXEMPT PURPOSE

THE OBJECTIVE OF THE SOCIETY IS THE ADVANCEMENT OF THE SCIENCE AND PROFESSION OF ENGINEERING TO ENHANCE THE WELFARE OF HUMANITY.

STATEMENT 8

FORM 990, PART III - STATEMENT OF PROGRAM SERVICE ACCOMPLISHMENTS

DESCRIPTION	EXPENSES
THE PUBLICATION DIVISION PROVIDED MEMBERS WITH INFORMATION ON CURRENT TRENDS AND PROJECTS/ISSUES IN CIVIL ENGINEERING. IN ADDITION, TECHNICAL PAPERS/BOOKS ARE SOLD TO PROVIDE MEMBERSHIP ACCESS TO RELEVANT TOPICS IN CIVIL ENGINEERING.	11,273,172.
MEMBERSHIP SERVICES PROVIDED CAREER PLANNING: EMPLOYMENT SERVICES, JOB REFERRAL AND RECRUITING SERVICE, ASCE SALARY SURVEY, SALARY INDEX, INSURANCE AND FINANCIAL PROGRAMS.	5,531,298.
THE TECHNICAL & PROFESSIONAL COMMITTEES OF THE SOCIETY ESTABLISHED TECHNICAL STANDARDS.	7,516,189.
THE EXECUTIVE BOARD HAD IMMEDIATE SUPERVISION AND CONTINUING OVERSIGHT OF THE FINANCIAL AFFAIRS OF THE SOCIETY. IT HAD THE POWER TO CONSIDER AND CONDUCT DISCIPLINARY PROCEEDINGS AGAINST ANY MEMBER.	3,102,924.
TOTAL	27,423,583.

FORM 990 STATEMENT 10

PART III, LINE e - OTHER PROGRAM SERVICES

DESCRIPTION	EXPENSES
Meetings and exhibits The Society held an annual convention to keep the professional civil engineer abreast of the technical developments in the field	1,896,824
Specialty conferences The Society sponsored approximately 25 specialty conferences during the year The conferences featured keynote speakers and exhibits displaying products and services	2,033,943
Continuing education ASCE Continuing Education sponsored more than 150 technical, management, regulatory and computer-based continuing professional development seminars and workshops nationwide during the year for civil engineers and related professionals	4,652,218
Government and public affairs The Society coordinated government affairs activities of national significance for members of the civil engineering profession	2,676,950
Educational activities The Society developed curriculum guidance for technical institute, technology, and undergraduate and graduate civil engineering programs, career guidance programs for primary, secondary and college students, guidance for student chapters and clubs, and continuing education	1,800,432
Professional activities The Society proposed policies concerning appropiate professional standards and economic conditions for civil engineering practice	798,181
Total	13,858,548

FORM 990, PART IV - INVESTMENTS - SECURITIES

DESCRIPTION		ENDING BOOK VALUE
MUTUAL FUNDS		214,214.
REAL ESTATE		1,993,582.
EQUITIES		15,777,177.
	TOTALS	17,984,973.

FORM 990, PART IV - OTHER ASSETS

DESCRIPTION	ENDING BOOK VALUE
DUE TO ASCE	-11,039.
DUE FROM CERF	608,645.
TOTALS	597,606.

STATEMENT 12

FORM 990, PART IV - OTHER LIABILITIES

DESCRIPTION	ENDING BOOK VALUE
ANNUAL LEAVE PAYABLE	779,622.
FUNDS HELD FOR OTHERS	342,269.
ACCRUED PENSION COST	5,410,276.
TOTALS	6,532,167.

FORM 990, PART IV-A - OTHER REVENUE ON RETURN BUT NOT ON BOOKS

DESCRIPTION		AMOUNT
COST OF GOODS SOLD		-1,398,853.
	TOTAL	-1,398,853.

STATEMENT 14

FORM 990, PART IV-B - OTHER EXPENSES ON RETURN BUT NOT ON BOOKS

DESCRIPTION		AMOUNT
COST OF GOODS SOLD		-1,398,853.
	TOTAL	-1,398,853.

FORM 990, PART V - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

NAME AND ADDRESS	TITLE AND TIME DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
JAMES DAVIS C/O ASCE	EXECUTIVE 40	383,676.	40,263.	NONE
SEE FOLLOWING PAGE FOR THE LIST OF DIRECTORS WHO SERVE ON AN AVERAGE OF 5 HRS A WEEK W/ NO COMPENSATION, CONTRIBUTION TO BENEFIT PLANS, OR EXPENSE ACCOUNT.				
ALL MEMBERS CAN BE CONTACTED C/O ASCE.				
	GRAND TOTALS	383,676.	40,263.	NONE

AMERICAN SOCIETY OF CIVIL ENGINEERS
EIN- 13-1635293
YEAR ENDED SEPTEMBER 30, 2002

FORM 990 STATEMENT 16

PART V, LIST OF OFFICERS, DIRECTORS, TRUSTEES AND KEY EMPLOYEES

Officers and members of the board of directors receive reimbursement for actual travel, meals and lodging expenses related to board meetings

President
Thomas L Jackson, P E , F ASCE

Past-President
H Gerald Schwartz, Jr Ph D , P E , F ASCE

President-elect
Patrick D Galloway, P E , F ASCE

Vice Presidents
Maria C Lehman, P E , F ASCE
Louis C Aurigemma, P E , M ASCE
Jerry R Rogers, PhD, P E , F ASCE
Norman L Buehring, P E , F ASCE

Directors
Anni H Autio, P E M ASCE
Eugene N Balter, P E F ASCE
Mario A Baratta, P E F ASCE
James R Carlsen, P E , M. ASCE
Thomas J Eggum, P E , M ASCE
Gamal H Elsaeed, Ph D , P E , F ASCE
John J Gillespie, P E , F ASCE
John F (Fred) Graham, Jr , P E , F ASCE
W Craig Helms, P E , M ASCE
Larry P Jedele, P E M ASCE
D Wayne Klotz, P E F ASCE
Carl J Lehman, P E F ASCE
Blaine D Leonard, P E , F ASCE
Donald M Phelps, P E , F ASCE
Bruce E Podwal, P E , M ASCE
Garland P Rose, Jr , P E , F ASCE
Paul C Taylor, P E , M ASCE
G Nicholas Textor, P E , F ASCE
Charles A Tiltrum, P E , L S M ASCE
Dennis D Truax, Ph D , P E , DEE, F ASCE
Fred P Wagner, Jr , Ph D , P E , M ASCE

District Representative
John W Pulver, P E F ASCE

Secretary
Patrick J Natale, P E , CAE, M ASCE

Treasurer
Michael N Goodkind, Ph D , P E , S E F ASCE

Assistant Secretary
Lawrence H Roth, P E , F ASCE

FORM 990, PART VIII - ACCOMPLISHMENT OF EXEMPT PURPOSES

LINE NO.	EXPLANATION OF HOW EACH ACTIVITY FOR WHICH INCOME IS REPORTED IN COLUMN (E) OF PART VII CONTRIBUTED IMPORTANTLY TO THE ACCOMPLISHMENT OF EXEMPT PURPOSES
93A,	ASCE ISSUES PUBLICATIONS TO KEEP THE CIVIL ENGINEER INFORMED OF CURRENT TRENDS AND ISSUES IN CIVIL ENGINEERING.
93C,D	SPECIALTY CONFERENCES PROVIDE AN OPPORTUNITY FOR ATTENDEES TO LEARN AND EXCHANGE INFORMATION WITH OTHER CIVIL ENGINEERS.
93 B	ENTRANCE FEES ARE DUE FORM MEMBERS WITH ANY APPLICATION FOR ADMISSION OR REINSTATEMENT TRANSFER.
93 E	ASCE ADVERTISES IN ITS CIVIL ENGINEERS MAGAZINE, WHICH ENGINEERS CAN USE IN THEIR PRACTICES, AND IN ASCE NEWS, IT POSTS ADS FOR JOBS AT UNIVERSITIES AND OTHER COMPANIES.
94	MEMBER DUES SUPPORT THE OBJECTIVE OF THE SOCIETY (SEE PART III).
102	TECHNICAL BOOKS ARE SOLD TO ENGINEERS.
103D	OUTSIDE PEER REVIEW, CAREER GUIDANCE, CURRICULUM ACCREDITATION, EDUCATIONAL ACTIVITIES, STUDENT SERVICES AND GOVERNMENT SPECIAL SERVICS CONTRIBUTE TO THE OBJECTIVE OF THE SOCIETY.

SCH. A, PART IV-A - ORGANIZATIONS DESCRIBED IN PART IV, BOX 12 - 2000

DISQUALIFIED PERSON	AMOUNT
BOARD MEMBER DUES	5,425.
TOTAL	5,425.

SCH. A, PART IV-A - ORGANIZATIONS DESCRIBED IN PART IV, BOX 12 - 1999

DISQUALIFIED PERSON	AMOUNT
BOARD MEMBER DUES	4,935
TOTAL	4,935.

AMERICAN SOCIETY OF CIVIL ENGINEERS, INC. 13-1635293

SCH. A, PART IV-A - ORGANIZATIONS DESCRIBED IN PART IV, BOX 12 - 1998

DISQUALIFIED PERSON	AMOUNT
BOARD MEMBER DUES	4,935.
TOTAL	4,935.

STATEMENT 20

SCH. A, PART IV-A - ORGANIZATIONS DESCRIBED IN PART IV, BOX 12 - 1997

DISQUALIFIED PERSON	AMOUNT
BOARD MEMBER DUES	4,480.
TOTAL	4,480.

SCHEDULE A, PART VI-B - MAILINGS TO MEMBERS

ASCE EDUCATES PUBLIC POLICY LEADERS THROUGH MAILINGS REGARDING ISSUES OF CONCERN TO THE CIVIL ENGINEERING PROFESSION. ADDITIONALLY, ASEC EDUCATES AND MOBILIZES ITS MEMBERS TO COMMUNICATE WITH POLICY MAKERS ON KEY POLICY ISSUES.

SCHEDULE A, PART VI-B - DIRECT CONTACT WITH LEGISLATORS

ASCE EDUCATES PUBLIC POLICY LEADERS REGARDING ISSUES OF CONCERN TO THE CIVIL ENGINEERING PROFESSION. ADDITIONALLY, ASCE EDUCATES AND MOBILIZ ITS MEMBERS TO COMMUNICATE WITH POLICY MAKERS ON KEY POLICY ISSUES.

STATEMENT 23

FORM 990 STATEMENT 24

PART I, LINE 8 - GROSS AMOUNT FROM SALES OF ASSETS OTHER THAN INVENTORY

DESCRIPTION	AMOUNT
Gross amount from sales of securities	19,292,610
Less Cost or other basis and sales expenses	(21,457,795)
Net Gain or (Loss)	(2,165,185)

Form **8868**
(December 2000)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ [X]
- If you are filing for an **Additional (not automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

Note· *Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.*

Part I **Automatic 3-Month Extension of Time** - Only submit original (no copies needed)

Note *Form 990-T corporations requesting an automatic 6-month extension - check this box and complete Part I only* ▶ [ ]

All other corporations (including Form 990-C filers) must use Form 7004 to request an extension of time to file income tax returns Partnerships, REMICs and trusts must use Form 8736 to request an extension of time to file Form 1065, 1066, or 1041

Type or print	Name of Exempt Organization	Employer identification number
	AMERICAN SOCIETY OF CIVIL ENGINEERS	13-1635293
File by the due date for filing your return See instructions	Number, street, and room or suite no If a P O box, see instructions	
	1801 ALEXANDER BELL DRIVE	
	City, town or post office, state, and ZIP code For a foreign address, see instructions	
	RESTON, VA 20194	

Check type of return to be filed (file a separate application for each return)

[X] Form 990	[ ] Form 990-T (corporation)	[ ] Form 4720
[ ] Form 990-BL	[ ] Form 990-T(sec 401(a) or 408(a) trust)	[ ] Form 5227
[ ] Form 990-EZ	[ ] Form 990-T (trust other than above)	[ ] Form 6069
[ ] Form 990-PF	[ ] Form 1041-A	[ ] Form 8870

- If the organization does **not** have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a **Group Return**, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the **whole** group, check this box ▶ [ ] If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension will cover

1 I request an automatic 3-month (6-month, for **990-T corporation**) extension of time until May 15, 2003, to file the exempt organization return for the organization named above The extension is for the organization's return for

▶ [ ] calendar year ______ or

▶ [X] tax year beginning October 1, 2001, and ending September 30, 2002

2 If this tax year is for less than 12 months, check reason [ ] Initial return [ ] Final return [ ] Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions . . . $N/A

b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit . . . $N/A

c **Balance Due** Subtract line 3b from line 3a Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions . . . $ NONE

Signature and Verification

Under penalties of perjury, I declare that I have examined this form including accompanying schedules and statements and to the best of my knowledge and belief it is true correct and complete and that I am authorized to prepare this form

Signature ▶ Title ▶ Date ▶

For Paperwork Reduction Act Notice, see Instruction Form **8868** (12-2000)

JSA
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