Form **990** Department of the Treasury Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0047 **2001** Open to Public Inspection

A For the 2001 calendar year, or tax year period beginning and ending

B Check if applicable: Address change / Name change / Initial return / Final return / Amended return / Application pending

Please use IRS label or print or type. See Specific Instructions

C Name of organization: NAACP LEGAL DEFENSE and EDUC. FUND, INC.

Number and street (or P O box if mail is not delivered to street address): 99 HUDSON STREET — Room/suite: 1600

City or town, state or country, and ZIP + 4: NEW YORK,, NY 10013

D Employer identification number: 13-1655255

E Telephone number: 212-965-2200

F Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify) ▶

• Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A (Form 990 or 990-EZ)

H and I are not applicable to section 527 organizations

H(a) Is this a group return for affiliates? ☐ Yes ☒ No

H(b) If "Yes," enter number of affiliates ▶

H(c) Are all affiliates included? N/A ☐ Yes ☐ No (If "No," attach a list)

G Web site ▶

J Organization type (check only one) ▶ ☒ 501(c) (3) ◀ (insert no) ☐ 4947(a)(1) or ☐ 527

K Check here ▶ ☐ if the organization's gross receipts are normally not more than $25,000 The organization need not file a return with the IRS, but if the organization received a Form 990 Package in the mail, it should file a return without financial data **Some states require a complete return**

H(d) Is this a separate return filed by an organization covered by a group ruling? ☐ Yes ☒ No

I Enter 4-digit GEN ▶

M Check ▶ ☐ if the organization is **not** required to attach Sch B (Form 990 990-EZ, or 990-PF)

L Gross receipts Add lines 6b, 8b 9b and 10b to line 12 ▶ 18138497.

Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances

1	Contributions, gifts, grants, and similar amounts received				
a	Direct public support	1a	6487943.		
b	Indirect public support	1b	483297.		
c	Government contributions (grants)	1c			
d	Total (add lines 1a through 1c) (cash $ 6971240. noncash $)			1d	6971240.
2	Program service revenue including government fees and contracts (from Part VII, line 93)			2	561579.
3	Membership dues and assessments			3	
4	Interest on savings and temporary cash investments			4	81650.
5	Dividends and interest from securities			5	1092537.
6 a	Gross rents	6a			
b	Less rental expenses	6b			
c	Net rental income or (loss) (subtract line 6b from line 6a)			6c	
7	Other investment income (describe ▶)			7	

		(A) Securities		(B) Other		
8 a	Gross amount from sale of assets other than inventory	9099400.	8a			
b	Less cost or other basis and sales expenses	8954022.	8b			
c	Gain or (loss) (attach schedule)	145378.	8c			
d	Net gain or (loss) (combine line 8c, columns (A) and (B)) Stmt 1				8d	145378.

9	Special events and activities (attach schedule)				
a	Gross revenue (not including $ 491583. of contributions reported on line 1a)	9a	332091.		
b	Less direct expenses other than fundraising expenses	9b	238599.		
c	Net income or (loss) from special events (subtract line 9b from line 9a) See Statement 2			9c	93492.
10 a	Gross sales of inventory, less returns and allowances	10a			
b	Less cost of goods sold	10b			
c	Gross profit or (loss) from sales of inventory (attach schedule) (subtract line 10b from line 10a)			10c	
11	Other revenue (from Part VII, line 103)			11	
12	Total revenue (add lines 1d, 2, 3, 4, 5, 6c, 7, 8d, 9c, 10c, and 11)			12	8945876.
13	Program services (from line 44, column (B))			13	7272193.
14	Management and general (from line 44, column (C))			14	599691.
15	Fundraising (from line 44, column (D))			15	1990034.
16	Payments to affiliates (attach schedule) See Statement 3			16	20000.
17	Total expenses (add lines 16 and 44, column (A))			17	9881918.
18	Excess or (deficit) for the year (subtract line 17 from line 12)			18	-936042.
19	Net assets or fund balances at beginning of year (from line 73, column (A))			19	43803293.
20	Other changes in net assets or fund balances (attach explanation) See Statement 4			20	-1448789.
21	Net assets or fund balances at end of year (combine lines 18 19, and 20)			21	41418462.

SCANNED AUG 20 '02

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Part II Statement of Functional Expenses All organizations must complete column (A). Columns (B), (C), and (D) are required for section 501(c)(3) and (4) organizations and section 4947(a)(1) nonexempt charitable trusts but optional for others

Do not include amounts reported on line 6b, 8b, 9b, 10b, or 16 of Part I		(A) Total	(B) Program services	(C) Management and general	(D) Fundraising
22 Grants and allocations (attach schedule) cash $ 266800. noncash $	22	266800.	266800.	Statement 6	
23 Specific assistance to individuals (attach schedule)	23				
24 Benefits paid to or for members (attach schedule)	24				
25 Compensation of officers, directors, etc	25	200000.	140000.	20000.	40000.
26 Other salaries and wages	26	3876861.	3237958.	303219.	335684.
27 Pension plan contributions	27				
28 Other employee benefits	28	492790.	409001.	37457.	46332.
29 Payroll taxes	29	270834.	224784.	20586.	25464.
30 Professional fundraising fees	30	100000.			100000.
31 Accounting fees	31	38174.		38174.	
32 Legal fees	32	12825.	12825.		
33 Supplies	33	67680.	54184.	4437.	9059.
34 Telephone	34	141095.	118167.	7318.	15610.
35 Postage and shipping	35	456429.	49124.	4234.	403071.
36 Occupancy	36	523723.	472813.	22652.	28258.
37 Equipment rental and maintenance	37	137850.	95454.	11591.	30805.
38 Printing and publications	38	420444.	118872.	3867.	297705.
39 Travel	39	459898.	390944.	14173.	54781.
40 Conferences, conventions, and meetings	40	206625.	206625.		
41 Interest	41				
42 Depreciation, depletion, etc (attach schedule)	42	245847.	195651.	22489.	27707.
43 Other expenses not covered above (itemize)					
a	43a				
b	43b				
c	43c				
d	43d				
e See Statement 5	43e	1944043.	1278991.	89494.	575558.
44 Total functional expenses (add lines 22 through 43) Organizations completing columns (B)-(D) carry these totals to lines 13-15	44	9861918.	7272193.	599691.	1990034.

Joint Costs Check ▶ ☐ if you are following SOP 98-2

Are any joint costs from a combined educational campaign and fundraising solicitation reported in (B) Program services? ▶ ☐ Yes [X] No

If "Yes," enter (i) the aggregate amount of these joint costs $ ______, (ii) the amount allocated to Program services $ ______,

(iii) the amount allocated to Management and general $ ______, and (iv) the amount allocated to Fundraising $ ______

Part III Statement of Program Service Accomplishments

What is the organization's primary exempt purpose? ▶
SEE ATTACHED

All organizations must describe their exempt purpose achievements in a clear and concise manner. State the number of clients served, publications issued, etc. Discuss achievements that are not measurable. (Section 501(c)(3) and (4) organizations and 4947(a)(1) nonexempt charitable trusts must also enter the amount of grants and allocations to others.)

	Program Service Expenses (Required for 501(c)(3) and (4) orgs, and 4947(a)(1) trusts, but optional for others.)
a Legal Program provides legal assistance in connection with civil and human rights covering voting, fair employment, education, housing, health, environment problems, and capital punishment. (Grants and allocations $)	5732775.
b Public Information disseminates relevant data about the organization's programs and goals to the general public. (Grants and allocations $)	782892.
c Herbert Lehman Educational program provides scholarship grant awards to undergraduate and law students. (Grants and allocations $ 266800.)	450007.
d Community Services program conducts various surveys, studies, workshop and conferences related to civil and human rights. (Grants and allocations $)	306519.
e Other program services (attach schedule) (Grants and allocations $)	
f Total of Program Service Expenses (should equal line 44, column (B), Program services) ▶	7272193.

Part IV Balance Sheets

Note: *Where required, attached schedules and amounts within the description column should be for end-of-year amounts only*			(A) Beginning of year		(B) End of year
Assets	45 Cash - non-interest-bearing		183907.	45	581004.
	46 Savings and temporary cash investments		3224565.	46	4090896.
	47 a Accounts receivable	47a 203686.			
	b Less allowance for doubtful accounts	47b	259865.	47c	203686.
	48 a Pledges receivable	48a 1489067.			
	b Less allowance for doubtful accounts	48b	1358370.	48c	1489067.
	49 Grants receivable			49	
	50 Receivables from officers directors, trustees, and key employees		6954.	50	5148.
	51 a Other notes and loans receivable	51a			
	b Less allowance for doubtful accounts	51b		51c	
	52 Inventories for sale or use			52	
	53 Prepaid expenses and deferred charges		4453589.	53	5053059.
	54 Investments - securities Stmt 7 Stmt 8 ▶ ☐ Cost ☒ FMV		30434335.	54	26539492.
	55 a Investments - land, buildings, and equipment basis	55a			
	b Less accumulated depreciation	55b		55c	
	56 Investments - other			56	
	57 a Land, buildings, and equipment basis	57a 4135888.			
	b Less accumulated depreciation Stmt 9	57b 1693853.	2552789.	57c	2442035.
	58 Other assets (describe ▶ See Statement 10)		2260559.	58	2012433.
	59 Total assets (add lines 45 through 58) (must equal line 74)		44734933.	59	42416820.
Liabilities	60 Accounts payable and accrued expenses		500842.	60	557153.
	61 Grants payable			61	
	62 Deferred revenue			62	
	63 Loans from officers, directors, trustees, and key employees			63	
	64 a Tax-exempt bond liabilities			64a	
	b Mortgages and other notes payable			64b	
	65 Other liabilities (describe ▶ See Statement 11)		430798.	65	441205.
	66 Total liabilities (add lines 60 through 65)		931640.	66	998358.
Net Assets or Fund Balances	**Organizations that follow SFAS 117, check here ▶** ☒ and complete lines 67 through 69 and lines 73 and 74				
	67 Unrestricted		20530526.	67	19096937.
	68 Temporarily restricted		9891596.	68	9186778.
	69 Permanently restricted		13381171.	69	13134747.
	Organizations that do not follow SFAS 117, check here ▶ ☐ and complete lines 70 through 74				
	70 Capital stock, trust principal, or current funds			70	
	71 Paid-in or capital surplus, or land, building, and equipment fund			71	
	72 Retained earnings, endowment, accumulated income, or other funds			72	
	73 Total net assets or fund balances (add lines 67 through 69 OR lines 70 through 72, column (A) must equal line 19, column (B) must equal line 21)		43803293.	73	41418462.
	74 Total liabilities and net assets / fund balances (add lines 66 and 73)		44734933.	74	42416820.

Form 990 is available for public inspection and, for some people, serves as the primary or sole source of information about a particular organization How the public perceives an organization in such cases may be determined by the information presented on its return Therefore, please make sure the return is complete and accurate and fully describes, in Part III, the organization's programs and accomplishments

Part IV-A Reconciliation of Revenue per Audited Financial Statements with Revenue per Return

a	Total revenue, gains, and other support per audited financial statements	a	6980833.
b	Amounts included on line a but not on line 12, Form 990		
(1)	Net unrealized gains on investments $ -2062735.		
(2)	Donated services and use of facilities $		
(3)	Recoveries of prior year grants $		
(4)	Other (specify) EARL WARREN $ 122744.		
	Add amounts on lines (1) through (4)	b	-1939991.
c	Line a minus line b	c	8920824.
d	Amounts included on line 12, Form 990 but not on line a		
(1)	Investment expenses not included on line 6b, Form 990 $ 25052.		
(2)	Other (specify) $		
	Add amounts on lines (1) and (2)	d	25052.
e	Total revenue per line 12, Form 990 (line c plus line d)	e	8945876.

Part IV-B Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

a	Total expenses and losses per audited financial statements	a	9352635.
b	Amounts included on line a but not on line 17, Form 990		
(1)	Donated services and use of facilities $		
(2)	Prior year adjustments reported on line 20, Form 990 $		
(3)	Losses reported on line 20, Form 990 $		
(4)	Other (specify) EARL WARREN $ 129716.		
	Add amounts on lines (1) through (4)	b	129716.
c	Line a minus line b	c	9222919.
d	Amounts included on line 17, Form 990 but not on line a		
(1)	Investment expenses not included on line 6b, Form 990 $ 25052.		
(2)	Other (specify) Stmt 12 $ 633947.		
	Add amounts on lines (1) and (2)	d	658999.
e	Total expenses per line 17 Form 990 (line c plus line d)	e	9881918.

Part V List of Officers, Directors, Trustees, and Key Employees (List each one even if not compensated.)

(A) Name and address	(B) Title and average hours per week devoted to position	(C) Compensation (If not paid, enter -0-)	(D) Contributions to employee benefit plans & deferred compensation	(E) Expense account and other allowances
ELAINE R JONES C/O NAACP LDF, INC 99 HUDSON STREET, 16TH FL NEW YORK, NY 10013	PRES/DIR-COUNS 40	200000.	7486.	5600.
SEE ATTACHED BOARD LIST		0.	0.	0.

75 Did any officer, director, trustee, or key employee receive aggregate compensation of more than $100,000 from your organization and all related organizations, of which more than $10,000 was provided by the related organizations? If "Yes" attach schedule ▶ [ ] Yes [X] No

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Part VI Other Information

			Yes	No
76	Did the organization engage in any activity not previously reported to the IRS? If "Yes," attach a detailed description of each activity	76		X
77	Were any changes made in the organizing or governing documents but not reported to the IRS? If "Yes," attach a conformed copy of the changes	77		X
78 a	Did the organization have unrelated business gross income of $1,000 or more during the year covered by this return?	78a		X
b	If "Yes" has it filed a tax return on Form 990-T for this year? N/A	78b		
79	Was there a liquidation, dissolution, termination, or substantial contraction during the year? If "Yes," attach a statement	79		X
80 a	Is the organization related (other than by association with a statewide or nationwide organization) through common membership, governing bodies, trustees, officers, etc, to any other exempt or nonexempt organization?	80a	X	
b	If "Yes" enter the name of the organization ▶ EARL WARREN LEGAL TRAINING PROGRAM and check whether it is [X] exempt OR [ ] nonexempt			
81 a	Enter direct or indirect political expenditures See line 81 instructions — 81a: 0.			
b	Did the organization file Form 1120-POL for this year?	81b		X
82 a	Did the organization receive donated services or the use of materials, equipment, or facilities at no charge or at substantially less than fair rental value?	82a		X
b	If "Yes," you may indicate the value of these items here Do not include this amount as revenue in Part I or as an expense in Part II (See instructions in Part III) — 82b: N/A			
83 a	Did the organization comply with the public inspection requirements for returns and exemption applications?	83a	X	
b	Did the organization comply with the disclosure requirements relating to quid pro quo contributions?	83b	X	
84 a	Did the organization solicit any contributions or gifts that were not tax deductible?	84a		X
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? N/A	84b		
85	*501(c)(4), (5), or (6) organizations* a Were substantially all dues nondeductible by members? N/A	85a		
b	Did the organization make only in-house lobbying expenditures of $2,000 or less? N/A	85b		
	If "Yes" was answered to either 85a or 85b, do not complete 85c through 85h below unless the organization received a waiver for proxy tax owed for the prior year			
c	Dues, assessments, and similar amounts from members — 85c: N/A			
d	Section 162(e) lobbying and political expenditures — 85d: N/A			
e	Aggregate nondeductible amount of section 6033(e)(1)(A) dues notices — 85e: N/A			
f	Taxable amount of lobbying and political expenditures (line 85d less 85e) — 85f: N/A			
g	Does the organization elect to pay the section 6033(e) tax on the amount in 85f? N/A	85g		
h	If section 6033(e)(1)(A) dues notices were sent, does the organization agree to add the amount in 85f to its reasonable estimate of dues allocable to nondeductible lobbying and political expenditures for the following tax year? N/A	85h		
86	*501(c)(7) organizations* Enter a Initiation fees and capital contributions included on line 12 — 86a: N/A			
b	Gross receipts, included on line 12, for public use of club facilities — 86b: N/A			
87	*501(c)(12) organizations* Enter a Gross income from members or shareholders — 87a: N/A			
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them) — 87b: N/A			
88	At any time during the year, did the organization own a 50% or greater interest in a taxable corporation or partnership, or an entity disregarded as separate from the organization under Regulations sections 301 7701-2 and 301 7701-3? If "Yes," complete Part IX	88		X
89 a	*501(c)(3) organizations* Enter Amount of tax imposed on the organization during the year under section 4911 ▶ 0. , section 4912 ▶ 0. , section 4955 ▶ 0.			
b	*501(c)(3) and 501(c)(4) organizations* Did the organization engage in any section 4958 excess benefit transaction during the year or did it become aware of an excess benefit transaction from a prior year? If "Yes," attach a statement explaining each transaction	89b		X
c	Enter Amount of tax imposed on the organization managers or disqualified persons during the year under sections 4912, 4955, and 4958 ▶ 0.			
d	Enter Amount of tax on line 89c, above, reimbursed by the organization ▶ 0.			
90 a	List the states with which a copy of this return is filed ▶ NY,NJ,WV,NM,CA,FL,IN,MA,NH,NC,PA,VA,WA			
b	Number of employees employed in the pay period that includes March 12, 2001 — 90b: 61			

91 The books are in care of ▶ KEVIN C. THOMSON Telephone no ▶ 212-965-2200

Located at ▶ 99 HUDSON ST., 16th FL., NEW YORK, NEW YORK ZIP + 4 ▶ 10013

92 *Section 4947(a)(1) nonexempt charitable trusts filing Form 990 in lieu of* Form 1041- Check here ▶ [ ]
and enter the amount of tax-exempt interest received or accrued during the tax year ▶ 92 N/A

Part VII Analysis of Income-Producing Activities (See Specific Instructions on page 32.)

Note: Enter gross amounts unless otherwise indicated.

	Unrelated business income (A) Business code	(B) Amount	Excluded by section 512, 513, or 514 (C) Exclusion code	(D) Amount	(E) Related or exempt function income
93 Program service revenue					
a COURT FEES AWARDED					402498.
b TRAINING AND CONFERENCE					159081.
c					
d					
e					
f Medicare/Medicaid payments					
g Fees and contracts from government agencies					
94 Membership dues and assessments					
95 Interest on savings and temporary cash investments			14	81650.	
96 Dividends and interest from securities			14	1092537.	
97 Net rental income or (loss) from real estate					
a debt-financed property					
b not debt-financed property					
98 Net rental income or (loss) from personal property					
99 Other investment income					
100 Gain or (loss) from sales of assets other than inventory			18	145378.	
101 Net income or (loss) from special events					93492.
102 Gross profit or (loss) from sales of inventory					
103 Other revenue					
a					
b					
c					
d					
e					
104 Subtotal (add columns (B), (D), and (E))		0.		1319565.	655071.

105 Total (add line 104, columns (B), (D), and (E)) ▶ 1974636.

Note: *Line 105 plus line 1d, Part I, should equal the amount on line 12, Part I*

Part VIII Relationship of Activities to the Accomplishment of Exempt Purposes (See Specific Instructions on page 32.)

Line No. ▼	Explain how each activity for which income is reported in column (E) of Part VII contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes).
93A	Income results from providing legal assistance,RE: Civil &Human Rights
93B	Income results from providing legal training, RE: Civil & Human Rights
101	Income results from sponsoring events to disseminate information to
	the public regarding the status of civil and human rights.

Part IX Information Regarding Taxable Subsidiaries and Disregarded Entities (See Specific Instructions on page 33.)

(A) Name, address, and EIN of corporation, partnership, or disregarded entity	(B) Percentage of ownership interest	(C) Nature of activities	(D) Total income	(E) End-of-year assets
	%			
N/A	%			
	%			
	%			

Part X Information Regarding Transfers Associated with Personal Benefit Contracts (See Specific Instructions on page 33.)

(a) Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

(b) Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

Note: *If "Yes" to (b), file Form 8870 and Form 4720 (see instructions).*

Please Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

▶ Elaine R Jones — Signature of officer | 8/5/02 — Date | ▶ ELAINE R. JONES, PRES.& DIR-COUNSEL — Type or print name and title

Paid Preparer's Use Only

Preparer's signature ▶ Ronald Benjamin | Date 8/5/02 | Check if self-employed ▶ ☐ | Preparer's SSN or PTIN 13-2781641

Firm's name (or yours if self-employed), address, and ZIP + 4 ▶ MITCHELL & TITUS, LLP | EIN ▶

▶ One Battery Park Plaza New York, NY 10004 | Phone no. ▶

SCHEDULE A (Form 990 or 990-EZ) Department of the Treasury Internal Revenue Service	**Organization Exempt Under Section 501(c)(3)** (Except Private Foundation) and Section 501(e), 501(f), 501(k), 501(n), or Section 4947(a)(1) Nonexempt Charitable Trust **Supplementary Information-(See separate instructions.)** ▶ MUST be completed by the above organizations and attached to their Form 990 or 990-EZ	OMB No 1545-0047 **2001**

Name of the organization: NAACP LEGAL DEFENSE and EDUC. FUND, INC.

Employer identification number: 13 1655255

Part I **Compensation of the Five Highest Paid Employees Other Than Officers, Directors, and Trustees**
(See page 1 of the instructions List each one If there are none, enter "None")

(a) Name and address of each employee paid more than $50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances
THEODORE M. SHAW C/O NAACP LEGAL DEFENSE & EDUCATIONAL	ASSOC DIR-COU 40	216000.	15455.	700.
NORMAN J. CHACHKIN C/O NAACP LEGAL DEFENSE & EDUCATIONAL	DIR of LITIGA 40	135000.	12540.	1000.
JAMES L. COTT C/O NAACP LEGAL DEFENSE & EDUCATIONAL	ASST DIR of L 40	125000.	7974.	1000.
GEORGE S. KENDALL C/O NAACP LEGAL DEFENSE & EDUCATIONAL	ASSOC COUNSEL 40	113100.	7200.	800.
DENNIS D. PARKER C/O NAACP LEGAL DEFENSE & EDUCATIONAL	ASSOC COUNSEL 40	113100.	15379.	1000.
Total number of other employees paid over $50 000 ▶	24			

Part II **Compensation of the Five Highest Paid Independent Contractors for Professional Services**
(See page 2 of the instructions List each one (whether individuals or firms) If there are none, enter "None")

(a) Name and address of each independent contractor paid more than $50,000	(b) Type of service	(c) Compensation
MILLENIUM TECHNOLOGY GROUP 84-09 35th AVENUE, #2K, JACKSON HTS., NY 11372	COMPUTER CONSULTANT	123736.
AMERGENT 9 CENTENNIAL DRIVE, PEABODY, MA 01960-7927	DIRECT MAIL CONSULTANT	116000.
MCKINNEY & MCDOWELL ASSOCIATES 1325 G STREET, WASHINGTON, DC 20005	PUBLIC RELATIONS	109332.
KONSTANTINOS VARSOS 469 SIXTH AVENUE, BROOKLYN, NY 11215	CONSULTANT	100905.
CHARLES S. RALSTON 33 EDGEWOOD ROAD, HARTSDALE, NY 10530	LEGAL CONSULTANT	85238.
Total number of others receiving over $50,000 for professional services ▶ 4		

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 and Form 990-EZ

Part III **Statements About Activities** (See page 2 of the instructions)

			Yes	No
1	During the year, has the organization attempted to influence national, state, or local legislation, including any attempt to influence public opinion on a legislative matter or referendum? If "Yes," enter the total expenses paid or incurred in connection with the lobbying activities ▶ $ ______ $ 177010. (Must equal amounts on line 38, Part VI-A, or line i of Part VI-B)	1	X	
	Organizations that made an election under section 501(h) by filing Form 5768 must complete Part VI-A Other organizations checking "Yes," must complete Part VI-B AND attach a statement giving a detailed description of the lobbying activities			
2	During the year, has the organization, either directly or indirectly, engaged in any of the following acts with any substantial contributors, trustees directors, officers, creators, key employees, or members of their families, or with any taxable organization with which any such person is affiliated as an officer director, trustee, majority owner, or principal beneficiary? *(If the answer to any question is "Yes," attach a detailed statement explaining the transactions)*			
a	Sale exchange, or leasing of property?	2a		X
b	Lending of money or other extension of credit?	2b		X
c	Furnishing of goods, services, or facilities?	2c		X
d	Payment of compensation (or payment or reimbursement of expenses if more than $1,000)?	2d	X	
e	Transfer of any part of its income or assets?	2e		X
3	Does the organization make grants for scholarships, fellowships student loans, etc ? (See **Note** below)	3	X	
4	Do you have a section 403(b) annuity plan for your employees?	4	X	

Note *Attach a statement to explain how the organization determines that individuals or organizations receiving grants or loans from it in furtherance of its charitable programs "qualify" to receive payments* See Statement 13

Part IV **Reason for Non-Private Foundation Status** (See pages 3 through 6 of the instructions)

The organization is not a private foundation because it is (Please check only **ONE** applicable box)

5 ☐ A church, convention of churches, or association of churches Section 170(b)(1)(A)(i)

6 ☐ A school Section 170(b)(1)(A)(ii) (Also complete Part V)

7 ☐ A hospital or a cooperative hospital service organization Section 170(b)(1)(A)(iii)

8 ☐ A Federal, state, or local government or governmental unit Section 170(b)(1)(A)(v)

9 ☐ A medical research organization operated in conjunction with a hospital Section 170(b)(1)(A)(iii) **Enter the hospital's name, city, and state** ▶ ______

10 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit Section 170(b)(1)(A)(iv) (Also complete the **Support Schedule** in Part IV-A)

11a ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public Section 170(b)(1)(A)(vi) (Also complete the **Support Schedule** in Part IV-A)

11b ☐ A community trust Section 170(b)(1)(A)(vi) (Also complete the **Support Schedule** in Part IV-A)

12 ☐ An organization that normally receives (1) **more than 33 1/3%** of its support from contributions, membership fees, and gross receipts from activities related to its charitable, etc , functions - subject to certain exceptions, and (2) **no more than 33 1/3%** of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See section 509(a)(2) (Also complete the **Support Schedule** in Part IV-A)

13 ☐ An organization that is not controlled by any disqualified persons (other than foundation managers) and supports organizations described in (1) lines 5 through 12 above, or (2) section 501(c)(4), (5), or (6), if they meet the test of section 509(a)(2) (See section 509(a)(3))

Provide the following information about the supported organizations (See page 5 of the instructions)

(a) Name(s) of supported organization(s)	(b) Line number from above

14 ☐ An organization organized and operated to test for public safety Section 509(a)(4) (See page 6 of the instructions)

Part IV-A **Support Schedule** (Complete only if you checked a box on line 10, 11, or 12.) **Use cash method of accounting**
Note: *You may use the worksheet in the instructions for converting from the accrual to the cash method of accounting*

Calendar year (or fiscal year beginning in)	(a) 2000	(b) 1999	(c) 1998	(d) 1997	(e) Total
15 Gifts, grants, and contributions received (Do not include unusual grants. See line 28.)	11970900.	5931697.	6066761.	6983280.	30952638.
16 Membership fees received					
17 Gross receipts from admissions, merchandise sold or services performed, or furnishing of facilities in any activity that is related to the organization's charitable, etc., purpose	1726763.	1632222.	1559504.	2891246.	7809735.
18 Gross income from interest, dividends, amounts received from payments on securities loans (section 512(a)(5)), rents, royalties, and unrelated business taxable income (less section 511 taxes) from businesses acquired by the organization after June 30, 1975	1101841.	1010954.	1010717.	779797.	3903309.
19 Net income from unrelated business activities not included in line 18					
20 Tax revenues levied for the organization's benefit and either paid to it or expended on its behalf					
21 The value of services or facilities furnished to the organization by a governmental unit without charge. Do not include the value of services or facilities generally furnished to the public without charge					
22 Other income. Attach a schedule. Do not include gain or (loss) from sale of capital assets					
23 Total of lines 15 through 22	14799504.	8574873.	8636982.	10654323.	42665682.
24 Line 23 minus line 17	13072741.	6942651.	7077478.	7763077.	34855947.
25 Enter 1% of line 23	147995.	85749.	86370.	106543.	

26 **Organizations described on lines 10 or 11** a Enter 2% of amount in column (e), line 24 ▶ 26a 697119.

b Prepare a list for your records to show the name of and amount contributed by each person (other than a governmental unit or publicly supported organization) whose total gifts for 1997 through 2000 exceeded the amount shown in line 26a. **Do not file this list with your return.** Enter the total of all these excess amounts See Statement 14 ▶ 26b 1413762.

c Total support for section 509(a)(1) test. Enter line 24, column (e) ▶ 26c 34855947.

d Add: Amounts from column (e) for lines 18 3903309. 19 ______ 22 ______ 26b 1413762. ▶ 26d 5317071.

e Public support (line 26c minus line 26d total) ▶ 26e 29538876.

f **Public support percentage (line 26e (numerator) divided by line 26c (denominator))** ▶ 26f 84.7456%

27 **Organizations described on line 12** a For amounts included in lines 15, 16, and 17 that were received from a "disqualified person," prepare a list for your records to show the name of, and total amounts received in each year from, each "disqualified person." **Do not file this list with your return.** Enter the sum of such amounts for each year N/A

(2000) (1999) (1998) (1997)

b For any amount included in line 17 that was received from each peson (other than "disqualified persons"), prepare a list for your records to show the name of, and amount received for each year, that was more than the **larger** of **(1)** the amount on line 25 for the year or **(2)** $5,000. (Include in the list organizations described in lines 5 through 11, as well as individuals.) **Do not file this list with your return.** After computing the difference between the amount received and the larger amount described in **(1)** or **(2)**, enter the sum of these differences (the excess amounts) for each year N/A

(2000) (1999) (1998) (1997)

c Add: Amounts from column (e) for lines 15 ______ 16 ______ 17 ______ 20 ______ 21 ______ ▶ 27c N/A

d Add: Line 27a total ______ and line 27b total ______ ▶ 27d N/A

e Public support (line 27c total minus line 27d total) ▶ 27e N/A

f Total support for section 509(a)(2) test. Enter amount on line 23, column (e) ▶ 27f N/A

g **Public support percentage (line 27e (numerator) divided by line 27f (denominator))** ▶ 27g N/A %

h **Investment income percentage (line 18, column (e) (numerator) divided by line 27f (denominator))** ▶ 27h N/A %

28 **Unusual Grants:** For an organization described in line 10, 11, or 12, that received any unusual grants during 1997 through 2000, prepare a list for your records to show, for each year, the name of the contributor, the date and amount of the grant, and a brief description of the nature of the grant. **Do not file this list with your return.** Do not include these grants in line 15

None

Part V **Private School Questionnaire** (See page 7 of the instructions) N/A

(To be completed ONLY by schools that checked the box on line 6 in Part IV)

			Yes	No
29	Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?	29		
30	Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs and scholarships?	30		
31	Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves?	31		
	If "Yes" please describe, if "No," please explain (If you need more space, attach a separate statement)			
32	Does the organization maintain the following			
a	Records indicating the racial composition of the student body, faculty, and administrative staff?	32a		
b	Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?	32b		
c	Copies of all catalogues brochures, announcements, and other written communications to the public dealing with student admissions, programs and scholarships?	32c		
d	Copies of all material used by the organization or on its behalf to solicit contributions?	32d		
	If you answered "No" to any of the above, please explain (If you need more space, attach a separate statement)			
33	Does the organization discriminate by race in any way with respect to			
a	Students' rights or privileges?	33a		
b	Admissions policies?	33b		
c	Employment of faculty or administrative staff?	33c		
d	Scholarships or other financial assistance?	33d		
e	Educational policies?	33e		
f	Use of facilities?	33f		
g	Athletic programs?	33g		
h	Other extracurricular activities?	33h		
	If you answered "Yes" to any of the above, please explain (If you need more space, attach a separate statement)			
34 a	Does the organization receive any financial aid or assistance from a governmental agency?	34a		
b	Has the organization's right to such aid ever been revoked or suspended?	34b		
	If you answered "Yes" to either 34a or b, please explain using an attached statement			
35	Does the organization certify that it has complied with the applicable requirements of sections 4 01 through 4 05 of Rev Proc 75-50, 1975-2 C B 587, covering racial nondiscrimination? If "No," attach an explanation	35		

Part VI-A **Lobbying Expenditures by Electing Public Charities** (See page 9 of the instructions)

(To be completed ONLY by an eligible organization that filed Form 5768)

Check ▶ a [ ] if the organization belongs to an affiliated group Check ▶ b [ ] if you checked "a" and "limited control" provisions apply

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred)		(a) Affiliated group totals	(b) To be completed for ALL electing organizations
		N/A	
36 Total lobbying expenditures to influence public opinion (grassroots lobbying)	36		1441.
37 Total lobbying expenditures to influence a legislative body (direct lobbying)	37		175569.
38 Total lobbying expenditures (add lines 36 and 37)	38		177010.
39 Other exempt purpose expenditures	39		7694874.
40 Total exempt purpose expenditures (add lines 38 and 39)	40		7871884.
41 Lobbying nontaxable amount Enter the amount from the following table -			
If the amount on line 40 is - / **The lobbying nontaxable amount is -**			
Not over $500 000 / 20% of the amount on line 40			
Over $500 000 but not over $1 000 000 / $100 000 plus 15% of the excess over $500 000			
Over $1 000,000 but not over $1,500 000 / $175,000 plus 10% of the excess over $1 000,000	41		543594.
Over $1 500 000 but not over $17 000 000 / $225,000 plus 5% of the excess over $1,500 000			
Over $17 000 000 / $1,000,000			
42 Grassroots nontaxable amount (enter 25% of line 41)	42		135899.
43 Subtract line 42 from line 36 Enter -0- if line 42 is more than line 36	43		0.
44 Subtract line 41 from line 38 Enter -0- if line 41 is more than line 38	44		0.

Caution *If there is an amount on either line 43 or line 44, you must file Form 4720*

4-Year Averaging Period Under Section 501(h)

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below See the instructions for lines 45 through 50 on page 11 of the instructions)

	Lobbying Expenditures During 4-Year Averaging Period				
Calendar year (or fiscal year beginning in) ▶	(a) 2001	(b) 2000	(c) 1999	(d) 1998	(e) Total
45 Lobbying nontaxable amount	543594.	481048.	493910.	483859.	2002411.
46 Lobbying ceiling amount (150% of line 45(e))					3003617.
47 Total lobbying expenditures	177010.	23492.	9515.	6042.	216059.
48 Grassroots nontaxable amount	135899.	120262.	123478.	120965.	500604.
49 Grassroots ceiling amount (150% of line 48(e))					750906.
50 Grassroots lobbying expenditures	1441.	4948.	1435.	818.	8642.

Part VI-B **Lobbying Activity by Nonelecting Public Charities**

(For reporting only by organizations that did not complete Part VI-A) (See page 12 of the instructions) N/A

During the year, did the organization attempt to influence national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of	Yes	No	Amount
a Volunteers			
b Paid staff or management (Include compensation in expenses reported on lines c through h)			
c Media advertisements			
d Mailings to members, legislators, or the public			
e Publications, or published or broadcast statements			
f Grants to other organizations for lobbying purposes			
g Direct contact with legislators, their staffs, government officials, or a legislative body			
h Rallies demonstrations seminars, conventions, speeches, lectures, or any other means			
i Total lobbying expenditures (Add lines c through h)			0.

If "Yes" to any of the above, also attach a statement giving a detailed description of the lobbying activities

Part VII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations (See page 12 of the instructions.)

51 Did the reporting organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527 relating to political organizations?

			Yes	No
a	Transfers from the reporting organization to a noncharitable exempt organization of			
	(i) Cash	51a(i)		X
	(ii) Other assets	a(ii)		X
b	Other transactions			
	(i) Sales or exchanges of assets with a noncharitable exempt organization	b(i)		X
	(ii) Purchases of assets from a noncharitable exempt organization	b(ii)		X
	(iii) Rental of facilities, equipment, or other assets	b(iii)		X
	(iv) Reimbursement arrangements	b(iv)		X
	(v) Loans or loan guarantees	b(v)		X
	(vi) Performance of services or membership or fundraising solicitations	b(vi)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting organization If the organization received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received N/A

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

52 a Is the organization directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ▶ ☐ Yes ☒ No

b If "Yes," complete the following schedule N/A

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

Supplementary Information for line 1 of Form 990, 990-EZ and 990-PF (see instructions)

OMB No 1545-0047

2001

Name of organization	Employer identification number
NAACP LEGAL DEFENSE and EDUC. FUND, INC.	13-1655255

Organization type (check one)

Filers of:	Section
Form 990 or 990 EZ	[X] 501(c)(3) (enter number) organization
	[ ] 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	[ ] 527 political organization
Form 990 PF	[ ] 501(c)(3) exempt private foundation
	[ ] 4947(a)(1) nonexempt charitable trust treated as a private foundation
	[ ] 501(c)(3) taxable private foundation

Check if your organization is covered by the **General rule** or a **Special rule** (**Note** *Only a section 501(c)(7), (8), or (10) organization can check box(es) for both the General rule and a Special rule-see instructions)*

General Rule-

[ ] For organizations filing Form 990, 990-EZ, or 990 PF that received, during the year, $5,000 or more (in money or property) from any one contributor (Complete Parts I and II)

Special Rules-

[X] For a section 501(c)(3) organization filing Form 990, or Form 990-EZ, that met the 33 1/3% support test of the regulations under sections 509(a)(1)/170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of $5,000 or 2% of the amount on line 1 of these forms (Complete Parts I and II)

[ ] For a section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990-EZ, that received from any one contributor, during the year, aggregate contributions or bequests of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals (Complete Parts I, II, and III)

[ ] For a section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990 EZ, that received from any one contributor, during the year, some contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not aggregate to more than $1,000 (If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the Parts unless the General rule applies to this organization because it received nonexclusively religious, charitable, etc , contributions of $5,000 or more during the year) ▶ $ ____________

Caution· *Organizations that are not covered by the General rule and/or the Special rules do not file Schedule B (Form 990, 990-EZ, or 990-PF), but they* **must** *check the box in the heading of their Form 990, Form 990-EZ, or on line 1 of their Form 990-PF, to certify that they do not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)*

Name of organization: NAACP LEGAL DEFENSE and EDUC. FUND, INC.

Employer identification number: 13-1655255

Part I Contributors (See Specific Instructions)

(a) No	(b) Name, address and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1		$ 235000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
2		$ 500000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)

Form 990 Gain (Loss) From Publicly Traded Securities Statement 1

Description	Gross Sales Price	Cost or Other Basis	Expense of Sale	Net Gain or (Loss)
Sale of Assets - Gross	9099400.	8954022.	0.	145378.
To Form 990, Part I, line 8	9099400.	8954022.	0.	145378.

Form 990 Special Events and Activities Statement 2

Description of Event	Gross Receipts	Contribut. Included	Gross Revenue	Direct Expenses	Net Income
	516545.	289267.	227278.	129928.	97350.
	56783.	40000.	16783.	21283.	-4500.
	48895.	13115.	35780.	17804.	17976.
	66610.	42148.	24462.	36209.	-11747.
	6650.	0.	6650.	7419.	-769.
	43550.	35142.	8408.	3704.	4704.
	6500.	700.	5800.	10404.	-4604.
	76306.	70426.	5880.	5937.	-57.
	1835.	785.	1050.	5911.	-4861.
To Fm 990, Part I, line 9	823674.	491583.	332091.	238599.	93492.

Schedule A — Identification of Excess Contributions Included on Part IV, Line 26b — Statement 14

** Do Not File **
*** Not Open to Public Inspection ***

Contributor's Name	Total Contribution	Excess Contribution
	1595000.	897881.
	1213000.	515881.
Total Excess Contributions to Schedule A, Line 26b		1413762.

LDF

September 1999

Form **8868** (December 2000) Department of the Treasury Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return

OMB No. 1545-1709

- If you are filing for an Automatic 3-Month Extension, complete only Part I and check this box . . . . ▶ ☒
- If you are filing for an Additional (not automatic) 3-Month Extension, complete only Part II (on page 2 of this form)

Note: *Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.*

Part I Automatic 3-Month Extension of Time—Only submit original (no copies needed)

Note: *Form 990-T corporations requesting an automatic 6-month extension—check this box and complete Part I only* ▶

All other corporations (including Form 990-C filers) must use Form 7004 to request an extension of time to file income tax returns Partnerships, REMICs and trusts must use Form 8736 to request an extension of time to file Form 1065, 1066, or 1041

Type or print. File by the due date for filing your return See instructions.

Name of Exempt Organization: NAACP LEGAL DEFENSE & EDUCATIONAL FUND, INC.

Employer identification number: 13 1655255

Number, street, and room or suite no If a P O box, see instructions: 99 Hudson Street, 16th Floor

City, town or post office state, and ZIP code For a foreign address, see instructions: New York, NY 10013

Check type of return to be filed (file a separate application for each return):

☒ Form 990	☐ Form 990-T (corporation)	☐ Form 4720
☐ Form 990-BL	☐ Form 990-T (sec. 401(a) or 408(a) trust)	☐ Form 5227
☐ Form 990-EZ	☐ Form 990-T (trust other than above)	☐ Form 6069
☐ Form 990-PF	☐ Form 1041-A	☐ Form 8870

- If the organization does not have an office or place of business in the United States, check this box . . . . ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ________ If this is for the whole group, check this box ▶ ☐ . If it is for part of the group, check this box ▶ ☐ and attach a list with the names and EINs of all members the extension will cover

1 I request an automatic 3-month (6-month, for 990-T corporation) extension of time until August 15, 2002 to file the exempt organization return for the organization named above. The extension is for the organization's return for:
▶ ☒ calendar year 2001 or
▶ ☒ tax year beginning January 1 , 2001, and ending December 31, , 2001

2 If this tax year is for less than 12 months, check reason. ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions . . . $

b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit . . . $

c **Balance Due.** Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions . . . $

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ Theodore M Shaw Title ▶ Assoc. Director-Counsel Date ▶ 3/13/02

For Paperwork Reduction Act Notice, see Instruction. Cat. No. 27916D Form **8868** (12-2000)

Extension granted till August 15, 2002
per Ms. Carol Kraft (IRS) ID# 7101135

called 6/10/02 11:35 am

Form 990 Page 2

990

Asset No	Description	Date Acquired	Method	Life	Line No	Unadjusted Cost Or Basis	Bus % Excl	Reduction In Basis - ITC, 179, Salvage	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Amount Of Depreciation
1	BUILDING		SL	40.00	19	1438861.			1438861.	449646.		0.
2	BLDG IMPR NY		SL	40.00	19	1734812.			1734812.	762251.		0.
3	FURN & EQPTMT		SL	5.00	19	91933.			91933.	58252.		0.
4	TELEPHONE		SL	8.00	19	229590.			229590.	126075.		0.
5	COMPUTER EQUIPMENT		SL	5.00	19	531812.			531812.	272330.		0.
6	SECURITY SYSTEM		SL	10.00	19	3385.			3385.	2200.		0.
7	STEEL SHELVING		SL	15.00	19	42373.			42373.	12943.		0.
8	LEASEHOLD IMPROVEMENT LA		SL	10.00	19	3122.			3122.	156.		0.
9	WEB SITE		SL	3.00	19	60000.			60000.	10000.		0.
	* Total 990 Page 2 Depr					4135888.		0.	4135888.	1693853.	0.	0.

128102
10-03-01

(D) - Asset disposed

Form 990	Payments to Affiliates	Statement 3

Affiliate's Name	Affiliate's Address
PAYMENT TO AFFILIATES	

Purpose of Payment	Amount
PAYMENT TO AFFILIATES	20000.
Total to Form 990, Part I, line 16	20000.

Form 990	Other Changes in Net Assets or Fund Balances	Statement 4

Description	Amount
Net Unrealized Gains on investments	-2062736.
Financial Statements Adjustment	613947.
Total to Form 990, Part I, line 20	-1448789.

Form 990	Other Expenses	Statement 5

Description	(A) Total	(B) Program Services	(C) Management and General	(D) Fundraising
EXPERT WITNESSES	260421.	260421.		
COURT COSTS	15045.	15045.		
RESEARCH	58075.	58075.		
LIBRARY	193616.	193616.		
BAR ASSOCIATION DUES	16135.	16135.		
OFFICE SERVICE/PHOTOCOPYING	111975.	96032.	5808.	10135.
INSURANCE	71469.	60292.	4963.	6214.
PHOTO/PRESS RELEASE	36042.	18500.	272.	17270.
CLIPPING SERVICE	3926.	3583.	0.	343.
PROFESSIONAL FEES	980242.	510114.	23904.	446224.
DATA PROCESSING	70422.	4043.	6009.	60370.
MISCELLANEOUS	101623.	43135.	23486.	35002.
INVESTMENT EXPENSES	25052.		25052.	
Total to Fm 990, ln 43	1944043.	1278991.	89494.	575558.

Form 990 Cash Grants and Allocations Statement 6

Classification	Donee's Name	Donee's Address	Donee's Relationship	Amount
	ABEO F. ANDERSON		None	2000.
	AMY MONIQUE BROWN		None	2000.
	TEKESHA R. BROWN		None	2000.
	MAYA N. BURGOS		None	2000.
	ERICA CUSHENBERRY		None	2000.
	JULIUS CLARK		None	2000.
	JACQUE C. DARRELL		None	2000.
	TAMMY R. DYER		None	2000.
	ALICIA E. PRINCE		None	2000.
	LUCRETIA R. ROBINSON		None	2000.
	CATHERINE ROGERS		None	2000.
	NAKEIA C. HAYES		None	2000.
	PAMELA W. SIMMONS		None	2000.
	VICTORIA STANSBURY		None	2000.
	JANELLE N. SURPRIS		None	2000.
	KRYSTAL WATSON		None	2000.
	NICHOLE THOMPSON		None	2000.
	AMANDA WILKERSON		None	2000.
	STEPHANIE STEELE		None	2000.
	SHAILEE A. JOHNSON		None	2000.
	ANAXET Y. JONES		None	2000.
	BENITA N. JONES		None	2000.
	PATRICE LENETTE LEWIS		None	2000.

JENNIFER M. LINDSAY	None	2000.
RITA J. MAGNUSEN	None	2000.
YONATHA N. MEKURIA	None	2000.
MELANIE ROSE PENNY	None	2000.
SYREETA BREAN PRATT	None	2000.
RODGER C. EMMANUEL	None	2000.
EUGENIA GARVIN	None	2000.
LAUREN WHITNEY GILL	None	2000.
JANEL C. GRANT	None	2000.
JOHN ODYSSEY GREEN	None	2000.
JACQUELYN MARIE HALL	None	2000.
DANIELLE J. HUNT	None	2000.
SHENEA HUNT	None	2000.
NICOLE L. IFILL	None	2000.
KIMBERLY S. FORTE	None	2000.
KAREN DARLINGTON	None	2000.
ALANA BRIGIDE ARBE-BLAKEY	None	2000.
LATASHA DAVIDSON	None	2000.
BRENDAN D. REDDY-BEST	None	2000.
ELENA TARYOR	None	2000.
LAUREN JOHNSON	None	2000.
BERNICE RUMALA	None	2000.
THOMAS HOCKER	None	2000.
NATASHA COLLINS	None	2000.

PATRICIA SHADE	None	2000.
ANGELA WINFIELD	None	2000.
SUSANA L. PORTER	None	2000.
RAE NICHELLE OGLESBY	None	2000.
PRIOR YEARS' ADJUSTMENT	None	-6200.
JACKIE B. WILSON II	None	2000.
KEVIN WHITTINGTON	None	2000.
COREY M. WHITE	None	2000.
ANTHONY M. WEBB	None	2000.
ALEXANDER L. TAYLOR	None	2000.
MARCUS A. ROLLINS	None	2000.
CHRISTOPHER M. RICHARDSON	None	2000.
GREGORY D. OWENS	None	2000.
ERIC MILLNER	None	2000.
TOUSSAINT G. LOSIER	None	2000.
MIGUEL A. LAWRENCE	None	2000.
WAYNE JOHNSON	None	2000.
ALEX T. JOHNSON	None	2000.
ADRIAN JUMAANE HILL	None	2000.
DELONTE GHOLSTON	None	2000.
AYOTUNDE OLUFEMI EZEKIEL	None	2000.
DERRICK A. EVERETT	None	2000.
RICHARD C. DICKINSON III	None	2000.

JASON CLARK	None	2000.
MARVIN A. BARKSDALE	None	2000.
JULIAN ODELL BREECE	None	2000.
DALLAS GROCE	None	2000.
ANDRE BENJAMIN	None	2000.
KEVIN M. DIOUS	None	2000.
IAN P. SAVOY	None	2000.
LONNIE PARKER	None	2000.
RICHARD PARKER	None	2000.
MARSHELLA ATKINSON	None	3000.
EMILIO DORCELY	None	3000.
ANTHONY L. JACKSON	None	3000.
MICHELLE STODDARD	None	3000.
MARIAM SANNI	None	3000.
TREVOR G. GARDNER II	None	3000.
DONITA JUDGE	None	3000.
NADIRA STEWART	None	3000.
KEMBA MILES	None	3000.
TINA T. CLARK	None	3000.
REBECCA GILTNER	None	3000.
ROBERT JOHNSON	None	3000.
DOMINICK RONALD CROMARTIE	None	3000.
SHERELL DANIELS	None	3000.
LAKEYTRIA FELDER	None	3000.
DIONNE FRASER	None	3000.

GRACE BANKOLE	None	3000.
MICHAEL LOUIS WHITLOCK	None	3000.
SHATESE STEWART	None	3000.
JONATHAN S. CHAPMAN	None	3000.
ZUHAIRAH SCOTT	None	3000.
L'TRYCE SLADE	None	3000.
ERICA MORRIS	None	3000.
KETEMA ROSS	None	3000.
COURTNEY DUNBAR	None	3000.
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MIJHA L. BUTCHER	None	3000.
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DAWN SMALL	None	3000.
CHRIS-TIA DONALDSON	None	3000.
NICHELE JOHNSON	None	3000.
JOHANNA STEINBERG	None	3000.
LISA ALEXANDER	None	3000.
LESLIE J. ABRAMS	None	3000.
BERNADETTE F. ARMAND	None	3000.
Total Included on Form 990, Part II, line 22		266800.

Form 990 Non-Government Securities Statement 7

Description	Corporate Stocks	Corporate Bonds	Other Publicly Traded Securities	Other Securities	Total Non-Gov't Securities
Investments - Securities	13931979.				13931979.
Investments - Securities		6367614.			6367614.
To Fm 990, ln 54 Col B	13931979.	6367614.			20299593.

Form 990 Government Securities Statement 8

Description	U.S. Government	State and Local Gov't	Total Gov't Securities
Investments - Securities	6239899.		6239899.
Total to Form 990, line 54, Col B	6239899.		6239899.

Form 990 Depreciation of Assets Not Held for Investment Statement 9

Description	Cost or Other Basis	Accumulated Depreciation	Book Value
BUILDING	1438861.	449646.	989215.
BLDG IMPR NY	1734812.	762251.	972561.
FURN & EQPTMT	91933.	58252.	33681.
TELEPHONE	229590.	126075.	103515.
COMPUTER EQUIPMENT	531812.	272330.	259482.
SECURITY SYSTEM	3385.	2200.	1185.
STEEL SHELVING	42373.	12943.	29430.
LEASEHOLD IMPROVEMENT LA	3122.	156.	2966.
WEB SITE	60000.	10000.	50000.
Total to Form 990, Part IV, ln 57	4135888.	1693853.	2442035.

Form 990	Other Assets	Statement 10

Description	Amount
Amounts held in escrow	441205.
Assets held in trust by others	1571228.
Total to Form 990, Part IV, line 58, Column B	2012433.

Form 990	Other Liabilities	Statement 11

Description	Amount
FUNDS HELD IN TRUST FOR OTHERS	441205.
Total to Form 990, Part IV, line 65, Column B	441205.

Form 990	Other Expenses Included on Form 990	Statement 12

Description	Amount
PENSION BENEFITS	613947.
PAYMENTS TO AFFILIATES	20000.
Total to Form 990, Part IV-B	633947.

Schedule A	Explanation of Qualifications to Receive Payments Part III, Line 4	Statement 13

PART III, LINE 4 GRANT FOR SCHOLARSHIPS

Supplementary Statements
For Year Ended 12/31/2001

NAACP Legal Defense and Educational Fund, Inc 13-1655255

Schedule A Part III - Statement of Program Service Accomplishments

The Herbert Lehman also offers a limited number of Law Scholarships each year to African-American students who are entering or are enrolled in ABA approved law schools throughout the nation These awards are limited to U S citizens and preference is given to students who are entering their first year of full time study The scholarships are in the amount of $2,000 to $3,000 and are renewable for the remaining two years of law school if the student remains in good standing and complies with all program requirements Herbert Lehman law scholarships are highly competitive and only those students with outstanding academic records and test scores are encouraged to apply Those applicants who have a well defined interest in public interest law and/or civil rights in addition to meeting the academic criteria, are given preference

Form **4562**

Department of the Treasury
Internal Revenue Service (99)

Depreciation and Amortization

(Including Information on Listed Property) 990

▶ See separate instructions ▶ Attach this form to your return

OMB No 1545-0172

2001

Attachment Sequence No 67

Name(s) shown on return	Business or activity to which this form relates	Identifying number
NAACP LEGAL DEFENSE and EDUC. FUND, INC.	Form 990 Page 2	13-1655255

Part I Election To Expense Certain Tangible Property Under Section 179 Note If you have any "listed property," complete Part V before you complete Part I

1 Maximum dollar limitation If an enterprise zone business, see instructions	1	24000.
2 Total cost of section 179 property placed in service (see instructions)	2	
3 Threshold cost of section 179 property before reduction in limitation	3	$200,000
4 Reduction in limitation Subtract line 3 from line 2 If zero or less, enter -0-	4	
5 Dollar limitation for tax year Subtract line 4 from line 1 If zero or less, enter -0 If married filing separately, see instructions	5	

6 (a) Description of property	(b) Cost (business use only)	(c) Elected cost

7 Listed property Enter amount from line 27	7	
8 Total elected cost of section 179 property Add amounts in column (c), lines 6 and 7	8	
9 Tentative deduction Enter the smaller of line 5 or line 8	9	
10 Carryover of disallowed deduction from 2000	10	
11 Business income limitation Enter the smaller of business income (not less than zero) or line 5	11	
12 Section 179 expense deduction Add lines 9 and 10, but do not enter more than line 11	12	
13 Carryover of disallowed deduction to 2002 Add lines 9 and 10, less line 12 ▶	13	

Note *Do not use Part II or Part III below for listed property (automobiles, certain other vehicles, cellular telephones, certain computers, or property used for entertainment, recreation, or amusement) Instead, use Part V for listed property*

Part II MACRS Depreciation For Assets Placed in Service Only During Your 2001 Tax Year (Do not include listed property)

Section A - General Asset Account Election

14 If you are making the election under section 168(i)(4) to group any assets placed in service during the tax year into one or more general asset accounts, check this box See instructions ▶ ☐

Section B - General Depreciation System (GDS) (See instructions)

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only - see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
15 a 3-year property						
b 5-year property						
c 7 year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25 year property			25 yrs		S/L	
h Residential rental property	/		27 5 yrs	MM	S/L	
	/		27 5 yrs	MM	S/L	
i Nonresidential real property	/		39 yrs	MM	S/L	
	/			MM	S/L	

Section C - Alternative Depreciation System (ADS) (See instructions)

(a) Classification of property	(b)	(c)	(d)	(e)	(f)	(g)
16 a Class life					S/L	
b 12-year			12 yrs		S/L	
c 40 year	/		40 yrs	MM	S/L	

Part III Other Depreciation (Do not include listed property) (See instructions)

17 GDS and ADS deductions for assets placed in service in tax years beginning before 2001	17	
18 Property subject to section 168(f)(1) election	18	
19 ACRS and other depreciation	19	

Part IV Summary (See instructions)

20 Listed property Enter amount from line 26	20	
21 **Total** Add deductions from line 12, lines 15 and 16 in column (g), and lines 17 through 20 Enter here and on the appropriate lines of your return Partnerships and S corporations see instructions	21	0.
22 For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	22	

116251 12-29-01 LHA **For Paperwork Reduction Act Notice, see the separate instructions** Form **4562** (2001)

Part V **Listed Property** (Include automobiles, certain other vehicles, cellular telephones, certain computers, and property used for entertainment, recreation, or amusement)

Note *For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete* **only** *23a, 23b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable*

Section A - Depreciation and Other Information (Caution *See instructions for limits for passenger automobiles* **)**

23a Do you have evidence to support the business/investment use claimed? ☐ Yes ☐ No | 23b If "Yes," is the evidence written? ☐ Yes ☐ No

(a) Type of property (list vehicles first)	(b) Date placed in service	(c) Business/ investment use percentage	(d) Cost or other basis	(e) Basis for depreciation (business/investment use only)	(f) Recovery period	(g) Method/ Convention	(h) Depreciation deduction	(i) Elected section 179 cost
24 Property used more than 50% in a qualified business use								
		%						
		%						
		%						
25 Property used 50% or less in a qualified business use								
		%				S/L		
		%				S/L		
		%				S/L		
26 Add amounts in column (h) Enter the total here and on line 20, page 1						26		
27 Add amounts in column (i) Enter the total here and on line 7, page 1							27	

Section B - Information on Use of Vehicles

Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person

If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles

	(a) Vehicle		(b) Vehicle		(c) Vehicle		(d) Vehicle		(e) Vehicle		(f) Vehicle	
28 Total business/investment miles driven during the year (**do not** include commuting miles)												
29 Total commuting miles driven during the year												
30 Total other personal (noncommuting) miles driven												
31 Total miles driven during the year Add lines 28 through 30												
	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
32 Was the vehicle available for personal use during off-duty hours?												
33 Was the vehicle used primarily by a more than 5% owner or related person?												
34 Is another vehicle available for personal use?												

Section C - Questions for Employers Who Provide Vehicles for Use by Their Employees

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who **are not** more than 5% owners or related persons

	Yes	No
35 Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees?		
36 Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See instructions for vehicles used by corporate officers, directors, or 1% or more owners		
37 Do you treat all use of vehicles by employees as personal use?		
38 Do you provide more than five vehicles to your employees, obtain information from your employees about the use of the vehicles, and retain the information received?		
39 Do you meet the requirements concerning qualified automobile demonstration use?		

Note: *If your answer to 35, 36, 37, 38, or 39 is "Yes," do not complete Section B for the covered vehicles*

Part VI **Amortization**

(a) Description of costs	(b) Date amortization begins	(c) Amortizable amount	(d) Code section	(e) Amortization period or percentage	(f) Amortization for this year
40 Amortization of costs that begins during your 2001 tax year					
41 Amortization of costs that began before your 2001 tax year				41	
42 **Total** Add amounts in column (f) See instructions for where to report				42	

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